

COUNTY OF KAUA'I

## CONSOLIDATED PLAN 2020-2025

FOR PROGRAM YEAR JULY 1, 2020 THROUGH JUNE 30, 2025

**April 2020**

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## CONSOLIDATED PLAN 2020-2025

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# **Executive Summary**

## **ES-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)**

### **1. Introduction**

The County of Kauai (County) through the Kauai County Housing Agency (KCHA) is required to submit a Consolidated Plan to the U.S. Department of Housing and Urban Development (HUD) to receive federal Community Development Block Grant (CDBG) program funds. The purpose of the Consolidated Plan is to ensure that jurisdictions receiving federal assistance develop a plan on how to invest federal funds to address the needs of low- and moderate-income families.

The Consolidated Plan combines the planning and application requirements of HUD's Community Planning and Development (CPD) programs into a single plan. The five (5) formula programs covered by a Consolidated Plan include CDBG, HOME Investment Partnerships Program (HOME), Housing Trust Fund (HTF), Emergency Shelter Grants (ESG) Program, and Housing Opportunities for Persons with Aids (HOPWA).

The County is eligible for assistance under the Non-Entitlement Counties in Hawaii as a grantee for the CDBG program and receives funding directly from HUD. The overall goal of CDBG is to develop viable communities, principally for low- and moderate-income persons who are at or below eighty percent (80%) of HUD's income guidelines, by providing decent housing, a suitable living environment, and expanding economic opportunities.

The County is a State Recipient for the HOME and HTF programs and receives funding allocations as a pass-through from Hawaii Housing Finance and Development Corporation (HHFDC) on a rotation with the Big Island and Maui counties. The overall goal of HOME is to expand the supply of decent, safe, sanitary, and affordable housing for very low- and low-income households, at or below eighty percent (80%) of HUD's income guidelines. The HTF program provides affordable rental housing units serving households with incomes at or below thirty percent (30%) of the area median income.

ESG and HOPWA programs are administered by the State of Hawaii through the Homeless Programs Office (HPO). HPO provides ESG and HOPWA funding directly to recipient organizations that are approved by HPO to receive and expend these grants.

### **2. Summary of the objectives and outcomes identified in the Plan Needs Assessment Overview**

The Consolidated Plan addresses three (3) principle areas of need: Housing and Special Needs Housing, Homeless, and Community Development needs. The Consolidated Plan fulfills four (4) major functions, to serve as:

- 1) A planning document for the County of Kauai, developed through a community needs assessment and

consultation process;

2) An application for federal funds under HUD formula programs including CDBG, HOME, HTF, ESG and HOPWA;

3) A strategy to be followed when using HUD formula programs to address priorities in areas of housing, homelessness, and community development for low- and moderate-income families; and

4) A five-year Action Plan that provides HUD and the County with a basis for measuring progress and assessing performance.

Based on the intent when funding, an activity will have one of three (3) low-mod objectives:

Suitable Living Environment – activities are designed to benefit communities, families or individuals by addressing their living environment.

Decent Housing – activities are designed to cover housing programs to meet individual family or community needs.

Creating Economic Opportunities – applies to the types of activities related to economic development, commercial revitalization, or job creation.

Once an objective is selected for an activity, an outcome will be selected from three (3) categories:

Availability/Accessibility – this outcome category applies to activities that make services, infrastructure, public facilities, housing or shelter available or accessible to the low- and moderate-income population, including those with disabilities.

Affordability – this outcome category applies to activities that provide affordability to the low- and moderate-income population through creating, improving, or maintaining affordable housing, basic infrastructure, or services for transportation.

Sustainability – this outcome category applies to projects that are aimed at improving communities and neighborhoods by making them livable or viable for the low- and moderate-income population.

KCHA will focus its HTF activities on the production, preservation and rehabilitation of affordable rental housing and use funds to increase and preserve the supply of decent, safe and sanitary affordable rental housing, for primarily extremely low-income (30% AMI) households.

### **3. Evaluation of past performance**

The County of Kauai Housing Agency has effectively administered the CDBG grant for more than three (3) decades and has been successful in implementing activities that meet the four (4) priority concerns by the Department of Housing and Urban Development (HUD): housing and special needs housing, homelessness, community development, and fair housing.

#### **4. Summary of citizen participation process and consultation process**

The County's Citizen Participation Plan (CPP) describes the policies and procedures for citizen participation in the administration of CPD programs. The plan seeks to involve citizen participation, especially among persons in lower income groups.

In April 2020, the Citizen Participation Plan was revised to describe the County's process and priorities relating to disaster and recovery for all federal Community Planning and Development programs: CDBG, CDBG-DR, CDBG-CV, HOME, and HTF. A draft was made available on the County's website for review and comments. There were no comments. The Citizen Participation Plan is on the following page.

## CITIZEN PARTICIPATION PLAN



COUNTY OF KAUAI

A PLAN FOR CITIZEN INPUT IN THE ADMINISTRATION OF CERTAIN  
COMMUNITY PLANNING AND DEVELOPMENT PROGRAMS  
FOR THE  
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

AMENDED APRIL 2020

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Approved by:

Derek S.K. Kawakami, Mayor

April 30, 2020  
Date

### **Citizen Participant Plan**



COUNTY OF KAUAI  
CITIZEN PARTICIPATION PLAN

I. PURPOSE OF CITIZEN PARTICIPATION PLAN

The U.S. Department of Housing and Urban Development consolidated planning process requires the County to follow a Citizen Participation Plan (CPP), which describes the County's policies and procedures for involving citizens in the administration of its federally-funded Community Planning and Development (CPD) Programs. The CPP seeks to involve citizen participation, especially among persons in lower income groups.

The CPP allows citizens, especially those likely to be affected and, in particular, residents of slum and blighted areas and in very-low and low-income neighborhoods in which program funds are proposed to be used, to express their needs.

II. SCOPE OF CITIZEN PARTICIPATION

Citizens are encouraged to participate in the development of the Consolidated Plan (CP), and any substantial amendments to the CP. Citizens will be offered the opportunity to participate at all stages of the CP development. Participation will include the assessment of community needs and priorities, and the opportunity to comment on the draft CP, Annual Action Plan (AAP), and Consolidated Annual Performance and Evaluation Report (CAPER).

A. Development of the Consolidated Plan

Prior to the adoption of the CP, the County will notify the public of the availability of a 30-day comment period for the draft CP and will hold a public hearing to accept written or oral comments. A public notice will be published in a newspaper of general circulation and posted on the County's website at [www.kauai.gov](http://www.kauai.gov). The public notice will provide the following information:

- Summary of the proposed priorities that will be established during the 5-year CP for the use of CDBG grant funds;
- Where copies of the draft CP are available for public viewing or may be obtained;
- Deadline for submitting public comments;
- Location, date and time of the public hearing which shall be held at a location that is accessible to persons with disabilities, and at a

time and location convenient to the public and units of local government;

- How persons with special needs may request reasonable accommodations to participate in the hearing; and
- Phone numbers for interested citizens to call if they have questions.

B. Amendments to the Consolidated Plan (24 CFR 91.505)

1. Criteria for Amendment to the CP. Changes or amendments to the CP will occur if the County determines any change as substantial:
  - Change in allocation of priorities to the Consolidated Plan;
  - Change in method for the distribution of funds;
  - Significant change in the dollar allocation: more than 50% of the original fund amount;
  - Adding a new activity, using funds from any program covered by the Consolidated Plan (including program income) not previously described in the AAP; or
  - Change in the purpose, scope, location, or beneficiaries of an activity.
2. Public Notice for Substantial Amendments. The County will publish a public notice in a newspaper of general circulation requesting comments on the proposed substantial changes or amendments to the CP. The County will accept comments for a 30-day period.
3. Public Comments. A summary of these comments, and a summary of any comments or views not accepted, and the reasons therefore, will be attached to the substantial amendments to the CP.
4. Activities Exempt from Substantial Amendment Citizen Participation Requirements: In the event of a natural disaster, local government emergency proclamation or presidentially-declared national emergency, the County may need to amend the CP to fund new activities and/or reprogram funds including canceling activities to meet community development needs that have a particular urgency. The County may utilize CPD funds to meet an urgent need without a 30-day public comment period, which is otherwise required for substantial amendments.

**Citizen Participant Plan - pg.3**

**Urgent Needs:** To comply with the national objective of meeting community development needs having a particular urgency, an activity will alleviate conditions that the County certifies:

- Pose a serious and immediate threat to the health and welfare of the community;
- Are of recent origin or recently became urgent;
- Is unable to finance the activity on its own; and
- Other resources of funding are not available to carry out the activity.

A condition will generally be considered to be of recent origin if it is developed or became critical within 18 months preceding the County's certification.

5. Disaster Response and Recovery: Disaster and emergency response and recovery will be made priority. CPD funds may be redirected and/or reprogrammed to fund new activities including canceling activities to meet the needs of the community in the event of a declared disaster in the County, state emergency proclamation or presidentially-declared national emergency. The 30-day public comment period is waived for substantial amendments, provided a 5-day public comment period is conducted for each substantial amendment. In lieu of a published public notice in a newspaper of general circulation, a notice will be posted on the County's website. The public comment period waiver will be effective through the end of the following program year. Eligible uses of funds are outlined in the County's CP and AAP.

C. Annual Action Plan – Activities to be Undertaken

The County will publish a public notice in a newspaper of general circulation announcing the availability of CDBG program funds and applications for CDBG grant funds. Three (3) public hearings at locations on east, central, and west Kaua'i will be conducted by the Kaua'i County Housing Agency for the purpose of furnishing citizens with information and opportunities to comment on:

- Amount of funds available for proposed community development and housing activities;

- Estimated amount of funds to be used for activities that will benefit very low and low income persons;
- Range of activities that may be undertaken;
- The County's plan for minimizing displacement of persons as a result of activities assisted with program funds and for assisting persons actually displaced;
- Tentative schedule and deadline for the community to submit proposals, when applicable; and
- Description of the CDBG application selection criteria and process.

Prior to submitting the AAP to HUD, the County will afford citizens the opportunity of a 30-day comment period on the CDBG activities being recommended for funding. The public notice will provide a summary of the proposed activities and funding, and will be published in a newspaper of general circulation. A public hearing will be held to accept written or oral comments during the 30-day comment period.

D. Review of Program Performance:

The County will provide an opportunity for citizens to comment on the Consolidated Annual Performance and Evaluation Report (CAPER). A public notice will appear in a newspaper of general circulation providing a minimum of 15-day comment period for the CAPER. The County will consider any written comments received at the following address:

Kaua'i County Housing Agency  
Pi'ikoi Building  
4444 Rice Street, Suite 330  
Lihu'e, Kaua'i, Hawai'i 96766

A summary of these comments or views will be attached to the CAPER when it is submitted to HUD.

III. COMMUNITY DEVELOPMENT BLOCK GRANT for DISASTER RECOVERY

Funds may be allocated to the County of Kaua'i under the Community Development Block Grant for Disaster Recovery (CDBG-DR) following a Presidentially-declared disaster. In accordance with applicable Federal Register Notices, recipients of CDBG-DR funds are required to follow a detailed CPP that satisfies the requirements of 24 CFR 91.105. Specific requirements to uphold CDBG-DR grant compliance include:

A. Grantee Website



To provide information and updates pertaining to the CDBG-DR program as required by applicable Federal Notices, recipients of CDBG-DR funds are required to create a separate web page dedicated to disaster recovery specific to CDBG-DR grant requirements and activity. Content will include:

- A description of the disaster.
- An outline of federal and local response to date and information about long term recovery efforts.
- Links to Federal Register Notices, applicable laws and HUD information about the CDBG-DR program will inform the public about restrictions and eligible uses of funds.
- An announcement of the beginning and end dates for the 30-day Public Comment Period required for final HUD approval of the Action Plan (and any substantial amendments) will be displayed, as will submission and approval dates of each plan or amendment.
- The County's HUD approved Action Plan for publication, all subsequent HUD approved amendments and Quarterly Performance Reports will be available on the web page as required.
- Procurement Policies and Procedures. The section will include a description of goods and services procured, a copy of any contracts directly procured by the County and a summary outlining current contract performance.
- Program Policies and Procedures for selected projects.
- Citizen and Stakeholder participation will be encouraged by listing email, phone, address and business hours to contact the CDBG-DR coordinator to submit questions or concerns. All inquiries will be responded to within 15 business days. A log of complaints with responses will be kept on file for the duration of the grant.

B. Action Plan

An Action Plan, and any substantial amendments, outlining proposed use of CDBG-DR funds will be submitted to HUD for approval following a 30-day public comment period as required by applicable Federal Register Notices. An amendment is considered substantial when proposed activities for funding are added or deleted or for a revision of program funding greater than 20% of

the original funding amount. The County will notify the public of the availability of a 30-day comment period for the draft Action Plan and will accept comments in writing via mail or email and orally via phone or in person. A public notice will be published in a newspaper of general circulation and posted on the County's website at [www.kauai.gov](http://www.kauai.gov). The public notice will provide the following information:

- Summary of the proposed priorities for the use of CDBG-DR grant funds;
- Where copies of the draft Action Plan are available for public viewing or may be obtained;
- Deadline for submitting public comments;
- Email, Address or phone contact information to submit comments; and
- How persons with special needs may request reasonable accommodations to participate in reviewing or providing comments.

C. Quarterly Status Reports

Each grantee must submit a Quarterly Status Report (QPR) through the Disaster Recovery Grant Reporting (DRGR) system no later than 30 days following the end of each calendar quarter. Within 3 days of submission to HUD, each QPR must be posted on the grantee's official website. Each QPR will include information about the uses of funds in activities identified in the DRGR action plan during the applicable quarter.

IV. TECHNICAL ASSISTANCE

Technical assistance will be provided to individuals, citizens, organizations and groups representing very low and low income persons in the following manner:

- Upon request to the Kaua'i County Housing Agency; and
- Technical assistance sessions on program requirements, development of proposals and the details of ranking and selection of projects.

Assistance may also be in the form of informal staff presentations, informational hand-outs, research of specific issues and concerns, or other short-term efforts.

V. SPECIAL NEEDS GROUPS

All public hearings and workshops will be located in buildings to afford accessibility by persons with disabilities. Special accommodations for the handicapped, including those with vision and hearing impairments (i.e. large print, taped materials, sign language interpreters for non-English speaking residents) will be available upon written request 10 working days prior to the public hearing date, to the Kaua'i County Housing Agency.

VI. PUBLIC COMMENTS, COMPLAINTS & GRIEVANCES

The County will provide a timely written response to every written complaint or grievance within 15 working days, where practical. All written comments, objections and complaints shall be addressed to:

Housing Director  
Kaua'i County Housing Agency  
4444 Rice Street, Suite 330  
Lihu'e, Kaua'i, Hawai'i 96766

VII. USE OF THE CITIZEN PARTICIPATION PLAN

The County will follow its Citizen Participation Plan.

## **5. Summary of public comments**

In developing the Consolidated Plan and Action Plan, a series of public hearings were held to solicit input on community development, affordable housing and homeless needs for the Plan. Public hearing notices were published in The Garden Island Newspaper. In November 2019, three (3) public workshops were conducted at various locations on the island not only to receive input but also to provide information on CDBG grant eligibility and the application process.

On November 12, 2019, KCHA and the State of Hawaii, Hawaii Housing Finance Development Corporation (HHFDC) held a joint hearing. In February 2020, KCHA published a public notice to solicit written comments on the draft Consolidated Plan and PY 2020 CDBG and HOME Action Plans. In March 2020, KCHA held a public hearing at the Lihue Civic Center, Piikoi Building to solicit comments during a 30-day comment period for the draft Consolidated Plan, draft Action Plan and Citizen Participation Plan.

Copies of the draft plans were made available for review at the Housing Agency and online at the County's website at [www.kauai.gov](http://www.kauai.gov).

## **6. Summary of comments or views not accepted and the reasons for not accepting them**

Not applicable.

## **7. Summary**

The County utilized its website, [www.kauai.gov](http://www.kauai.gov) to publish a notice and invite the public to participate in the needs assessment survey. The County created an online survey and emailed community stakeholders and residents to solicit participation.

The County received valuable input from private and public organizations to assess community needs. The prioritization of federal funds for housing and special housing needs, homeless services and facilities, public services, public facility improvements, and economic development is a reflection of this input.



## The Process

### PR-05 Lead & Responsible Agencies 24 CFR 91.200(b)

**1. Describe agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source**

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	KAUAI COUNTY	Housing Agency
HOME/HTF sub-grantee	KAUAI COUNTY	Housing Agency

**Table 1 – Responsible Agencies**

### Narrative

The County is a grantee for the CDBG program and receives CDBG allocations directly from HUD. The County is a state recipient, or sub-grantee for the HOME and HTF program and receives allocations as a pass-through from the State of Hawaii. ESG and HOPWA programs are administered by the State of Hawaii through the Hawaii Public Housing Authority (HPHA).

### Consolidated Plan Public Contact Information

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Email: kbarros@kauai.gov

## **PR-10 Consultation – 91.100, 91.110, 91.200(b), 91.300(b), 91.215(I) and 91.315(I)**

### **1. Introduction**

KCHA has administrative responsibility for the CDBG program and the implementation of the five-year Consolidated Plan, Annual Action Plan, and Consolidated Annual Performance and Evaluation Report. KCHA works with a variety of public agencies and non-profit organizations to ensure that benefits from the CDBG program are delivered to the community. The County is also a designated State HTF Subgrantee, to develop and preserve affordable rental housing serving extremely low-income (30% AMI) households.

**Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I)).**

To enhance coordination between public and assisted housing providers, the Housing Director participates in monthly meetings with other directors from U.S. Department of Agriculture (USDA), Hawaii Housing, Finance, & Development Corporation (HHFDC), Hawaii Public Housing Authority (HPHA), U.S. Department of Housing and Urban Development (HUD), U.S. Department of Hawaiian Home Lands (DHHL), Office of Hawaiian Affairs (OHA), Native American Housing Assistance and Self Determination Act (NAHASDA), City & County of Honolulu, Maui and Hawaii County housing divisions. The Housing Director also attends weekly meetings with department heads from Kauai County to coordinate with planning, economic development, aging, public safety, parks, public works, and other County officials. Other housing staff participate in meetings and serve on various committees involving: fair housing; Section 8 eviction hearings; Workforce Investment Board; and CDBG & HOME programs. CDBG staff partner and collaborate with various state health agencies and community organizations, such as: State of Hawaii Adult Mental Health, Vocational Rehabilitation, and Developmental Disabilities; Easter Seals of Hawaii, EPIC Ohana, Young Life Capernum, Malama Pono Health Services, Hoola Lahui, and more. Consultations are available on a year-round basis with diverse providers that service the low- to moderate-income populations on Kauai.

**Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness**

The State of Hawaii Department of Human Services (DHS) and Homeless Programs Office (HPO) contractually requires all homeless provider agencies funded by state and/or federal resources to participate in their respective County Continuum of Care (CoC) for collaboration and input into community planning efforts. These agencies provide outreach, emergency/transitional shelters, permanent supportive housing, rapid re-housing, homeless prevention, Housing First Program, and

other support services. These programs serve the following populations: chronic substance abuse; persons with HIV/AIDS; victims of domestic violence; and unaccompanied youth.

Bridging the Gap (BTG) is a geographically-based group of relevant stakeholder representatives that carries out the planning responsibilities of CoC programs and the goal to end homelessness. BTG is the union of three (3) rural county chapters:

- 1) Kauai Community Alliance (Kauai Chapter of BTG)
- 2) Community Alliance Partners (Hawaii Island Chapter of BTG)
- 3) Maui Homeless Alliance (Maui Chapter of BTG)

Members of the local chapters collectively convene at least twice annually for general membership meetings. Because of the non-contiguous nature of each island, the meetings are conducted via electronic means (webinar, video teleconference, teleconference, or other generally available technologies).

The SOH HPO convenes the statewide Continuum of Care meeting every other month. Members of the group include the chairperson of each local chapter and a respective county government representative. The statewide planning body collaborates on priorities, strategic planning and resources. The group also takes an expanded role of advising the state on funding priorities and legislative initiatives.

In accordance with the HEARTH Act, BTG works to use multiple resources to prevent homelessness or quickly rehouse homeless individuals and families while minimizing trauma and dislocation. BTG mandates collaboration among providers, promotes access to homeless assistance programs, encourages effective utilization of mainstream programs especially for special populations, and strives to optimize self-sufficiency among individuals and families experiencing homelessness or at-risk of homelessness.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards and evaluate outcomes, and develop funding, policies and procedures for the administration of HMIS**

Bridging the Gap, in collaboration with DHS/HPO, the ESG program administrator, initially opted to divide the ESG award in near equal amounts between the shelter operations and homelessness prevention/rapid re-housing (HPRP) components. However, BTG elected to allocate the most recent ESG grant by apportioning forty percent (40%) to emergency shelter operations and sixty percent (60%) to homelessness prevention and rapid re-housing activities. This allocation formula reflects BTG's greater emphasis on rapid re-housing and helping people to quickly regain stability in permanent housing after experiencing a housing crisis and homelessness. Homelessness prevention and rapid re-housing activities include financial assistance for rents, security deposits and utilities, and housing relocation and stabilization services. BTG elected to allocate HPRP funds to one agency each in Maui, Kauai and Hawaii counties.

In recent years, neighbor island representatives have become much more engaged in planning, advocacy, and data committee activities in conjunction with Partners In Care (PIC), and the Oahu Continuum of Care. BTG and PIC implemented the Homeless Management Information System (HMIS) solution to comply with HUD's data standards. Additionally, BTG has adopted a formal HMIS Security and Privacy Plan and a Policies and Procedures Manual which include security policies that detail how data is secured in HMIS; data sharing policies that detail with whom data is shared and for what purposes; and use access to HMIS. A formal data quality plan has also been adopted to define what constitutes a record for each program type; and determines timeliness, completeness and accuracy standards.

Moreover, data-driven performance standards are being updated and refined. These enhancements will allow the CoCs to utilize outcome measures to determine funding levels for applicants. HPO is in the process of developing a means to transform state funding determinations to more concisely reflect performance standards and performance based funding as HUD. While these systemic changes will take time to fully implement, the mechanisms to achieve and maintain progress have been executed.

To further promote collaboration among the CoC bodies, a portion of each Bridging the Gap meeting is set aside for the PIC chair and City and County of Honolulu representative to share information. This allows for discourse on legislative, advocacy, policy and data issues being addressed statewide. Additionally, many more neighbor island participants have requested placement on the PIC mailing list so that they can keep their agencies and their counties up-to-date, especially on state government issues during the legislative session. These efforts are building toward a greater sense of community across the state for homeless service providers, and thus, a more cohesive approach to our statewide infrastructure.

BTG has also benefitted greatly from recent HUD technical assistance with HMIS, performance measures, evaluation criteria, CoC operations, and guidance in creating policies and procedures.

## **2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdictions consultations with housing, social service agencies and other entities**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	KAUAI HOUSING DEVELOPMENT CORP
	<b>Agency/Group/Organization Type</b>	Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit housing developer.
2	<b>Agency/Group/Organization</b>	DHS-DIVISION OF VOCATIONAL REHABILITATION
	<b>Agency/Group/Organization Type</b>	Services-Persons with Disabilities Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Market Analysis
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government/state agency serving people with disabilities.
3	<b>Agency/Group/Organization</b>	BOYS AND GIRLS CLUB OF HAWAII
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Education Neighborhood
	<b>What section of the Plan was addressed by Consultation?</b>	Public Housing Needs Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a service provider for youth and at-risk youth.
4	<b>Agency/Group/Organization</b>	The Mutual Housing Association of Hawaii
	<b>Agency/Group/Organization Type</b>	Housing

	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit property developer and management organization.
5	<b>Agency/Group/Organization</b>	Kauai Habitat for Humanity
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Homeless Needs - Families with children Homelessness Needs - Veterans Non-Homeless Special Needs Market Analysis
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit housing developer.
6	<b>Agency/Group/Organization</b>	THE KAUAI BUS
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons Services-Persons with Disabilities Other government - County Transportation
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs Market Analysis
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government entity providing island wide transportation.

7	<b>Agency/Group/Organization</b>	Hawaiian Community Assets, Inc.
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Education Neighborhood, residents
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Non-Homeless Special Needs Market Analysis
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit housing counseling agency and community lending organization.
8	<b>Agency/Group/Organization</b>	KAUAI COMMUNITY CORRECTIONAL CENTER
	<b>Agency/Group/Organization Type</b>	Housing Publicly Funded Institution/System of Care Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Market Analysis
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a public safety facility and corrections institution.
9	<b>Agency/Group/Organization</b>	KAUAI FIRE DEPARTMENT
	<b>Agency/Group/Organization Type</b>	Other government - County Community First Responder
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Market Analysis

	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government entity providing first response services to the community.
10	<b>Agency/Group/Organization</b>	KKCR KAUAI COMMUNITY RADIO
	<b>Agency/Group/Organization Type</b>	non-profit community radio station
	<b>What section of the Plan was addressed by Consultation?</b>	emergency/crisis information
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit community radio station.
11	<b>Agency/Group/Organization</b>	GARDEN ISLAND REALTY LLC
	<b>Agency/Group/Organization Type</b>	Housing Business Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a realty/housing business for the community.
12	<b>Agency/Group/Organization</b>	KAUAI COUNTY HOUSING AGENCY
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Service-Fair Housing Other government - County Planning organization Business and Civic Leaders



	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Lead-based Paint Strategy Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs Economic Development Market Analysis Anti-poverty Strategy
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government housing development entity.
13	<b>Agency/Group/Organization</b>	AGENCY ON ELDERLY AFFAIRS
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government entity serving seniors.
14	<b>Agency/Group/Organization</b>	KAUAI COUNTY COUNCIL
	<b>Agency/Group/Organization Type</b>	Other government - County Business and Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Economic Development Market Analysis
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as civic leaders.

15	<b>Agency/Group/Organization</b>	WOMEN IN NEED
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Victims of Domestic Violence Services-homeless Services - Victims
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit service and housing provider.
16	<b>Agency/Group/Organization</b>	STATE OF HAWAII DEPARTMENT OF EDUCATION
	<b>Agency/Group/Organization Type</b>	Services-Education Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government educational institution.
17	<b>Agency/Group/Organization</b>	KAUAI ANIMAL EDUCATION CENTER
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Persons with Disabilities Services-Education
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit service provider for persons with disabilities.

18	<b>Agency/Group/Organization</b>	HOOMANA
	<b>Agency/Group/Organization Type</b>	Services-Persons with Disabilities Services-Education Business Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit service and training provider for youth and adults with disabilities.
19	<b>Agency/Group/Organization</b>	CAMP AGAPE KAUAI
	<b>Agency/Group/Organization Type</b>	Services-Children
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit service provider serving children whose parents are incarcerated.
20	<b>Agency/Group/Organization</b>	ANDARTA DESIGNS
	<b>Agency/Group/Organization Type</b>	Business Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Economic Development
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a for-profit business owner.
21	<b>Agency/Group/Organization</b>	KAUAI MISSIONARY CHURCHES PRISON MINISTRY
	<b>Agency/Group/Organization Type</b>	Services - Housing Services-homeless Civic Leaders
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs

	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a faith-based entity providing support services for families of incarcerated individuals.
22	<b>Agency/Group/Organization</b>	KAPAA HIGH SCHOOL
	<b>Agency/Group/Organization Type</b>	Services-Education Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government educational institution.
23	<b>Agency/Group/Organization</b>	LIFE'S CHOICES OF KAUAI, COUNTY OF KAUAI
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-homeless Services-Education Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government entity providing substance abuse prevention education, services, and treatment.
24	<b>Agency/Group/Organization</b>	MCKENNA RECOVERY CENTER
	<b>Agency/Group/Organization Type</b>	Services-Health
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs

	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a recovery treatment center.
25	<b>Agency/Group/Organization</b>	CATHOLIC CHARITIES HAWAII-KAUAI COMMUNITY OFFICE
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Elderly Persons Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a Continuum of Care member.
26	<b>Agency/Group/Organization</b>	KAUAI DRUG COURT
	<b>Agency/Group/Organization Type</b>	Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as judicial program providing alternatives to incarceration.
27	<b>Agency/Group/Organization</b>	FRIENDS OF THE KAUAI DRUG COURT
	<b>Agency/Group/Organization Type</b>	support services
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit support for Kauai Drug Court and Veterans Court serving at-risk youth and veterans.

28	<b>Agency/Group/Organization</b>	EPIC OHANA, INC.
	<b>Agency/Group/Organization Type</b>	Services-Children Foster Care for Youth
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Homelessness Needs - Unaccompanied youth
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit service provider for foster care youth, at-risk youth and families involved with child welfare.
29	<b>Agency/Group/Organization</b>	Hawaii Public Housing Authority
	<b>Agency/Group/Organization Type</b>	Housing PHA Other government - State
	<b>What section of the Plan was addressed by Consultation?</b>	Public Housing Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a government public housing agency.
30	<b>Agency/Group/Organization</b>	HALE OPIO KAUAI, INC.
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Education Youth
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit service provider for youth and their families.

31	<b>Agency/Group/Organization</b>	HAWAII COMMUNITY LENDING
	<b>Agency/Group/Organization Type</b>	Housing CDFI Community Development Financial Institution
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs HOPWA Strategy Market Analysis Homebuyers
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a certified Community Development Financial Institution.
32	<b>Agency/Group/Organization</b>	KAPAA MISSIONARY CHURCH
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-homeless Faith-based
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a neighborhood faith-based entity serving the community.

33	<b>Agency/Group/Organization</b>	LEGAL AID SOCIETY OF HAWAII - KAUAI BRANCH
	<b>Agency/Group/Organization Type</b>	Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-Persons with HIV/AIDS Services-Victims of Domestic Violence Services-homeless Service-Fair Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit providing legal services.
34	<b>Agency/Group/Organization</b>	KAUAI ECONOMIC OPPORTUNITY, INC.
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing Services-Children Services-Elderly Persons Services-Persons with Disabilities Services-homeless Services-Education Services-Employment
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Non-Homeless Special Needs



	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Participated in online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey to provide input as a non-profit social service provider and homeless shelter operator.
35	<b>Agency/Group/Organization</b>	UNIVERSITY OF HAWAII ECONOMIC RESEARCH ORGANIZATION
	<b>Agency/Group/Organization Type</b>	Planning organization
	<b>What section of the Plan was addressed by Consultation?</b>	Fair Housing
	<b>How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Consultation commissioned by the County to provide an Analysis of Impediments to Fair Housing Report in order to identify the County's fair housing issues and provide recommendations to help the Housing Agency further the goals of promoting equal opportunity.

**Identify any Agency Types not consulted and provide rationale for not consulting**

None.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

<b>Name of Plan</b>	<b>Lead Organization</b>	<b>How do the goals of your Strategic Plan overlap with the goals of each plan?</b>
Continuum of Care	Refer to State of Hawaii Consolidated Plan	

**Table 3 – Other local / regional / federal planning efforts**

**Describe cooperation and coordination with other public entities, including the State and any adjacent units of general local government, in the implementation of the Consolidated Plan (91.215(I))**

The following plans, reports, and assessments were consulted, reviewed and when applicable, incorporated in the Consolidated Plan:

- 1) Kauai Kakou, Kauai General Plan 2018 by County of Kauai Planning Department
- 2) Kauai Comprehensive Economic Development Strategy (CEDS) Update 2016-2020 by County of Kauai Office of Economic Development
- 3) 4-Year Area Plan on Aging 2015-2019 by County of Kauai Agency on Elderly Affairs
- 4) Kauai Community Drug Response Plan 2015-2020 by County of Kauai Life's Choices Kauai

- 5) Kauai Community Health Needs Assessment 2018 by Healthcare Association of Hawaii and Kauai Community Health Improvement Plan 2014, Our Keiki, Our Kupuna, Our Ohana by Hawaii Department of Health and various partnering agencies including County of Kauai
- 6) Keiki to Career 2018 Kauai Youth Report: Indicators of Achievement, Health and Well-Being by Kauai Planning & Action Alliance
- 7) Defining Successful Rideshare Programs: A Vision for Kauai County by Kauai Planning & Action Alliance
- 8) Holo Holo 2020, Growing Kauai Responsibly by Mayor Bernard P. Carvalho, Jr.
- 9) Kauai Parks & Recreation Master Plan 2013 by County of Kauai Department of Parks & Recreation
- 10) Hawaii Housing Planning Study 2019, Kauai County Housing Agency and SMS Research
- 11) Childhood Lead Poisoning Prevention Guidelines, State of Hawaii, Department of Health, Maternal and Child Health Branch
- 12) Center on the Family, University of Hawaii, College of Tropical Agriculture and Human Resources
- 13) 2020 Neighbor Island Point in Time Count Analysis
- 14) Lead Based Paint Pamphlet, State of Hawaii, Department of Health, Lead-Based Paint Program
- 15) Kauai Multimodal Land Transportation Plan; Planning for a Sustainable Transportation System in Kauai County through 2035, County of Kauai
- 16) Hawaii Sea Level Rise Vulnerability and Adaptive Report 2017 by Hawaii Climate Change Mitigation and Adaptation Commission and State of Hawaii, Department of Land and Natural Resources
- 17) Kauai Climate Change and Coastal Hazards Assessment 2014 by County of Kauai and University of Hawaii Sea Grant College Program
- 18) Hanalei to Haena Community Disaster Resilience Plan, Hanalei Watershed Hui and National Oceanic and Atmospheric Administration
- 19) West Kauai Community Plan, Kauai Planning Department
- 20) Inaugural Kauai Housing Summit, 2019
- 21) Workforce Housing Nexus and Financial Feasibility Analysis, County of Kauai and Keyser Marston Associates, Inc. June 2019
- 22) Hawaii Broadband Strategic Plan Dec 2012, State of Hawaii, Department of Commerce and Consumer Affairs
- 23) West Kauai Community Vulnerability Assessment, October 2019, University of Hawaii Sea Grant College Program

## **PR-15 Citizen Participation – 91.105, 91.115, 91.200(c) and 91.300(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

In developing the Consolidated Plan, a series of public hearings were held to solicit input on community development, affordable housing and homeless needs for the plan. Public hearing notices were published in The Garden Island Newspaper. Public workshops were conducted at various locations on the island not only to receive input but also to provide information on CDBG grant eligibility and the application process, as well as other federal programs. Another venue to disseminate information and solicit input for the needs assessment survey is the county's website. An online survey was created with a link to the survey, and email notifications were sent to various community non-profits organizations, business and civic leaders, state and local health and child welfare agencies, and more. Finally, a flyer was created, "What Do You Think?" to solicit input and feedback and encourage public participation. Flyers were posted on informational boards at the homeless shelter, affordable housing complexes, social service agencies, faith-based organizations, organizations focused on serving developmentally and physically disabled individuals, kupuna and keiki. Input provided through surveys, consultations and collaboration was included in the development of the plan and the County's goals.

In April 2020, the Citizen Participation Plan was amended to address needs more efficiently in the event of a natural disaster, local government emergency proclamation or presidentially-declared national emergency--specifically, the coronavirus (COVID-19) global pandemic. For disaster response and recovery, the County amended the 30-day public comment period to a 5-day comment period to be effective through the end of the following program year. The CPP was also amended to better clarify the CDBG program's national objective, urgent needs.

A public notice to receive input on the amendments was published in the Garden Island Newspaper and the draft was posted on the County's website. There were no comments. The CPP is included in the Consolidated Plan.

### **Citizen Participation Outreach:**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
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1	Public Hearing	Non-targeted/ broad community	A total of three meetings/hearings/workshops were conducted in the planning and development of the Consolidated Plan.	-need smaller units- need support services for special needs resident populations-elderly individuals need supportive services-need to help the most vulnerable homeless people-consider inclusion of stronger services component in County's housing development proposals-need specialty workforce housing for teachers and police-need transitional housing and supportive services for youth transitioning out of foster care-TBRA is helpful, but not enough housing inventory; more transitional shelter could be helpful	Not applicable	
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Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Newspaper Ad	Non-targeted/ broad community	Two legal notices were published in The Garden Island Newspaper to announce places and times for meetings/hearings/workshops; request participation to review and comment on the draft Con Plan; and location to obtain hard copies of the draft plan.	No comments were received.	Not applicable.	
3	Internet Outreach	Non-targeted/ broad community	The County posted announcements on its website homepage inviting the public to participate in the online needs assessment survey, review and comments on the draft Con Plan. A link to the plan allowed easy access.	The County received 52 online surveys.	Not applicable.	<a href="http://www.kauai.gov">www.kauai.gov</a>
4	Flyer posted on information board	Residents of Public and Assisted Housing	Flyers were posted on community boards to solicit comments on the draft Con Plan.	No comments were received.	Not applicable.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
5	Flyer posted on information board	faith-based organization	Flyers were posted on informational boards at Lihue Christian Church, U-Turn for Christ, and Catholic Charities to solicit comments on the draft Con Plan.	No comments were received.	Not applicable.	
6	Flyer posted on information board	Persons with disabilities	Flyers were posted on informational boards at Easter Seals Hawaii and Division of Developmentally Disabled to solicit comments on the draft Con Plan.	No comments were received.	Not applicable.	
7	Flyer posted on information board	non-profit service organizations	Flyers were posted on informational boards at Women In Need, Love the Journey, and Boys & Girls Club of Hawaii to solicit comments on the draft Con Plan.	No comments were received.	Not applicable.	
8	Flyer posted on information board	Minorities	Flyers were posted on informational boards at Queen Liliuokalani Children's Center to solicit comments on the draft Con Plan.	No comments received.	Not applicable.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
9	Flyer posted on information board	homeless shelter, group home, transitional housing	Flyers were posted on community boards at the homeless shelter, group home and transitional housing units operated by Kauai Economic Opportunity, Inc. to solicit comments on the draft Con Plan.	No comments were received.	Not applicable.	

**Table 4 – Citizen Participation Outreach**



## Needs Assessment

### NA-05 Overview

#### Needs Assessment Overview

Estimate of housing need for 2020-2025

On Kauai, approximately 4,281 new units are needed to meet overall housing demand through year 2025, with about 54% of units needed for the rental market. This estimate includes existing “pent up” demand for housing and the anticipated demand based on the formation of new households. The needs estimate is based on a Housing Supply/Demand Model formulated as part of the Hawai‘i Housing Planning Study, 2019. The Model shows a 57% demand for new units for households below 80% of median income, where Kauai’s housing need is greatest among the lower end of the market. In a high cost housing market like Kauai, which is recognized to be one of the highest in America, both in terms of home prices and rents, it is not at all surprising that the largest gaps in demand and supply estimates is disproportionately greater at the bottom of the economic ladder.

The County makes disaster response and recovery priority for all Community Planning and Development programs funded by HUD, to include: CDBG, CDBG-CV, CDBG-DR, HOME and HTF programs. CPD funds may be redirected or reprogrammed in the event of a declared disaster in the County, State emergency proclamation or presidentially-declared national emergency. The 30-day public comment period is waived for substantial amendments, provided a 5-day public comment period is conducted for each substantial amendment. In lieu of a published public notice in a newspaper of general circulation, a notice will be posted on the County’s website. The public comment period waiver will be effective through the end of the following program year. The County will serve and assist, but not limited to, extremely low to low- to moderate-income individuals to include HUD's definition of presumed low-income and vulnerable populations. In accordance with project eligibility and federal program requirements, the following potential response and recovery categories/activities may be funded:

- Public Services - short-term emergency subsistence payments on behalf of LMI, food security programs, public safety services, relocation, and other services for seniors, homeless, disabled, youth, substance abuse, victims of domestic violence, and more.
- Housing - emergency shelters, homeless facilities, transitional housing
- Economic Development - retain or create new jobs - microenterprise
- Public Facilities/Infrastructure - interim assistance, clearance demolition, child/youth/senior centers, centers for persons with a disability, health facilities, neighborhood centers, parks and recreation facilities
- Other disaster related activities that will expeditiously contribute to the community's recovery

## NA-10 Housing Needs Assessment - 24 CFR 91.205 (a,b,c)

### Summary of Housing Needs

#### Housing Needs Summary Tables

The U. S. Department of Housing and Urban Development (HUD) consolidated housing data from 2011-2015 produced a series of housing needs summary data tables on housing problems commonly experienced by households, such as cost burden, overcrowding, and the lack of incomplete kitchens and plumbing. The tables provide information on Renter and Owner households according to the following income categories: households with incomes under 30% of the median, households with incomes between 30% and 50% of median, households with incomes between 50% and 80% of the median, and households with incomes above 80% of median. These CHAS Data Tables are provided in the Housing Needs Assessment. In brief, the following trends emerge:

- The lower the income, the greater the housing problem. More specifically, 30% of households with incomes between 50%-80% of median had problems; 22% of households between 30%-50% had problems; and 38% of households with incomes below 30% of median had problems.
- Housing affordability is a problem. Renter and Owner households below 30% of median, and between 30%-50% of median have the highest housing cost burden among households below 100% of AMI paying greater than 50% of their income on housing costs.
- Overcrowded housing is a problem. Renters experience a disproportionately higher incidence of severe overcrowding than Owners, especially with units that house >1.51 people per room.
- Substandard housing is a problem. Significantly more Renters, approximately 3 renters to 1 owner, experience substandard housing (lacking complete plumbing or kitchen facilities) than Owners with similar household incomes.

Demographics	Base Year: 2009	Most Recent Year: 2015	% Change
Population	67,091	69,685	4%
Households	21,968	22,404	2%
Median Income	\$63,317.00	\$65,101.00	3%

**Table 5 - Housing Needs Assessment Demographics**

**Data Source:** 2005-2009 ACS (Base Year), 2011-2015 ACS (Most Recent Year)

## Number of Households Table

	0-30% HAMFI	>30-50% HAMFI	>50-80% HAMFI	>80-100% HAMFI	>100% HAMFI
Total Households	2,820	2,634	3,988	2,678	10,289
Small Family Households	672	854	1,283	1,132	5,238
Large Family Households	157	241	525	314	1,391
Household contains at least one person 62-74 years of age	691	690	1,021	637	3,316
Household contains at least one person age 75 or older	532	647	674	377	882
Households with one or more children 6 years old or younger	330	494	775	508	1,299

**Table 6 - Total Households Table**

Data 2011-2015 CHAS  
Source:

## Housing Needs Summary Tables

### 1. Housing Problems (Households with one of the listed needs)

	Renter					Owner				
	0-30% AMI	>30- 50% AMI	>50- 80% AMI	>80- 100% AMI	Total	0-30% AMI	>30- 50% AMI	>50- 80% AMI	>80- 100% AMI	Total
NUMBER OF HOUSEHOLDS										
Substandard Housing - Lacking complete plumbing or kitchen facilities	119	49	25	39	232	18	28	34	4	84
Severely Overcrowded - With >1.51 people per room (and complete kitchen and plumbing)	98	8	69	33	208	14	59	24	12	109
Overcrowded - With 1.01-1.5 people per room (and none of the above problems)	68	49	199	88	404	18	30	106	47	201
Housing cost burden greater than 50% of income (and none of the above problems)	710	397	240	16	1,363	671	411	648	221	1,951

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
Housing cost burden greater than 30% of income (and none of the above problems)	169	435	527	270	1,401	143	240	435	584	1,402
Zero/negative Income (and none of the above problems)	111	0	0	0	111	126	0	0	0	126

**Table 7 – Housing Problems Table**

Data 2011-2015 CHAS  
Source:

2. Housing Problems 2 (Households with one or more Severe Housing Problems: Lacks kitchen or complete plumbing, severe overcrowding, severe cost burden)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
<b>NUMBER OF HOUSEHOLDS</b>										
Having 1 or more of four housing problems	1,010	503	531	181	2,225	712	507	833	279	2,331
Having none of four housing problems	483	821	1,210	851	3,365	376	787	1,408	1,370	3,941
Household has negative income, but none of the other housing problems	111	0	0	0	111	126	0	0	0	126

**Table 8 – Housing Problems 2**

Data 2011-2015 CHAS  
Source:

### 3. Cost Burden > 30%

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
NUMBER OF HOUSEHOLDS								
Small Related	387	330	394	1,111	133	220	360	713
Large Related	97	107	77	281	24	101	182	307
Elderly	226	289	122	637	429	299	376	1,104
Other	425	197	291	913	244	84	253	581
Total need by income	1,135	923	884	2,942	830	704	1,171	2,705

**Table 9 – Cost Burden > 30%**

Data 2011-2015 CHAS  
Source:

### 4. Cost Burden > 50%

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
NUMBER OF HOUSEHOLDS								
Small Related	312	132	102	546	123	178	255	556
Large Related	73	49	10	132	20	83	98	201
Elderly	197	156	80	433	306	159	181	646
Other	320	100	48	468	230	41	135	406
Total need by income	902	437	240	1,579	679	461	669	1,809

**Table 10 – Cost Burden > 50%**

Data 2011-2015 CHAS  
Source:

### 5. Crowding (More than one person per room)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
NUMBER OF HOUSEHOLDS										
Single family households	152	57	227	78	514	28	75	98	37	238

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
Multiple, unrelated family households	14	0	30	27	71	8	12	37	22	79
Other, non-family households	24	0	10	10	44	0	0	0	0	0
Total need by income	190	57	267	115	629	36	87	135	59	317

**Table 11 – Crowding Information – 1/2**

Data Source: 2011-2015 CHAS

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
Households with Children Present	0	0	0	0	0	0	0	0

**Table 12 – Crowding Information – 2/2**

Data Source  
Comments:

### **Describe the number and type of single person households in need of housing assistance.**

Single person households consist of households with one adult member only. For Kauai County, single person households represent approximately 22% of all households and single persons range from young adults to seniors. Data isolating the number of single person households in need of housing assistance is not currently available. However, as previously forecasted in the Hawaii Housing Policy Study, 2019, if one assumes elderly households (62 and older) as largely single person households, the estimated new units needed on Kauai by year 2025 to meet demand (owners and renters) totals 215 units.

### **Estimate the number and type of families in need of housing assistance who are disabled or victims of domestic violence, dating violence, sexual assault and stalking.**

As reported in the ACS 2014-2018, Center on Disability Studies Annual Report 2009-2010, Kauai has a total disabled population estimated 3,015 residents. As reported in the Hawaii Housing Policy Study, 2019, evaluation of families with disabilities, “nearly 23% of Hawaii residents with disabilities lives below the poverty line compared to only ten percent of those without disabilities in the populations.” Statistically, the County lacks data to estimate the number of victims of domestic violence, dating violence, sexual assault and stalking in need of housing assistance. However, it is reasonable to expect that families have housing challenges and encounter difficulties due to their

history of abuse, as this may lead to poor employment, credit and rental histories that make it more difficult to find safe, adequate, and affordable housing.

### **What are the most common housing problems?**

Housing cost burden represents the most common housing problem, especially for household below 80% of median income. Based on the 2011-2015 CHAS, Summary Tables, extremely low-income (0-30) small related and elderly households experience the greatest cost burden in terms of housing costs exceeding 30% and 50% of income. Next, after cost burden, the most common housing problem is severely overcrowded conditions (>1.51 people per room), with overcrowding concentrated among extremely-low and very-low income households.

### **Are any populations/household types more affected than others by these problems?**

Based on the Housing Needs Summary Tables, 2011-2015 CHAS, housing problems for substandard housing, overcrowding, and housing cost burden is most prevalent among the extremely low renter (0-30% AMI) households.

### **Describe the characteristics and needs of Low-income individuals and families with children (especially extremely low-income) who are currently housed but are at imminent risk of either residing in shelters or becoming unsheltered 91.205(c)/91.305(c)). Also discuss the needs of formerly homeless families and individuals who are receiving rapid re-housing assistance and are nearing the termination of that assistance**

Low-income individuals and families who are at-risk for homelessness are less established single parents, members of unmarried couples, have very young children, and include disproportionately high numbers of widowed and divorced persons. Based on the Hawaii Housing Planning Study, 2019, in 2018, Hawaii had the third-highest per capita rate of homelessness among the 50 states – 460 persons per 100,000. The homeless population decreased again from 2018 to 2019 by about 1.3 percent. However, there were still 6,448 homeless persons in Hawaii on any given night in 2019.

The Homeless Management Information System (HMIS), which maintains data on homeless persons in shelters or encountered at unsheltered locations across the state. 111 The HMIS data file is populated by homeless services agencies and providers based on the clients they serve. The HMIS database is used daily by providers and state agencies to assist in the management and tracking of persons seeking services and in the coordination of resources in the homeless sector. 443 households on Kauai are considered homeless with 35% of families at-risk for homelessness.

### **If a jurisdiction provides estimates of the at-risk population(s), it should also include a description of the operational definition of the at-risk group and the methodology used to generate the estimates:**



At-risk for homelessness is operationally defined as households in which members would become homeless in less than three (3) months if they suddenly lost their primary source of income. The methodology used to generate the at-risk estimate is done by consultants conducting housing studies which survey residents by random digit dialing. In the past, housing studies have used a survey sampling size to collect data to reach a statistical margin of error of plus or minus 5%.

**Specify particular housing characteristics that have been linked with instability and an increased risk of homelessness**

The particular housing characteristics linked with instability and an increased risk of homelessness are 1) sudden loss of income to pay rent that forces households out of their current unit, 2) shortage or loss of affordable housing inventory due to absorption of the local rental market by more affluent arrivals and the visitor industry, and 3) a regulatory environment that slows the production and construction of new housing inventory for residents. With housing being the highest item cost in most families, the low wage to high housing costs equates to difficulty in affording even basic housing, causing instability and placing more families at risk of homelessness.

## NA-15 Disproportionately Greater Need: Housing Problems – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has disproportionately greater need in comparison to the needs of that category of need as a whole.

### Introduction

Data from CHAS 2011-2015 was analyzed to determine if racial or ethnic groups experienced a disproportionately greater need for any income category in comparison to the needs of that category as a whole. HUD considers disproportionately greater need to exist when the percentage of persons in a category of need who are members of a particular racial or ethnic group is at least ten percentage points higher than the percentage of persons in the category as a whole. Based on this assessment, data shows no disproportionate need between Hispanic; White, Non-Hispanic; Black, Non-Hispanic; and “Other” households in the State of Hawaii.

### 0%-30% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	2,042	546	237
White	969	211	99
Black / African American	4	0	0
Asian	509	220	79
American Indian, Alaska Native	14	8	0
Pacific Islander	271	52	16
Hispanic	140	32	0

**Table 13 - Disproportionally Greater Need 0 - 30% AMI**

Data 2011-2015 CHAS  
Source:

\*The four housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

### 30%-50% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	1,709	933	0

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
White	861	307	0
Black / African American	10	0	0
Asian	422	431	0
American Indian, Alaska Native	0	0	0
Pacific Islander	128	61	0
Hispanic	153	44	0

**Table 14 - Disproportionally Greater Need 30 - 50% AMI**

Data 2011-2015 CHAS  
Source:

\*The four housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

#### 50%-80% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	2,331	1,655	0
White	1,038	506	0
Black / African American	15	14	0
Asian	506	859	0
American Indian, Alaska Native	0	0	0
Pacific Islander	175	79	0
Hispanic	325	77	0

**Table 15 - Disproportionally Greater Need 50 - 80% AMI**

Data 2011-2015 CHAS  
Source:

\*The four housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

## 80%-100% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	1,315	1,389	0
White	634	438	0
Black / African American	55	0	0
Asian	370	539	0
American Indian, Alaska Native	4	0	0
Pacific Islander	99	96	0
Hispanic	66	138	0

**Table 16 - Disproportionally Greater Need 80 - 100% AMI**

Data 2011-2015 CHAS  
Source:

\*The four housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

## NA-20 Disproportionately Greater Need: Severe Housing Problems – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has disproportionately greater need in comparison to the needs of that category of need as a whole.

### Introduction

Data from CHAS 20011-2015 was analyzed to determine if racial or ethnic groups experienced a disproportionately greater need for any income category in comparison to the needs of that category as a whole. HUD considers disproportionately greater need to exist when the percentage of persons in a category of need who are members of a particular racial or ethnic group is at least ten percentage points higher than the percentage of persons in the category as a whole. Based on this assessment, data shows no disproportionate need between Hispanic; White, Non-Hispanic; Black, Non-Hispanic; and “Other” households in the State of Hawaii.

### 0%-30% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	1,722	859	237
White	883	281	99
Black / African American	4	0	0
Asian	401	323	79
American Indian, Alaska Native	4	18	0
Pacific Islander	226	101	16
Hispanic	110	61	0

**Table 17 – Severe Housing Problems 0 - 30% AMI**

Data Source: 2011-2015 CHAS

\*The four severe housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4. Cost Burden over 50%

### 30%-50% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	1,010	1,608	0
White	513	645	0
Black / African American	10	0	0
Asian	272	588	0
American Indian, Alaska Native	0	0	0
Pacific Islander	60	132	0
Hispanic	85	112	0

**Table 18 – Severe Housing Problems 30 - 50% AMI**

Data 2011-2015 CHAS  
Source:

\*The four severe housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4. Cost Burden over 50%

### 50%-80% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	1,364	2,618	0
White	605	910	0
Black / African American	0	29	0
Asian	315	1,066	0
American Indian, Alaska Native	0	0	0
Pacific Islander	85	163	0
Hispanic	200	207	0

**Table 19 – Severe Housing Problems 50 - 80% AMI**

Data 2011-2015 CHAS  
Source:

\*The four severe housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4. Cost Burden over 50%

## 80%-100% of Area Median Income

<b>Severe Housing Problems*</b>	<b>Has one or more of four housing problems</b>	<b>Has none of the four housing problems</b>	<b>Household has no/negative income, but none of the other housing problems</b>
Jurisdiction as a whole	460	2,221	0
White	162	918	0
Black / African American	55	0	0
Asian	141	764	0
American Indian, Alaska Native	0	4	0
Pacific Islander	33	166	0
Hispanic	48	156	0

**Table 20 – Severe Housing Problems 80 - 100% AMI**

Data 2011-2015 CHAS  
Source:

\*The four severe housing problems are:

1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4. Cost Burden over 50%

## NA-25 Disproportionately Greater Need: Housing Cost Burdens – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has disproportionately greater need in comparison to the needs of that category of need as a whole.

### Introduction:

Data from CHAS 2011-2015 was analyzed to determine if racial or ethnic groups experienced a disproportionately higher cost burden any income category in comparison to the needs of that category as a whole. HUD considers disproportionately greater need to exist when the percentage of persons in a category of need who are members of a particular racial or ethnic group is at least ten percentage points higher than the percentage of persons in the category as a whole. Based on this assessment, data shows no disproportionate need between Hispanic; White, Non-Hispanic; Black, Non-Hispanic; and “Other” households in the State of Hawaii.

### Housing Cost Burden

Housing Cost Burden	<=30%	30-50%	>50%	No / negative income (not computed)
Jurisdiction as a whole	13,645	4,587	3,927	248
White	5,212	1,983	2,104	103
Black / African American	68	75	14	0
Asian	5,240	1,138	928	79
American Indian, Alaska Native	81	24	4	0
Pacific Islander	976	483	230	16
Hispanic	800	426	343	0

**Table 21 – Greater Need: Housing Cost Burdens AMI**

Data Source: 2011-2015 CHAS



### **NA-30 Disproportionately Greater Need: Discussion – 91.205(b)(2)**

**Are there any Income categories in which a racial or ethnic group has disproportionately greater need than the needs of that income category as a whole?**

Based on the definition used for disproportionately greater need, no income categories among racial or ethnic groups are disproportionate.

**If they have needs not identified above, what are those needs?**

Not applicable.

**Are any of those racial or ethnic groups located in specific areas or neighborhoods in your community?**

Not applicable.

## NA-35 Public Housing – 91.205(b)

### Introduction

#### Totals in Use

	Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
# of units vouchers in use	0	0	0	696	0	678	5	0	0

Table 22 - Public Housing by Program Type

\*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition

Data Source: PIC (PIH Information Center)

#### Characteristics of Residents

	Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	
Average Annual Income	0	0	0	15,746	0	15,242	14,295		0
Average length of stay	0	0	0	7	0	7	0		0
Average Household size	0	0	0	2	0	2	1		0

	Program Type							
	Certificate	Mod-Rehab	Public Housing	Vouchers				
				Total	Project - based	Tenant - based	Special Purpose Voucher	
							Veterans Affairs Supportive Housing	Family Unification Program
# Homeless at admission	0	0	0	12	0	12	0	0
# of Elderly Program Participants (>62)	0	0	0	190	0	188	2	0
# of Disabled Families	0	0	0	181	0	177	3	0
# of Families requesting accessibility features	0	0	0	696	0	678	5	0
# of HIV/AIDS program participants	0	0	0	0	0	0	0	0
# of DV victims	0	0	0	0	0	0	0	0

**Table 23 – Characteristics of Public Housing Residents by Program Type**

**Data Source:** PIC (PIH Information Center)

## Race of Residents

Program Type									
Race	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
White	0	0	0	364	0	355	3	0	0
Black/African American	0	0	0	8	0	8	0	0	0
Asian	0	0	0	140	0	135	1	0	0

Program Type									
Race	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
American Indian/Alaska Native	0	0	0	10	0	10	0	0	0
Pacific Islander	0	0	0	174	0	170	1	0	0
Other	0	0	0	0	0	0	0	0	0
<b>*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition</b>									

**Table 24 – Race of Public Housing Residents by Program Type**

Data Source: PIC (PIH Information Center)

## Ethnicity of Residents

Program Type									
Ethnicity	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
Hispanic	0	0	0	79	0	77	0	0	0
Not Hispanic	0	0	0	617	0	601	5	0	0
<b>*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition</b>									

**Table 25 – Ethnicity of Public Housing Residents by Program Type**

Data Source: PIC (PIH Information Center)

**Section 504 Needs Assessment: Describe the needs of public housing tenants and applicants on the waiting list for accessible units:**

The County is committed to meeting all requirements of Section 504 as it relates to accessible units. This includes the requirement to make 5% of the total units or at least one unit, whichever is greater, accessible, and an additional 2% of the total units or at least one unit accessible for persons with hearing or vision impairments. Based on a recent assessment of County-assisted rental projects, accessible units are at 100% utilization. In some cases, persons needing accessible units are wait-listed.

**Most immediate needs of residents of Public Housing and Housing Choice voucher holders**

The most immediate needs of public housing and Housing Choice Voucher holders is an available supply of affordable housing, a range of supportive services that stabilize and/or advance families socially and economically, and public transportation.

**How do these needs compare to the housing needs of the population at large**

Generally, those who are socially and/or economically disadvantaged are more susceptible to living in overcrowded housing conditions, substandard housing, or going homeless. Those within the population at large with more resources are less inclined to lack suitable housing or be at-risk for homelessness.

## NA-40 Homeless Needs Assessment – 91.205(c)

### Introduction:

The mild year-long weather, the Aloha spirit, cultural/ethnic diversity, and the beautiful vistas all serve to make Hawaii a very desirable place to live. Conversely, Hawaii is affected by prohibitive local taxes, a challenging business environment, restricted amount of land for development, and is located in the middle of the Pacific Ocean with limited natural resources for sustainability. Overall, these negative factors contribute to the high cost of living in Hawaii, which has often been dubbed “The Price of Paradise”.

The State of Hawaii, Department of Human Services, Homeless Programs Office is the lead agency for homeless services. Funds are allocated through the state and disbursed to the neighbor island counties. Neighboring counties follow the state’s Strategic Homeless Plan and implements these services individually depending on the needs of the respective counties.

According to the 2017 Homeless Utilization Report, Kauai homeless populations are as follows: 654 individuals are newly homeless, of the 282, individuals are recently homeless. Also, Kauai has 125 chronically homeless individuals. These numbers do not represent the entire homeless population for Kauai County and is meant to provide a snapshot on the newly homeless in our community. Accurate estimates are in the attached 2014 Homeless Point-In-Time (PIT) Count.

Homeless data is updated annually through a statewide PIT Count. For the island of Kauai, the count is based on a specific single night via survey of homeless individuals conducted over a designated week. Surveys are done with the collaboration of non-profit and local county agencies and the data collected is then inputted by the lead agency into the Homeless Management Information System (HMIS). An independent contractor uses this information to generate the Statewide Homeless Point-in-Time Count Report. The 2019 Homeless Point-In-Time (PIT) Count counted a total of 443 homeless people on Kauai, of which 95 (21.4 %) is sheltered and 348 (78.6%) is unsheltered. Of the 443 homeless individuals, 59 children were identified and 32 family households were comprised.

Indicate if the homeless population is:      All Rural Homeless

## Rural Homeless Needs Assessment

Population	Estimate the # of persons experiencing homelessness on a given night		Estimate the # experiencing homelessness each year	Estimate the # becoming homeless each year	Estimate the # exiting homelessness each year	Estimate the # of days persons experience homelessness
	Sheltered	Unsheltered				
Persons in Households with Adult(s) and Child(ren)	348	95	0	0	0	0
Persons in Households with Only Children	30	29	0	0	0	0
Persons in Households with Only Adults	32	20	0	0	0	0
Chronically Homeless Individuals	130	7	0	0	0	0
Chronically Homeless Families	6	1	0	0	0	0
Veterans	20	6	0	0	0	0
Unaccompanied Youth	0	0	0	0	0	0
Persons with HIV	3	2	0	0	0	0

**Table 26 - Homeless Needs Assessment**

### Data Source Comments:

**For persons in rural areas who are homeless or at risk of homelessness, describe the nature and extent of unsheltered and sheltered homelessness with the jurisdiction:**

The nature and extent of both unsheltered and sheltered homelessness within our jurisdiction is described as “hidden homeless”. These are individuals and families are homeless but generally stay with relatives and/or friends, camp, or “hide out” —which make them difficult to locate.

The Statewide Point-in-Time Count Report provides a close to accurate overview of our state's and local counties' homeless population. It does not take into account those individuals who are located in areas that are difficult to access. Volunteers from local agencies who are familiar with the homeless population find that these individuals, specifically newly homeless who are familiar with their surrounding areas, are the most difficult to locate.

**If data is not available for the categories "number of persons becoming and exiting homelessness each year," and "number of days that persons experience homelessness," describe these categories for each homeless population type (including chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth):**

There is no accurate data for the Categories of "Number of persons becoming and exiting homelessness each year" and "number of days that persons experience homelessness", so this data is not recorded. There are many different factors that contribute to not having accurate numbers, such as, weather, secluded camping areas, or those staying with relatives or friends. These factors contribute to changing number in our homeless count each year and do not take into consideration if they are chronically homeless individuals and families, families with children, veterans and their families and/or unaccompanied youths.

The description of categories for each homeless population type are:

Chronically homeless individuals and families: Individuals and families with disabling conditions who have been continuously homeless for a year or more or has had at least four episodes of homelessness in the past three years. A person must have been sleeping in a place not meant for human habitation and/or in an emergency homeless shelter.

Homeless families with children: Individuals and families with disabling conditions who have been continuously homeless for a year or more or has had at least four episodes of homelessness in the past three years with minor children under the age of 18. A person must have been sleeping in a place not meant for human habitation and/or in an emergency homeless shelter.

Homeless Veterans and their families: Predominantly male, roughly 8% females. Majority single, suffers from mental illness, alcohol and/or substance abuse issues, PTSD, or reoccurring disorders, have been continuously homeless and must be sleeping in a place not meant form human habitation and/or in an emergency homeless shelter.

Unaccompanied Youth: Minors not in physical custody of parent or guardian, including those living in inadequate housing or shelters, cars, or on the streets. Also, those who have been denied housing by their families and school-age unwed mothers who have no housing of their own.



**Nature and Extent of Homelessness: (Optional)**

<b>Race:</b>	<b>Sheltered:</b>	<b>Unsheltered (optional)</b>
White	0	0
Black or African American	0	0
Asian	0	0
American Indian or Alaska Native	0	0
Pacific Islander	0	0
<b>Ethnicity:</b>	<b>Sheltered:</b>	<b>Unsheltered (optional)</b>
Hispanic	0	0
Not Hispanic	0	0

Data Source

Comments:

**Estimate the number and type of families in need of housing assistance for families with children and the families of veterans.**

The number and types of families in need of housing assistance for families with children and the families of veterans varies almost daily. There is a great need for housing and housing assistance in our County as well as statewide. For every one family that is able find and secure housing, there is an average of five families that find themselves homeless with either limited or no resources.

There is no way to estimate this number and type of families in need of housing assistance due to varying factors, such as if they end up living with families, if they are camping, or sleeping in their vehicles, parks, etc. The number of people becoming homeless continues to grow daily.

While there is a need for housing for youth transitioning out of foster care, the County does not have data on those numbers.

**Describe the Nature and Extent of Homelessness by Racial and Ethnic Group.**

Throughout the years, homelessness has not affected one particular ethnic group. Recently, there has been a great change in the diversity of homeless individuals. Where it was once greater with a specific ethnic group, it is now showing a mix of individuals depending on the area. For Kauai County we have noticed that the population of homeless grows not by ethnic group but more by area. Homeless individuals tend to stay in areas that are familiar.

**Describe the Nature and Extent of Unsheltered and Sheltered Homelessness.**

The Statewide Point-In-Time (PIT) Count is conducted annually. The objective of the count is to obtain an accurate estimate of Hawaii and Kauai's sheltered and unsheltered homeless populations: number of homeless singles; number of persons in households with children; number of chronically homeless individuals and families.

## **NA-45 Non-Homeless Special Needs Assessment - 91.205 (b,d)**

### **Introduction:**

The rural county non-homeless residents with special needs (HIV/AIDS) are served through the Housing Opportunities for Person HIV/AIDS (HOPWA) program administered through the State of Hawaii Department of Human Services. Maui AIDS Foundation (MAF) serves as the lead agency and project sponsor on behalf of the Neighbor Island HIV/AIDS Coalition (NIHAC).

NIHAC is a cooperative effort of the three community-based AIDS Service Organizations (ASOs) serving the neighbor islands of Kauai, Maui, Molokai, Lanai and Hawaii. Established in 1998, the cooperative agencies of NIHAC are MAF, Malama Pono Health Services and Hawai'i Island HIV/AIDS Foundation. Some of the services and assistance provided by these agencies include: tenant-based rental assistance; short-term rent; mortgage; utilities; housing placement; and supportive services and case management.

### **Describe the characteristics of special needs populations in your community:**

The Hawaii number of diagnosed HIV and AIDS cases reported to the Department of Health through June 30, 2019 are: 223 HIV (not AIDS) and 159 total AIDS. The gender affected by HIV infection reported through 2019 was predominately male, 189 or 85%, and females at 34 or 15%.

Maui AIDS Foundation reports that across the rural counties under its sponsorship, most clients have very low incomes through Supplemental Social Security Income (SSI) or Social Security Disability Insurance (SSDI) which restricts their housing choices. Clients very often have substance abuse and mental health issues in addition to being diagnosed with HIV/AIDS, which severely impedes their ability to attain and maintain stable housing. Additionally, advancements in pharmaceuticals and medical technology have resulted in prolonged life and improved quality for persons with HIV/AIDS, so demand for support services and housing assistance continue to increase.

Data Source:

([https://health.hawaii.gov/harmreduction/files/2019/11/Hawaii\\_Surveillance\\_dx2018\\_report\\_20191115.pdf](https://health.hawaii.gov/harmreduction/files/2019/11/Hawaii_Surveillance_dx2018_report_20191115.pdf))

### **What are the housing and supportive service needs of these populations and how are these needs determined?**

HOPWA eligible housing clients have limited incomes either because they are solely on disability or have employment constraints due to health. The housing subsidies provided by HOPWA facilitate stable housing while allowing the participants to become more financially able to meet their daily living and healthcare needs. However, Kauai County needs more affordable housing, either public housing or private rentals, which are within fair market rent (FMR) rates established by HUD.

Support services and assistance with transportation, finance, food, obtaining and retaining adequate housing, and medical services, is needed. Housing and support services needs are determined by assessment, intake, on-going case management and referrals.

On the medical front, the State Department of Health (DOH) HIV/AIDS Annual Report states that the National HIV/AIDS Strategy is being implemented in Hawaii through the Continuum of HIV Care. This approach involves early diagnosis of all persons living with HIV transmission to others. Hawaii's HIV surveillance data provides increasing capacity to monitor how Hawai'i is doing in the HIV care continuum.

**Discuss the size and characteristics of the population with HIV/AIDS and their families within the Eligible Metropolitan Statistical Area:**

According to the DOH HIV/AIDS Surveillance Report (cases through 2018), there were 4,774 cumulative HIV infection cases diagnosed and reported in the State of Hawai'i, including 1,245 HIV (not AIDS) and 3,529 AIDS cases. Of these cumulative diagnosed cases, the distribution for gender, risk factors, race/ethnicity, and age at diagnosis and county diagnosis was as followed:

Cumulative HIV Infection Cases as of December 31, 2018 (4,4774 Cases):

- Gender: 89% men and 11% women
- Risk Factor: 71% were exposed through male-to-male sexual contact, 7% through injection drug use, 7% through both, 8% through heterosexual contact, 5% of cases were undetermined risk, <1% through transfusion, <1% through perinatal, <1% through hemophilia.
- Race/Ethnicity: 56% were Caucasians, 15% Asians, 12% Hawaiians, 7% Hispanics, 5% African-Americans, and 4% Multi-racial.
- Age at Diagnosis: 54% were ages 25-44, 31% ages 45 and over, and 15% ages 13-24.
- County: 74% were diagnosed in Honolulu County, 9% in Hawai'i County, 14% in Maui County, and 4% in Kauai County.

## **NA-50 Non-Housing Community Development Needs – 91.215 (f)**

### **Describe the jurisdiction's need for Public Facilities:**

Americans with Disabilities Act (ADA)/Architectural Barrier Removal (ABR) - The County intends to continue efforts to bring its public facilities into compliance with Title II of the Americans with Disabilities Act and has completed the ADA Transition Plan adopted in 1999. Each County department has identified needs for future projects with architectural barrier removal needs and ADA improvements.

PARKS - Kauai has 400 acres of county parks with a total of 64 parks under its jurisdiction. Community and neighborhood parks may include playfields, play courts, recreation buildings and comfort stations. The Kauai General Plan describes the need for new facilities and improvements to existing facilities in order to accommodate projected growth. Some of the needs and plans are to acquire land, develop comfort stations and provide additional parking and restrooms.

The CDBG grant represents an important source of gap financing that helps to defray the cost of removing architectural barriers in public facilities, especially those located in low-mod areas.

HEALTH FACILITIES - Kauai Community Health Needs Assessment describes the need for “available, accessible, affordable and integrated mental healthcare/substance abuse/developmentally disabled services and facilities”. The assessment further describes the need for members of our community to receive health education, housing--transitional, homeless, and affordable senior housing, along with safe communities to encourage and promote physical activities.

For implementation and financing, the county recognizes that they will be hard-pressed to fund all needed projects and improvements. The County will rely on the Capital Improvement Program and will seek County and private partnerships, developers contributions, and other alternative funding.

The County will utilize CDBG funds, where appropriate, to acquire, construct or upgrade community parks, recreational centers and other public facilities.

### **How were these needs determined?**

The need for public facilities are primarily a result of input from public and private agencies responding to the County's Consolidated Plan Needs Survey, attendance at various public community meetings, collaboration with County department associates, the Kauai General Plan 2000, and Kauai Parks & Recreation Master Plan 2013.

## **Describe the jurisdiction's need for Public Improvements:**

**WATER** – Kauai is endowed with ample water supply in our aquifers. Kauai's water systems have adequate source and storage capacity to support the existing water demand and have storage for fire emergencies, however, many are near capacity. However, to accommodate future growth, the County's water systems will need to be expanded to meet projected demands through 2035. Based on future projections, the Department of Water will need to complete system and facility improvements to address an additions 6.48 mgd of productions and supporting facilities by 2035. This includes replacing 237 miles (out of 400 total pipeline miles) due to deterioration, age, and capacity issues. Not only will this expansion require development of new sources, but also, major improvements to transmission and storage facilities.

**WASTEWATER** – The County operates four waste water systems on the island to serve the areas of Waimea, Hanapepe, Eleele, Lihue, Hanamaulu, and the area between Wailua and Kapaa. While total waste water treatment capacity was sufficient to address the levels of wastewater generated in 2015, projected growth indicated the need to expand to accommodate island wide generation in 2035. The greatest projected needs are on the South and East sides of the island. Only the Lihue wastewater treatment plant is project to have significant excess capacity. New regional wastewater solution will be needed to accommodate planned growth in South Kauai and Kilauea.

**SOLID WASTE** – The County maintains the island wide system of solid waste collection and disposal and provides direct service to the public. Over the next two decades, solid waste on Kauai is expected to increase by nearly 50 percent from approximately 67,590 tons to a projected 100,840 tons in 2020. The County's only landfill has a limited lifespan with less than 700,000 cubic yards of capacity remaining. The estimated remaining lifespan of the landfill is approximately 4.9 years (from 2018). New facilities are needed to accommodate the increase and changes to existing facilities.

## **How were these needs determined?**

The need for public improvements are primarily a result of input from public and private agencies responding to the County's Consolidated Plan Needs Survey, attendance at various public community meetings, collaboration with County department associates and the Kauai General Plan 2000.

## **Describe the jurisdiction's need for Public Services:**

Annually, the request for CDBG funds under the category of Public Services (PS) exceeds the 15 percent cap. In the 2014 application cycle, Kauai's PS cap was \$106,283; funding requests totaled \$126,017. In PY2015, Kauai's PS cap amount was \$105,812, while the amount of funds requested totaled \$220,295. These deficiencies prove the increasing need for essential public services for our community.

The County intends to support new or expanded social services programs to afford service providers the ability to operate programs that can provide direct benefits and services to various subpopulations on

Kauai. The County also intends to support economic development activities that create jobs for low- and moderate-income individuals.

**How were these needs determined?**

The need for public services are primarily a result of input from public and private agencies responding to the County's Consolidated Plan Needs Survey, attendance at various public community meetings, and collaboration with numerous social service agencies in the community and County department associates.

# Housing Market Analysis

## MA-05 Overview

### Housing Market Analysis Overview:

**Nature of Housing Market** – The real estate market is in an upward cycle. This is not particularly helpful to the supply side of the market, especially for lower income families, as most new inventory being produced are market level housing units. Affordable units that are below market prices are usually produced through government-assisted housing programs in partnership with the private sector. Given the limited resources available to produce affordable housing, local government is not able to facilitate development of new rental housing to meet demand. On the supply side of the market for the lower income part of the community, a supply/demand imbalance will continue to impact families that are dependent on rental housing for shelter.

**Population and Households** – In 2018, Kauai had a resident population of 72,133. Based on a 0.9% trending growth rate, Kauai is projected to have a total resident population of 78,000 by year 2025. Kauai accounts for 5.0% of the Hawaii population.

**Housing Inventory** – According to Hawaii Housing Planning Study, 2019, Kauai's housing inventory totals 30,783 housing units; 73.3% occupied, 8% vacant and available, and 18.6% vacant and unavailable. Over the next 10 years between 2020-2030, Hawaii Housing Demand: 2020-2030 predicts Kauai will need 2,500 units, accounting for 7% of the state total demand.

**Condition of Housing** – According to Hawaii Housing Planning Study, 2019, the median year built for residential units is 1984. Further, according to the U.S. Census Bureau, less than 1.5% of housing units are in poor or substandard condition (lacking complete plumbing or kitchen facilities).

**Cost of Housing** – The real estate market is cyclical in nature and, at times, there is market volatility, up and down. Hawaii Housing Planning Study, 2019 reports the median sales price for single –family homes increased 41 % between 2010 and 2018 (+5.1% per year). The condominium sales prices increased 71% between 2010 and 2018 (+8.8% per year). Median rents for all units increased 25.3% between 2009 and 2019 (+2.5% per year). In 2018, the median home sale price was well above \$700,000. For rentals, both declining supply and higher prices have tightened the rental market island-wide. According to Fair Market Rent Survey County of Kauai completed in July 2019, average advertised rents for 1 bedroom is \$1,600, 2 bedroom is \$2,229, and 3 bedroom is \$2,485 per month.



## MA-10 Number of Housing Units – 91.210(a)&(b)(2)

### Introduction

#### All residential properties by number of units

Property Type	Number	%
1-unit detached structure	20,999	69%
1-unit, attached structure	1,301	4%
2-4 units	3,141	10%
5-19 units	3,369	11%
20 or more units	1,352	4%
Mobile Home, boat, RV, van, etc	66	0%
<b>Total</b>	<b>30,228</b>	<b>100%</b>

Table 27 – Residential Properties by Unit Number

Data Source: 2011-2015 ACS

#### Unit Size by Tenure

	Owners		Renters	
	Number	%	Number	%
No bedroom	67	0%	386	4%
1 bedroom	434	3%	1,267	15%
2 bedrooms	2,235	16%	2,611	30%
3 or more bedrooms	11,066	80%	4,356	51%
<b>Total</b>	<b>13,802</b>	<b>99%</b>	<b>8,620</b>	<b>100%</b>

Table 28 – Unit Size by Tenure

Data Source: 2011-2015 ACS

#### Describe the number and targeting (income level/type of family served) of units assisted with federal, state, and local programs.

Government-assisted housing consists of rental and special needs housing. The inventory of units that are assisted with federal, state, and local programs serve many segments of the island's population, including elderly, family, public housing, and special needs. A listing of government-assisted housing inventory may be viewed on the Hawaii Housing Finance and Development Corporation website, <http://dbedt.hawaii.gov/hhfdc/affordable-housing-inventory/>. For the most part, government assisted rental units target very-low income households below 50% of the median income.

#### Provide an assessment of units expected to be lost from the affordable housing inventory for any reason, such as expiration of Section 8 contracts.

Substantially most, if not all of Kauai's affordable housing inventory will continue to serve low-income households for the duration of the 2020-2025 Consolidated Plan.

### **Does the availability of housing units meet the needs of the population?**

Housing inventory does not meet current needs. Based on past housing studies prepared for Kauai County estimating the number of new housing units needed to meet backlog and future housing demand relative to housing type (ownership and rental units), there is a shortage (gap) in housing inventory concentrated among lower income classifications. According to the Hawai'i Housing Planning Study, 2019, 57% of the projected "needed units" through year 2025 are needed by households below 80% of median income, with "the biggest gaps between demand and supply at the bottom of the market." This conclusion is also reinforced by the Affordable Rental Housing Report and Ten-Year Plan which outlines Hawaii's need to produce 20,252 affordable rental housing units by 2026, of which 90% of rental units affordable to those making below 80% of the median income. As new affordable housing inventory has historically trailed demand, the demand and supply gap now and in the future is not expected to measurably improve. Housing needs for the low-income population won't be met unless new inventory is produced with long-term affordability restrictions.

### **Describe the need for specific types of housing:**

According to the Hawaii Housing Planning Study, 2019, of the 4,281 housing units needed by 2025, 1,971 units are ownership and 2,310 units are rental. Approximately 68% of rental units needed are for families below 80% of median income. Unit type needed by low-income families is mostly multi-family. Units that are needed to serve elderly households account for approximately 5% of total needed units by year 2025. Unit type for the elderly population weighs more heavily towards single-family than multi-family rentals. Although not well quantified, to some degree, housing units that might be used by persons with special needs, or persons who are homeless and are trying to re-enter the housing market are needed.

## MA-15 Housing Market Analysis: Cost of Housing - 91.210(a)

### Introduction

### Cost of Housing

	Base Year: 2009	Most Recent Year: 2015	% Change
Median Home Value	580,500	480,600	(17%)
Median Contract Rent	1,004	1,091	9%

Table 29 – Cost of Housing

Data Source: 2005-2009 ACS (Base Year), 2011-2015 ACS (Most Recent Year)

Rent Paid	Number	%
Less than \$500	1,675	19.5%
\$500-999	2,426	28.2%
\$1,000-1,499	2,657	30.9%
\$1,500-1,999	1,100	12.8%
\$2,000 or more	746	8.7%
<b>Total</b>	<b>8,604</b>	<b>100.0%</b>

Table 30 - Rent Paid

Data Source: 2011-2015 ACS

### Housing Affordability

% Units affordable to Households earning	Renter	Owner
30% HAMFI	766	No Data
50% HAMFI	1,770	151
80% HAMFI	4,687	508
100% HAMFI	No Data	934
<b>Total</b>	<b>7,223</b>	<b>1,593</b>

Table 31 – Housing Affordability

Data Source: 2011-2015 CHAS

### Monthly Rent

Monthly Rent (\$)	Efficiency (no bedroom)	1 Bedroom	2 Bedroom	3 Bedroom	4 Bedroom
Fair Market Rent	0	0	0	0	0
High HOME Rent	0	0	0	0	0

Monthly Rent (\$)	Efficiency (no bedroom)	1 Bedroom	2 Bedroom	3 Bedroom	4 Bedroom
Low HOME Rent	0	0	0	0	0

**Table 32 – Monthly Rent**

Data Source Comments:

### **Is there sufficient housing for households at all income levels?**

Housing inventory is not sufficient to meet demand. Based on past housing studies prepared for Kauai County that estimate the number of new housing units needed to meet backlog and future housing demand relative to housing type (ownership and rental units), there is a shortage (gap) in housing inventory concentrated among lower income classifications. According to the Hawaii Housing Planning Study, 2019, 57% of the projected “needed units” through year 2025 are needed by households below 80% of median income, with the “the biggest gaps between demand and supply at the bottom of the market.”

### **How is affordability of housing likely to change considering changes to home values and/or rents?**

Hawaii’s home prices and rents represent some of the highest in America. High costs of land, limits to developable sites, costs of construction materials and labor, and regulatory environment give the State of Hawaii the distinction of having one of the lowest rates of homeownership in the country, and one of the most expensive states in the nation to live when comparing wages to fair market rents. Affordability of housing, relative to upward pressure in housing prices and rents, is likely to worsen, as the general level of incomes in the community are unable to keep pace with the cost of housing.

### **How do HOME rents / Fair Market Rent compare to Area Median Rent? How might this impact your strategy to produce or preserve affordable housing?**

Using advertised rents obtained from the Fair Market Rent Survey County of Kauai (July 2019) to compare with HUD’s 2019 HOME/Fair Market rents, and without taking into consideration utility allowances, data shows that area median rents are trending 28% higher for one bedrooms, 27% higher for two bedrooms, and 19% for three bedroom. Strategically, priority is given to push out more affordable housing inventory to preserve affordable housing stock when opportunities arise.

## MA-20 Housing Market Analysis: Condition of Housing – 91.210(a)

### Introduction

Generally, compared with other states, the condition of housing stock is not a serious problem. The medium age of housing units, as reported in the [Hawaii Housing Planning Study, 2019](#), was about 35 years for Kauai County and there are many housing markets across the nation with much older housing stocks. According to the U.S. Census Bureau, very few of Hawaii's housing units are in poor or substandard condition (lacking complete plumbing or kitchen facilities). The 2017 5-year estimate from ACS, says that less than one percent of occupied housing units Statewide had incomplete plumbing facilities (0.6%), and 1.6 percent had incomplete kitchen facilities.

### Definitions

Survey data taken from the [Hawaii Housing Planning Study, 2019](#) indicates that satisfaction ratings for owned units are much higher than for rented units. Less than 2% of owners surveyed reported poor condition, compared with 5-7% of renters. Because the measure of housing condition is self-reported by residents, this may reflect subjective evaluation of one's own unit versus one that belongs to a landlord. It may also reflect the fact that, with rental housing in such great demand, landlords may not have sufficient incentive to improve their rental units.

Standard Condition - The unit meets HUD Housing Quality Standards (HQS) and the unit meets all state and local codes.

Substandard Condition but Suitable for Rehabilitation - The unit is in poor condition and it is both structurally and financially feasible to rehabilitate.

### Condition of Units

Condition of Units	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
With one selected Condition	5,299	38%	3,634	42%
With two selected Conditions	287	2%	498	6%
With three selected Conditions	22	0%	20	0%
With four selected Conditions	0	0%	0	0%
No selected Conditions	8,204	59%	4,446	52%
<b>Total</b>	<b>13,812</b>	<b>99%</b>	<b>8,598</b>	<b>100%</b>

Table 33 - Condition of Units

Data Source: 2011-2015 ACS

## Year Unit Built

Year Unit Built	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
2000 or later	2,296	17%	1,021	12%
1980-1999	6,168	45%	3,882	45%
1950-1979	4,449	32%	2,960	34%
Before 1950	870	6%	740	9%
<b>Total</b>	<b>13,783</b>	<b>100%</b>	<b>8,603</b>	<b>100%</b>

**Table 34 – Year Unit Built**

Data Source: 2011-2015 CHAS

## Risk of Lead-Based Paint Hazard

Risk of Lead-Based Paint Hazard	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
Total Number of Units Built Before 1980	5,319	39%	3,700	43%
Housing Units build before 1980 with children present	2,389	17%	1,220	14%

**Table 35 – Risk of Lead-Based Paint**

Data Source: 2011-2015 ACS (Total Units) 2011-2015 CHAS (Units with Children present)

## Vacant Units

	Suitable for Rehabilitation	Not Suitable for Rehabilitation	Total
Vacant Units	0	0	0
Abandoned Vacant Units	0	0	0
REO Properties	0	0	0
Abandoned REO Properties	0	0	0

**Table 36 - Vacant Units**

Data Source: 2005-2009 CHAS

## Need for Owner and Rental Rehabilitation

Given the age and condition of housing stock and the low level of participation in existing residential rehabilitation loan programs, owner and rental rehabilitation is not viewed as a high priority need.

## Estimated Number of Housing Units Occupied by Low or Moderate Income Families with LBP Hazards

The 2007-2011 ACS indicates that housing units built before 1980 with children present totals 1,298 units for owner-occupied and 986 units for renters. Specific data estimating the number of housing units with potential LBP hazards occupied by low- and moderate-income families is not available.

The State of Hawaii's Department of Health provides health and diagnostic screenings for about one-third of the children who are eligible for the state health insurance program. Less than 1% of the screened children have elevated blood lead levels. This is a low rate.

Regarding LBP concerns, the County will take the following actions: 1) comply with federal guidelines of 24 CFR 35 for testing and abating lead-based paint hazards in projects receiving federal assistance; 2) require owner participants in the Section 8 Rental Assistance Program to certify that they will advise the county and tenant-family of any lead-based paint on the surfaces of the unit which they have knowledge of prior to or during the initial housing quality standards inspection of the unit and; 3) provide each tenant participant in the Section 8 Rental Assistance Program with a copy of the EPA Bulletin "Protect Your Family From Lead in Your Home".

## MA-25 Public and Assisted Housing – 91.210(b)

### Introduction

Public housing is owned by the State of Hawaii and is administered through the Hawaii Public Housing Authority (HPHA). HPHA manages federal low-rent public housing units statewide with a resident population of over 12,000. The current average age of the HPHA's housing inventory is 39 years.

### Totals Number of Units

	Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project -based	Tenant -based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
# of units vouchers available				1,345			0	0	0
# of accessible units									
*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition									

Table 37 – Total Number of Units by Program Type

Data Source: PIC (PIH Information Center)

### Describe the supply of public housing developments:

### Describe the number and physical condition of public housing units in the jurisdiction, including those that are participating in an approved Public Housing Agency Plan:

Based on a 2003 Physical Needs Assessment conducted by the International Business Machine, Inc. of all federal housing projects in the state, the State of Hawaii will need approximately \$650 million over a twenty year period to sufficiently maintain all the units according to HUD public housing standards. See State of Hawaii Consolidated Plan for more information describing the number and physical condition of public housing units in the jurisdiction.



## Public Housing Condition

Public Housing Development	Average Inspection Score

Table 38 - Public Housing Condition

### **Describe the restoration and revitalization needs of public housing units in the jurisdiction:**

The inventory of public housing units is owned by the State of Hawaii. The Hawaii Public Housing Authority is responsible for restoration and revitalization. Refer to the State of Hawaii Consolidated Plan for information regarding restoration and revitalization needs.

### **Describe the public housing agency's strategy for improving the living environment of low- and moderate-income families residing in public housing:**

Refer to State of Hawaii Consolidated Plan for information pertaining to the Hawaii Public Housing Authority's strategy for improving the living environment of low- and moderate income families residing in public housing.

### **Discussion:**

For the island of Kauai, the State of Hawaii owns 347 public housing units.

Refer to State of Hawaii Consolidated Plan for information the supply of public housing units.

## MA-30 Homeless Facilities and Services – 91.210(c)

### Introduction

Kauai has one emergency shelter that provides 19 beds for overnight accommodations. The shelter is currently owned and operated by Kauai Economic Opportunity, Inc. (KEO). The shelter runs nightly from 5:00 pm through 6:00 am. Bed assignments are distributed on a first-come, first-serve basis to residents seeking overnight shelter. KEO makes available, to those interested, an informational pamphlet and posts flyers of other available services in accessible areas at their shelter to individuals and families that spend nights there.

### Facilities and Housing Targeted to Homeless Households

	Emergency Shelter Beds		Transitional Housing Beds	Permanent Supportive Housing Beds	
	Year Round Beds (Current & New)	Voucher / Seasonal / Overflow Beds	Current & New	Current & New	Under Development
Households with Adult(s) and Child(ren)	19	0	19	19	0
Households with Only Adults	19	0	19	19	0
Chronically Homeless Households	19	0	19	19	0
Veterans	19	0	19	19	0
Unaccompanied Youth	0	0	0	0	0

**Table 39 - Facilities and Housing Targeted to Homeless Households**

Data Source Comments:

**Describe mainstream services, such as health, mental health, and employment services to the extent those services are use to complement services targeted to homeless persons**

Kauai's mainstream services that complement services targeted to homeless persons are, but not limited to: case management, assessment, job placement, mental health counseling, health screening, drug addiction counseling, parenting classes, financial education, financial assistance, referral services, transitional housing, rental assistance, utility assistance, household voucher assistance and various other programs depending on the individuals need.

Kauai lacks services to assist youths transitioning out of foster care. Although there is nothing currently available to assist with this transition, our community is aware of this need and is working towards filling this gap in services.

Such services are designed to assistance individuals and families to become independent and self-sufficient to become productive and involved in their community.

**List and describe services and facilities that meet the needs of homeless persons, particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth. If the services and facilities are listed on screen SP-40 Institutional Delivery Structure or screen MA-35 Special Needs Facilities and Services, describe how these facilities and services specifically address the needs of these populations.**

The majority of services described in SP-40 Institutional Delivery Structure are provided to homeless individual and families. These services are designed to assist families that require some type of assistance to become independent and self-sufficient, and reduce their risk of either near or future homelessness.

These services are set up so that homeless individuals can seek assistance from agencies depending on their current and/or future needs. Such services include, but are not limited to: health screening; financial; medical; rental; and utility assistance. Additionally, job readiness and placement, financial education, mental health and substance abuse counseling are also available. Assistance is solely dependent on the needs of individuals and families. While most of these services are free, few of these services require either a small fee or a commitment to programs.

## **MA-35 Special Needs Facilities and Services – 91.210(d)**

### **Introduction**

As categorized by the federal grantee, HUD, our island’s special needs community consists of the elderly or frail, persons with disabilities, persons with HIV/AIDS, victims of domestic violence, and unaccompanied youth phasing out of foster homes.

According to the Agency on Elderly Affairs 4-Year Area Plan on Aging (10/1/15 to 9/30/19), Kauai’s 60+ population percentage is the largest in the state and reflects the needs and challenges for the aging community. The Kauai County Community Health Needs Assessment indicates that our County is “medically underserved” with limited access to centers. This assessment further describes the need for more community centers, clinics and resources for prevention, screening and treatment for substance abuse, mental health and other special needs populations.

Individuals and families of this population require specialized services and housing support. Considering the island’s housing market—the lack of supply and affordability for lower income families and individuals, projected increases to our population, and the continual burden experienced by social service providers due to funding cuts, the County will provide and/or leverage CDBG funds to support special needs facilities and services that will meet the needs of the community and goals identified in this plan.

**Including the elderly, frail elderly, persons with disabilities (mental, physical, developmental), persons with alcohol or other drug addictions, persons with HIV/AIDS and their families, public housing residents and any other categories the jurisdiction may specify, and describe their supportive housing needs**

Based on data from PIH Information Center, PIT Count Reports, review and consultation of various plans, public input and surveys conducted, Kauai County has identified the need for affordable rental and permanent housing, as well as transitional and group home facilities for the elderly, persons with disabilities, substance abuse addictions, HIV/AIDS, victims of domestic violence, and the island’s transition age youth. Likewise, medical and supportive services are also needed.

**Describe programs for ensuring that persons returning from mental and physical health institutions receive appropriate supportive housing**

Located in central Lihue, Steadfast Housing Development Corporation is a non-profit entity that serves individuals with serious and persistent mental illness by providing housing and employment opportunities. Friendship House is a Clubhouse Model Psychiatric Rehabilitation program where members have opportunities to work in part-time jobs to strengthen individual skills, abilities and self-esteem. Kauai Economic Opportunity, Inc. is another non-profit organization that serves the island. KEO administers various programs to assist the economically disadvantaged population to include owning and operating two group homes that house persons who are disabled. Easter Seals Kauai provides

services at their adult day care center. HUD provides funding to serve the needs of our veterans via vouchers from the Kauai County Housing Agency Rental Assistance Program and Veterans Affairs Supportive Housing. Organizations like Women in Need, Love the Journey and U-Turn for Christ, to name a few, provide transitional housing and services to assist with those struggling from substance abuse and addictions. YWCA of Kauai operates the only women's health center to address the needs of victims of domestic violence, while Malama Pono Health Services is the only organization to provide services to persons with HIV/AIDS.

**Specify the activities that the jurisdiction plans to undertake during the next year to address the housing and supportive services needs identified in accordance with 91.215(e) with respect to persons who are not homeless but have other special needs. Link to one-year goals. 91.315(e)**

The Housing Agency will provide CDBG funds, other revolving funds and/or leveraged funds to:

- 1) (Alternate) Women in Need – will provide public services for substance abuse addiction, employment readiness, case management and more; with leveraged funds each organization will assist in the fight to prevent homelessness by providing transitional housing;
- 2) Friendship Club – will increase employment skills and opportunities for individuals with mental health issues;
- 3) Kauai Housing and Development Corporation - will improve energy efficiency at a senior housing complex to keep housing costs affordable for our kupuna;
- 4) Kauai County Housing Agency Housing Choice Voucher Program – will assist the elderly and disabled communities through subsidized rental payments;
- 5) (Alternate) Hawaiian Community Assets, Inc - will provide HUD-certified homebuyer education, counseling and foreclosure prevention workshops to assist low- to moderate-income persons purchase a home.

Utilizing other non-CDBG funds, the Housing Agency will undertake the following projects to address housing and supportive services:

- 1) Pua Loke Affordable Housing Development – newly developed affordable rental complex that will serve 50% AMI and below, those experiencing homelessness, and 4 units serving 80% AMI
- 2) Kealaula on Pua Loke- newly developed 22-unit permanent supportive housing for families experiencing homelessness. In addition to housing, the project will include services for substance abuse, employment readiness, case management and more;
- 3) Tenant Based Rental Assistance (TBRA) Program provides rent subsidy to assist households

experiencing homelessness with rent, security deposits, and utility deposits when choosing a housing unit.

4) Section 8 Rental Assistance vouchers (HUD).

Some of the goals that are expected to be realized during the year are:

- 1) Public service activities other than Low/Moderate Housing Benefit; and
- 2) Public facility or infrastructure activities other than Low/Moderate Income Housing Benefit.

**For entitlement/consortia grantees: Specify the activities that the jurisdiction plans to undertake during the next year to address the housing and supportive services needs identified in accordance with 91.215(e) with respect to persons who are not homeless but have other special needs. Link to one-year goals. (91.220(2))**

Not Applicable.

## **MA-40 Barriers to Affordable Housing – 91.210(e)**

### **Negative Effects of Public Policies on Affordable Housing and Residential Investment**

In the context of building homes that are affordable, many government regulations are in place to ensure health and safety and to protect natural resources. However, government regulations can work against developing affordable housing. The most common types of regulation that affect housing are building codes, environmental regulations, zoning, land use, impact fees and exactions, fiscal policy and administrative practices. The negative effects from regulation are delays and additional costs to develop. In developing affordable housing, this can directly impact project feasibility.

The County secured the services of the University of Hawaii Economic Research Organization to conduct an Analysis of Impediments to Fair Housing Report to meet the requirements of the Department of Housing and Urban Development (HUD) and ensure the agency's compliance with the Fair Housing Act.

The Fair Housing Act protects citizens against housing discrimination based on protected classes; provides equal opportunity and access to housing. The report focused on two (2) impediments: 1) agency staff knowledge of fair housing law; and 2) how the agency's policies and procedures is in line with fair housing law and whether it promotes equal access to housing.

A survey was created and sent to housing staff to gauge the members' knowledge of fair housing law. Most of the scenario questions centered on relevant issues workers face with administering housing programs.

Results revealed that eighty-one percent (81%) of staff have received fair housing training; nineteen percent (19%) have not received training. Employees need more awareness and training on the protected classes and clarification on familial status as a protected class as it applies to elderly housing. Additionally, employees could benefit—and better serve the community, from information on when to provide an interpreter and who is the staff person responsible for fair housing inquiries to the County.

In April 2020, the County received the Analysis of Impediments to Fair Housing Report. The recommendations to the Housing Agency were to address documentation and dissemination of written policies. The report identifies the following recommendations:

- Finalize the Kauai County Housing Agency Administrative Plan for the Housing Choice Voucher Program (2017).
- Update the Kauai County Family Handbook to include explicit discussion of fair housing policies, rights and responsibilities and include language access discussion.
- Update the website to include user-friendly discussions of Fair Housing and access to forms for request for interpretation and reasonable accommodations.
- Ensure that the language access reporting tool is used and use is documented.
- Create a language access plan specific to County needs.
- Host quarterly listening sessions including outreach to each of the top 3 needed languages.

- Create documentation of the agency's policy to locate and site new housing in areas to deconcentrate poverty.
- Provide better documentation of systematic analysis completed in accordance with federal law.
- Provide documentation and communication around affirmatively furthering fair housing rather than only fair housing compliance.

The report can be found on the website at: <https://www.kauai.gov/Government/Departments-Agencies/Housing-Agency/Fair-Housing>.

The recommendations will further direct the agency to meet goals of eliminating barriers to housing and providing equal access and opportunity.



## MA-45 Non-Housing Community Development Assets – 91.215 (f)

### Introduction

The County intends to support economic development activities that create jobs for low and moderate income individuals.

### Economic Development Market Analysis

#### Business Activity

Business by Sector	Number of Workers	Number of Jobs	Share of Workers %	Share of Jobs %	Jobs less workers %
Agriculture, Mining, Oil & Gas Extraction	566	611	2	3	1
Arts, Entertainment, Accommodations	9,562	9,037	37	42	5
Construction	1,756	1,416	7	7	0
Education and Health Care Services	3,252	2,624	13	12	-1
Finance, Insurance, and Real Estate	1,344	1,232	5	6	1
Information	347	199	1	1	0
Manufacturing	539	371	2	2	0
Other Services	1,211	936	5	4	-1
Professional, Scientific, Management Services	1,097	708	4	3	-1
Public Administration	0	0	0	0	0
Retail Trade	3,968	3,075	15	14	-1
Transportation and Warehousing	1,528	1,068	6	5	-1
Wholesale Trade	776	443	3	2	-1
Total	25,946	21,720	--	--	--

**Table 40 - Business Activity**

**Data Source:** 2011-2015 ACS (Workers), 2015 Longitudinal Employer-Household Dynamics (Jobs)

## Labor Force

Total Population in the Civilian Labor Force	35,974
Civilian Employed Population 16 years and over	34,005
Unemployment Rate	5.42
Unemployment Rate for Ages 16-24	8.89
Unemployment Rate for Ages 25-65	4.33

**Table 41 - Labor Force**

Data Source: 2011-2015 ACS

Occupations by Sector	Number of People
Management, business and financial	6,400
Farming, fisheries and forestry occupations	1,106
Service	4,213
Sales and office	7,776
Construction, extraction, maintenance and repair	3,701
Production, transportation and material moving	1,639

**Table 42 – Occupations by Sector**

Data Source: 2011-2015 ACS

## Travel Time

Travel Time	Number	Percentage
< 30 Minutes	20,255	65%
30-59 Minutes	9,501	31%
60 or More Minutes	1,392	4%
<b>Total</b>	<b>31,148</b>	<b>100%</b>

**Table 43 - Travel Time**

Data Source: 2011-2015 ACS

## Education:

### Educational Attainment by Employment Status (Population 16 and Older)

Educational Attainment	In Labor Force		Not in Labor Force
	Civilian Employed	Unemployed	
Less than high school graduate	1,169	100	853

Educational Attainment	In Labor Force		Not in Labor Force
	Civilian Employed	Unemployed	
High school graduate (includes equivalency)	8,396	616	2,513
Some college or Associate's degree	10,104	564	2,599
Bachelor's degree or higher	8,243	331	1,610

**Table 44 - Educational Attainment by Employment Status**

Data Source: 2011-2015 ACS

### Educational Attainment by Age

	Age				
	18–24 yrs	25–34 yrs	35–44 yrs	45–65 yrs	65+ yrs
Less than 9th grade	27	111	50	723	1,415
9th to 12th grade, no diploma	337	470	245	534	538
High school graduate, GED, or alternative	2,231	3,186	2,471	5,896	3,143
Some college, no degree	2,250	1,871	1,923	4,497	1,939
Associate's degree	204	925	1,256	2,869	1,119
Bachelor's degree	139	1,643	1,723	3,845	1,969
Graduate or professional degree	0	520	663	1,871	1,478

**Table 45 - Educational Attainment by Age**

Data Source: 2011-2015 ACS

### Educational Attainment – Median Earnings in the Past 12 Months

Educational Attainment	Median Earnings in the Past 12 Months
Less than high school graduate	467,059
High school graduate (includes equivalency)	838,150
Some college or Associate's degree	1,245,007
Bachelor's degree	1,089,047
Graduate or professional degree	1,195,744

**Table 46 – Median Earnings in the Past 12 Months**

Data Source: 2011-2015 ACS

**Based on the Business Activity table above, what are the major employment sectors within your jurisdiction?**

The County's major sectors of employment are accommodations, retail trade, health care services and education.

**Describe the workforce and infrastructure needs of the business community:**

There are 35,974 civilians in the labor force and the unemployment rate is 5.42%. The highest ranking occupation by sector is in sales and office. In the civilian workforce, 10,104 has some college or associates degree and 8,243 have a bachelor's degree or higher. The Kauai Comprehensive Economic Development Strategy (CEDS) expressed need for more workforce development, especially skilled workforce such as engineers. Further, Economic weaknesses such as the increasing lack of affordable housing and aging and/or inadequate infrastructure were identified. The Kauai CEDS recognizes that public facilities and social services are essential to support overall economic development for Kauai.

**Describe any major changes that may have an economic impact, such as planned local or regional public or private sector investments or initiatives that have affected or may affect job and business growth opportunities during the planning period. Describe any needs for workforce development, business support or infrastructure these changes may create.**

The Kauai CEDS not only addresses the issues impacting economic development, but it identifies how the County will proactively promote and invest in emerging industries such as, food and agriculture, health and wellness, sports and recreation, arts and culture, high technology and renewable energy. To that end, CDBG funds will be made available to eligible activities, to meet the potential needs of new and existing businesses, educators, employers and other organizations to create new jobs, facilitate career planning for students, expand and train workforce, promote affordable housing and improve skills and work readiness.

**How do the skills and education of the current workforce correspond to employment opportunities in the jurisdiction?**

Considering the major sectors of employment (accommodations, retail trade, health care services, and education), the high unemployment rate (8.56%) falling in the bracket for ages 16-24, and the lack of basic reading and math skills for entry level jobs, having the resources to promote better and higher education, and to increase job training skills, is essential for the economic growth of the island.

**Describe any current workforce training initiatives, including those supported by Workforce Investment Boards, community colleges and other organizations. Describe how these efforts will support the jurisdiction's Consolidated Plan.**

Hawaii Department of Labor and Industrial Relations (DLIR) has completed an initiative designed to stimulate job growth in Hawaii called Volunteer Internship Program (VIP). The VIP program allows job seekers, especially those receiving unemployment insurance (UI) benefits, to gain workforce training. Individuals will gain new skills, experience, and/or references. It also provides UI claimants and other eligible interns with an opportunity to be introduced to potential employers for future job openings.

The Housing Agency's Family Self-Sufficiency (FSS) Coordinator currently serves on the Kauai Workforce Investment Board (KWIB). Partnering with KWIB fosters employment and educational opportunities that could be supported with CDBG funds.

**Does your jurisdiction participate in a Comprehensive Economic Development Strategy (CEDS)?**

Yes

**If so, what economic development initiatives are you undertaking that may be coordinated with the Consolidated Plan? If not, describe other local/regional plans or initiatives that impact economic growth.**

The County of Kauai, Office of Economic Development produced the Kauai Economic Development Plan, Kauai's Comprehensive Economic Development Strategy (CEDS) Report and the Housing Agency's director served as a contributing member of the committee.

The Housing Agency will support, promote and make CDBG funds available where appropriate, to meet the prescribed goals and objectives under the Kauai Economic Development Plan that impact economic growth.

## **MA-50 Needs and Market Analysis Discussion**

**Are there areas where households with multiple housing problems are concentrated? (include a definition of "concentration")**

No areas with concentrated multiple housing problems are known to exist.

**Are there any areas in the jurisdiction where racial or ethnic minorities or low-income families are concentrated? (include a definition of "concentration")**

No areas of concentrated racial or ethnic minorities or low-income families are known to exist.

**What are the characteristics of the market in these areas/neighborhoods?**

Not applicable.

**Are there any community assets in these areas/neighborhoods?**

Not applicable.

**Are there other strategic opportunities in any of these areas?**

Not applicable.

## **MA-60 Broadband Needs of Housing occupied by Low- and Moderate-Income Households - 91.210(a)(4), 91.310(a)(2)**

**Describe the need for broadband wiring and connections for households, including low- and moderate-income households and neighborhoods.**

According to the Hawaii Broadband Strategic Plan by the State of Hawaii, Department of Commerce and Consumer Affairs, broadband is a critical infrastructure that connects people to the internet via laptops, mobile phones and tablets. School-age children have a disadvantage of doing homework without broadband at home. The World Wide Web is necessary for finding jobs, applying for jobs, and getting health information.

In the County of Kauai, based on the U.S. Census, eighty-one percent (81%) of households have a broadband internet subscription, while nearly eighty-nine percent (89%) of Kauai households have a computer.

The County will support efforts and invest in opportunities to provide affordable broadband to low- and moderate-income households.

**Describe the need for increased competition by having more than one broadband Internet service provider serve the jurisdiction.**

Due to the state's uniqueness of separate islands located in the middle of the Pacific Ocean, there are challenges to provide broadband connections throughout the state and the rest of the world. However, Hawaii has good broadband availability through Oceanic Time Warner Cable and Hawaiian Telcom.

## **MA-65 Hazard Mitigation - 91.210(a)(5), 91.310(a)(3)**

### **Describe the jurisdiction's increased natural hazard risks associated with climate change.**

The Kauai Climate Change and Coastal Hazards Assessment (2014) describes how Hawaii has been impacted by rising sea levels, and more specifically, how Kauai's hazard risks of erosion, flooding, wave inundation and wind have increased due to climate change. In the section titled, Public Safety & Hazards Resiliency of the Kauai County General Plan, based on previous decades' documentation and the best available science predictions, Kauai will see three (3) feet of sea level rise by the latter half of the century, while other studies have projected six (6) feet of sea level rise by 2100.

According to the Sea Level Rise Vulnerability and Adaptation Report, sea level rise is an inevitable outcome of global warming. Over the next 30-70 years, approximately 5,760 acres of land will be located in sea level rise with 3.2 feet of sea level rise. Agriculture lands would experience the greatest extent of chronic flooding.

### **Describe the vulnerability to these risks of housing occupied by low- and moderate-income households based on an analysis of data, findings, and methods.**

The Kauai Climate Change and Coastal Hazards Assessment (2014) reports that approximately twenty percent (20%) of Kauai residents live close to the shoreline. The low- to moderate-income communities of Kapaa, Hanalei and Haena are particularly vulnerable to sea level rise and coastal erosion, including the larger communities of Waimea, Kekaha and Poipu.

Studies and surveys by the University of Hawaii and U.S. Geological Survey show that high wave events like hurricanes and tidal will increase coastal erosion, coastal cliffside and flood of low-lying areas as proved by Kauai's presidentially declared April Flood disaster in 2018. The town of Hanalei was inundated with flood water and access to communities in Wainiha to Haena were cut off from civilization. Transportation in and out of the community was halted when coastal cliffside erosion destroyed the double lane highway.

The General Plan reports climate change will increase risks to infrastructure "along the coast and in low-lying areas, including roads and bridges, harbors, wastewater and storm water systems, potable water systems and energy facilities." The GP further reports, that "Climate change is also prompting the movement of people away from vulnerable coastal areas, and in some case, whole islands. The State of Hawaii has already received some of its first climate change immigrants from low-lying Pacific nations such as the Marshall Islands and Micronesia."

Urban Land Use District, where households reside, would experience a loss of about 980 acres over the next 30 to 70 years including the loss of over 900 structures. Seeking opportunities to develop outside areas impacted by sea level rise and increase our capacity to adapt is recommended.



Hawaii Sea Level Rise Vulnerability and Adaptation Report: [https://climateadaptation.hawaii.gov/wp-content/uploads/2017/12/SLR-Report\\_Dec2017.pdf](https://climateadaptation.hawaii.gov/wp-content/uploads/2017/12/SLR-Report_Dec2017.pdf)

Kauai Multi-Hazard Mitigation and Resilience Plan:  
<https://www.kauai.gov/CivilDefense/MultiHazardMitigationPlan>

# Strategic Plan

## SP-05 Overview

### Strategic Plan Overview

The five-year Consolidated Plan has been developed based on analyzing and identifying the needs and problems experienced by the County of Kauai and other local branches of government, its community of public and private agencies, business and civic leaders, and residents. Through on-going collaboration, consultation, review, and data analysis of reports, plans and assessments, in addition to public input and surveys conducted, the County has developed a strategy to meet the needs for: 1) decent housing; 2) economic opportunities; and 3) suitable living environments.

The priorities, goals, objectives and activities planned for the next five (5) years are designed to benefit individuals and households who are at or less than eighty percent (80%) of HUD's median income and are described in more detail in this section of the Consolidated Plan.

The County of Kauai will invest and allocate the following federal funds for:

#### CDBG:

- Provide decent housing, suitable living environments, and create economic opportunities for low- to moderate-income persons.
- Homeless needs for emergency shelters, transitional housing, group homes, permanent housing and supportive services in order to reduce and end homelessness;
- Economic development to promote and support programs that create jobs and training opportunities;
- Community development that will build community infrastructure through improvements and removal of architectural barriers to increase accessibility to community centers, parks, and other public facilities; and
- Community development for new and expanded public service projects to sustain and expand community benefits.

#### HOME:

- Create affordable housing for low-income (at 80% AMI or below) households in partnership with local non-profit organizations through construction, acquisition, and/or rehabilitation of affordable rental, through homeownership or direct rental assistance.

#### HTF:

- Production, preservation and rehabilitation of affordable rental housing and use funds to increase and preserve the supply of decent, safe and sanitary affordable rental housing, for primarily extremely low-income (30% AMI) households.

The County will maximize efficiency and financial sustainability by administering and managing programs that revolve grant funds and leverage CDBG funds with other resources.

Disaster response and recovery will be priority for all Community Planning and Development programs funded by HUD, to include: CDBG, CDBG-CV, CDBG-DR, HOME and HTF programs. CPD funds may be redirected or reprogrammed. To meet the immediate needs of the community in the event of a natural disaster, local government emergency proclamation or presidentially-declared national emergency, the County will identify the projects and agencies, organizations and/or County departments to carry-out the activities in collaboration with community partners, stakeholders, health and emergency management, and the County's administration.

The County will serve and assist, but not limited to, extremely low to low- to moderate-income individuals to include HUD's definition of presumed low-income and vulnerable populations. In accordance with project eligibility and federal program requirements, the following potential response and recovery categories may be funded: public services, housing, economic development, public facilities and infrastructure, and other disaster related activities that will expeditiously contribute to the community's recovery.

## SP-10 Geographic Priorities – 91.215 (a)(1)

### Geographic Area

Table 47 - Geographic Priority Areas

1	<b>Area Name:</b>	Island of Kauai
	<b>Area Type:</b>	Local Target area
	<b>Other Target Area Description:</b>	
	<b>HUD Approval Date:</b>	
	<b>% of Low/ Mod:</b>	
	<b>Revital Type:</b>	Other
	<b>Other Revital Description:</b>	Geographic area covered by this plan is the entire island of Kauai
	<b>Identify the neighborhood boundaries for this target area.</b>	
	<b>Include specific housing and commercial characteristics of this target area.</b>	
	<b>How did your consultation and citizen participation process help you to identify this neighborhood as a target area?</b>	
	<b>Identify the needs in this target area.</b>	
	<b>What are the opportunities for improvement in this target area?</b>	
	<b>Are there barriers to improvement in this target area?</b>	

### General Allocation Priorities

Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA)

The geographical area covered by this Consolidated Plan is the entire County of Kauai.

The County will invest federal funds island wide in projects and activities that serve low- to moderate-income individuals or households. Additionally, CDBG funds will fund eligible projects in the following low-mod areas, block groups, based on the 2011-2015 American Community Survey (ACS) data:

040103-4 - Hanalei, Princeville

040104-1 and 2 – Haena and Hanalei

040300-1, 5 and 6 – Kapaa

040400-5 – Hanamaulu

040500-1, 2 and 4 – Lihue

040604-2 – Koloa, Lawai

040700-4 - Kalaheo

Preference to HTF projects will be based on several factors, such as accessibility to employment opportunities, public transportation, schools, etc. Geographical distribution will be targeted to the three primary areas of Kauai transit and employment, which are, Kawaihau district, Lihue district and the Koloa district. Other factors are considered, are housing that includes green building and sustainable building features or housing that serves special needs populations.

<b>Summary Information for Basic Demographic and Socioeconomic Characteristics</b>	
Total Population:	66,306
Total Households:	21,884
Homeownership Rate:	63.63
Average Household Size of Occupied Housing Units:	3
Average Household Size of Owner occupied Housing Units:	3
Average Household Size of Renter occupied Housing Units:	3
Median Household Income In The Past 12 Months:	64,422
Aggregate Household Income In The Past 12 Months:	1,675,796,500
Median Family Income In The Past 12 Months:	74,402
Median Nonfamily Household Income In The Past 12 Months:	37,362
2007-11 ACS	

**Table 48 - For KAUAI COUNTY (CDBG Grantee) - Summary Information for Basic Demographic and Socioeconomic Characteristics**

## SP-25 Priority Needs - 91.215(a)(2)

### Priority Needs

Table 49 – Priority Needs Summary

1	<b>Priority Need Name</b>	Housing and Special Needs Housing - Rental Housing
	<b>Priority Level</b>	High
	<b>Population</b>	Extremely Low Low Moderate Large Families Families with Children Elderly Public Housing Residents Rural Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence
	<b>Geographic Areas Affected</b>	Island of Kauai
	<b>Associated Goals</b>	HO-3 HR-1
	<b>Description</b>	Use CDBG funds to rehab rental units and HOME funds to finance new construction of rental units. Use HTF funds for acquisition, new construction, reconstruction, or rehabilitation of affordable rental housing.

	<b>Basis for Relative Priority</b>	Results of the online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey indicating a medium to high priority need, collaboration and consultation.
2	<b>Priority Need Name</b>	Housing and Special Needs Housing - Homeowner
	<b>Priority Level</b>	High
	<b>Population</b>	Extremely Low Low Moderate Large Families Families with Children Elderly Public Housing Residents Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence
	<b>Geographic Areas Affected</b>	Island of Kauai
	<b>Associated Goals</b>	HO-1 HO-2 HO-3
	<b>Description</b>	Use CDBG and HOME funds for low-cost financing to assist first-time homeowners purchase existing homes; invest funds to finance housing development sites that are suitable for self-help and turn-key housing; and provide homebuyer education and counseling services.

	<b>Basis for Relative Priority</b>	Results of the online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey indicating a medium to high priority need, collaboration and consultation.
<b>3</b>	<b>Priority Need Name</b>	Homeless Needs - Emergency Shelter & Transitional
	<b>Priority Level</b>	High
	<b>Population</b>	Extremely Low Low Moderate Large Families Families with Children Elderly Rural Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence
	<b>Geographic Areas Affected</b>	Island of Kauai
	<b>Associated Goals</b>	H-1
	<b>Description</b>	Provide CDBG and HOME funds to acquire, rehab, or construct emergency shelters for homeless or rental units for working homeless transition to permanent housing.



	<b>Basis for Relative Priority</b>	Results of the online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey indicating a medium to high priority need, collaboration and consultation.
<b>4</b>	<b>Priority Need Name</b>	Homeless Needs - Permanent Housing
	<b>Priority Level</b>	High
	<b>Population</b>	Extremely Low Low Moderate Large Families Families with Children Elderly Public Housing Residents Rural Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence
	<b>Geographic Areas Affected</b>	Island of Kauai
	<b>Associated Goals</b>	HO-2 HR-1
	<b>Description</b>	Provide funds to acquire, rehab, or construct housing units for permanent housing.

	<b>Basis for Relative Priority</b>	Results of the online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey indicating a medium to high priority need, collaboration and consultation.
5	<b>Priority Need Name</b>	Homeless Needs - Group Home
	<b>Priority Level</b>	High
	<b>Population</b>	Extremely Low Low Moderate Elderly Rural Chronic Homelessness Individuals Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence
	<b>Geographic Areas Affected</b>	Island of Kauai
	<b>Associated Goals</b>	H-1
	<b>Description</b>	Provide funds to acquire, rehab or construct housing for group homes.
	<b>Basis for Relative Priority</b>	Results of the online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey indicating a medium to high priority need, collaboration and consultation.
6	<b>Priority Need Name</b>	Homeless Needs - Supportive Services
	<b>Priority Level</b>	High

	<b>Population</b>	Extremely Low Low Moderate Large Families Families with Children Elderly Public Housing Residents Rural Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence Non-housing Community Development
	<b>Geographic Areas Affected</b>	Island of Kauai
	<b>Associated Goals</b>	H-2
	<b>Description</b>	Provide funds to support service providers that can assist with outreach, intake, mental health care, substance abuse treatment, childcare, job training, case management, housing search and placement, and life skills training.
	<b>Basis for Relative Priority</b>	Results of the online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey indicating a medium to high priority need, collaboration and consultation.
<b>7</b>	<b>Priority Need Name</b>	Community Development Needs - Economic Development
	<b>Priority Level</b>	High

	<b>Population</b>	Extremely Low Low Moderate Individuals Non-housing Community Development
	<b>Geographic Areas Affected</b>	Island of Kauai
	<b>Associated Goals</b>	PS-2
	<b>Description</b>	Provide funds for supportive services and financial assistance through direct financial assistance (business loans), microenterprise assistance, and business technical assistance.
	<b>Basis for Relative Priority</b>	Results of the online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey indicating a medium to high priority need, collaboration and consultation.
8	<b>Priority Need Name</b>	Community Development Needs - Public Facilities
	<b>Priority Level</b>	High
	<b>Population</b>	Low Moderate Middle Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence Other
	<b>Geographic Areas Affected</b>	Island of Kauai
	<b>Associated Goals</b>	PF-1 PF-2
	<b>Description</b>	Provide funds to remove architectural barriers from parks, recreational and community centers, and other public facilities. Provide funds to acquire, construct or upgrade public facilities.

	<b>Basis for Relative Priority</b>	Results of the online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey indicating a medium to high priority need, collaboration and consultation.
9	<b>Priority Need Name</b>	Community Development Needs - Infrastructure
	<b>Priority Level</b>	High
	<b>Population</b>	Extremely Low Low Moderate Large Families Families with Children Elderly Public Housing Residents Rural Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence
	<b>Geographic Areas Affected</b>	Island of Kauai
	<b>Associated Goals</b>	PF-2
	<b>Description</b>	Provide funds to acquire, construct or upgrade public facilities (not ADA improvements).

	<b>Basis for Relative Priority</b>	Results of the online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey indicating a medium to high priority need, collaboration and consultation.
<b>10</b>	<b>Priority Need Name</b>	Community Development Needs - Public Services
	<b>Priority Level</b>	High
	<b>Population</b>	Extremely Low Low Moderate Large Families Families with Children Elderly Public Housing Residents Rural Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence Other
	<b>Geographic Areas Affected</b>	Island of Kauai
	<b>Associated Goals</b>	HO-3 PS-1
	<b>Description</b>	Provide funds for new or expanded programs.

	<b>Basis for Relative Priority</b>	Results of the online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey indicating a medium to high priority need, collaboration and consultation.
<b>11</b>	<b>Priority Need Name</b>	Community Development Needs - Fair Housing Needs
	<b>Priority Level</b>	High
	<b>Population</b>	Extremely Low Low Moderate Middle Large Families Families with Children Elderly Public Housing Residents Rural Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence Other
	<b>Geographic Areas Affected</b>	Island of Kauai
	<b>Associated Goals</b>	FH-1
	<b>Description</b>	Provide funds for education, outreach, training and coordination.

	<b>Basis for Relative Priority</b>	Results of the online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey indicating a medium to high priority need, collaboration and consultation.
<b>12</b>	<b>Priority Need Name</b>	Community Development Needs - Planning and Admin
	<b>Priority Level</b>	High
	<b>Population</b>	Extremely Low Low Moderate
	<b>Geographic Areas Affected</b>	Island of Kauai
	<b>Associated Goals</b>	A-1
	<b>Description</b>	Provide appropriate, efficient and effective use of funds for program administration.
	<b>Basis for Relative Priority</b>	Results of the online 2020-2025 COK Housing Agency Consolidated Plan Needs Survey indicating a medium to high priority need, collaboration and consultation.

### Narrative (Optional)

In addition to results of the online needs assessment survey, County staff relied on collaboration and consultation with other local branches of government, its community of public and private agencies, business and civic leaders, and residents. Staff also reviewed and analyzed numerous reports, plans and assessments in order to set forth priorities in this plan.

#### DISASTER RESPONSE and RECOVERY

The County makes disaster response and recovery priority for all Community Planning and Development programs funded by HUD, to include: CDBG, CDBG-CV, CDBG-DR, HOME and HTF programs. CPD funds may be redirected or reprogrammed. To meet the immediate needs of the community in the event of a natural disaster, local government emergency proclamation or presidentially-declared national emergency, the County will identify the projects and agencies, organizations and/or County departments to carry-out the activities in collaboration with community partners, stakeholders, health and emergency management, and the County's administration.

The County will serve and assist, but not limited to, extremely low to low- to moderate-income individuals to include HUD's definition of presumed low-income and vulnerable populations. In



accordance with project eligibility and federal program requirements, the following potential response and recovery categories/activities may be funded:

- Public Services - short-term emergency subsistence payments on behalf of LMI, food security programs, public safety services, relocation, and other services for seniors, homeless, disabled, youth, substance abuse, victims of domestic violence, and more.
- Housing - emergency shelters, homeless facilities, transitional housing
- Economic Development - retain or create new jobs - microenterprise
- Public Facilities/Infrastructure - interim assistance, clearance demolition, child/youth/senior centers, centers for persons with a disability, health facilities, neighborhood centers, parks and recreation facilities
- Other disaster related activities that will expeditiously contribute to the community's recovery

## SP-30 Influence of Market Conditions – 91.215 (b)

### Influence of Market Conditions

Affordable Housing Type	Market Characteristics that will influence the use of funds available for housing type
Tenant Based Rental Assistance (TBRA)	Continual increase in the homeless population, long waitlist for the Section 8 Housing Choice Voucher program and high cost burden among very low-income households.
TBRA for Non-Homeless Special Needs	<p>The island's lack of units needed to serve the Special Needs Population and high cost burden.</p> <p>The <u>Hawaii Housing Planning Study 2019</u> describes three types of units required for the Special Needs Population: units in care homes with appropriate services; temporary units in transitional programs; and housing units for people exiting programs. The following Special Needs Population are categorized within these unit types: elderly 65+; elderly 65+ with any disability; persons with substance abuse, victims of domestic violence, persons living with AIDS/HIV, persons with mental illness, paroles and ex-offenders, foster care children exiting because of emancipation</p>
New Unit Production	Based on the influence of market conditions that creates a supply/demand imbalance for the community, the limited resources available to develop new housing inventory is being targeted to new unit production to address the severity of housing problems and needs of households below 80% of median income.
Rehabilitation	High construction costs, lack of major off-site infrastructure, geographic location, and age and condition of units necessitates the need to rehab homes and units for low-income households.
Acquisition, including preservation	Insufficient affordable inventory for low-income households, high median sales prices and the highest average rents in the country proves that Kauai needs to preserve its leasehold housing stock and continue to acquire land for future affordable housing development.

**Table 50 – Influence of Market Conditions**

## **SP-35 Anticipated Resources - 91.215(a)(4), 91.220(c)(1,2)**

### **Introduction**

Based on prior years' annual allocation from HUD, CDBG anticipates receiving approximately \$3,500,000.00 in grant funds over the next five (5) years, or about \$700,000.00 a year. Funds will be used for County projects, County initiatives, and eligible community development activities under the categories: public services, acquisition, economic development, housing, public facilities and administration. CDBG funds will serve individuals and households whose income are at or below eighty percent (80%) of HUD's income limits.

The County does not expect to receive CDBG program income during the PY 2020 Action Plan. The amount available in "Prior Year Resources" reflect the current combined balances from PY 2019 CDBG revolving loan fund activities, Homebuyer Loan Program, and Home Purchase Program. Home sales, ground lease fees and loan repayments generated from housing programs will be receipted to the designated revolving fund.

As a state sub-grantee, the County anticipates receiving \$2.7 million in HTF funds from HHFDC over the next five (5) years. Federal investments will be made towards acquisition, new construction, reconstruction, or rehabilitation of affordable rental housing and will serve households with incomes at or below thirty percent (30%) of the area median income.

During the Con Plan period, the HOME Program is expected to receive \$3.25 million from HHFDC. Funds will be used for new construction of multi-family units to serve households at or below eighty percent (80%) percent of HUD's income limits.

### **DISASTER RESPONSE and RECOVERY**

The County makes disaster response and recovery priority for all Community Planning and Development programs funded by HUD, to include: CDBG, CDBG-CV, CDBG-DR, HOME and HTF programs. CPD funds may be redirected or reprogrammed. To meet the immediate needs of the community in the event of a natural disaster, local government emergency proclamation or presidentially-declared national emergency, the County will identify the projects and agencies, organizations and/or County departments to carry-out the activities in collaboration with community partners, stakeholders, health and emergency management, and the County's administration.

The County will serve and assist, but not limited to, extremely low to low- to moderate-income individuals to include HUD's definition of presumed low-income and vulnerable populations. In accordance with project eligibility and federal program requirements, the following potential response and recovery categories/activities may be funded:

- Public Services - short-term emergency subsistence payments on behalf of LMI, food security programs, public safety services, relocation, and other services for seniors, homeless, disabled, youth, substance abuse, victims of domestic violence, and more.
- Housing - emergency shelters, homeless facilities, transitional housing
- Economic Development - retain or create new jobs - microenterprise
- Public Facilities/Infrastructure - interim assistance, clearance demolition, child/youth/senior centers, centers for persons with a disability, health facilities, neighborhood centers, parks and recreation facilities
- Cleanup, debris removal, trash removal, water removal (pumping), shoring drainage, demolition, remediating environmental contamination and other disaster related activities that will expeditiously contribute to the community's recovery.

### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	702,854	0	538,000	1,240,854	0	Prior Year Resources reflects the amount available from the CDBG revolving loan fund balances from the Homebuyer Loan Program and Home Purchase Program.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Housing Trust Fund	public - federal	Acquisition Admin and Planning Housing Multifamily rental new construction	0	0	0	0	2,700,000	The County is the designated state HTF.
Other CDBG-CV	public - federal	Acquisition Admin and Planning Economic Development Homebuyer assistance Housing Multifamily rental new construction Multifamily rental rehab Public Improvements Public Services	0	0	0	0	0	Under the CARES Act, the County anticipates future CDBG-CV allocations.

Table 51 - Anticipated Resources

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

Many community non-profit organizations leverage CDBG funds with other private and non-federal public sources. The leveraging of resources and anticipated amounts are identified with the description of CDBG activities that will take place during the program year. Other sources of funds that are potentially available to support the objectives of an Annual Action Plan may include: Low-Income Housing Tax Credits, Section 8 Housing Choice Vouchers, Economic Development Initiative, U.S. Department of Agriculture Rural Development programs, private foundations, state CIP funds, and County funds.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

To meet the housing needs of Kauai County, there are various public housing for elderly and families developed with funding or support from federal, state or County resources. The following is a list of County-owned or leased land or property that could meet housing needs identified in the plan:

- 1) Kalepa Village I, II, III, IV - existing; 180 affordable rental units.
- 2) Paanau Village I & II - existing; 110 affordable rental units.
- 3) Kanikoo (Rice Camp Senior Housing) - existing; 90-unit affordable rental complex for seniors 62 years or older; for households not greater than 60 percent of the area median income limits.
- 4) Lima Ola - 75 acres for workforce housing development; could potentially develop 550 affordable rental and homeownership opportunities of various units types to include single-family detached units, duplexes and small-lot units intended for aging in place; master plan has been completed; onsite infrastructure of Phase I residential lots began in December 2019.
- 5) Koae Makana - 11 acres for workforce housing rental development with 133 units; currently under construction; anticipate completion December 2020.
- 6) Pua Loke - 1.5 acres; 40-50 affordable multi-family rental units; currently in pre-development.

7) Waimea Huakai - in partnership with Kauai Habitat for Humanity; 35 multi-family rental 1, 2, and 3 bedroom units for households at or below 60 percent of Kauai area median income; currently in construction; completion slated for July 2020.

#### **Discussion**

The County received a special allocation of CDBG-CV funds that was included in the amended AAP19 and anticipates receiving future CDBG-CV funding to be used to prevent, prepare for, and respond to the coronavirus (COVID-19) pandemic.

## SP-40 Institutional Delivery Structure – 91.215(k)

Explain the institutional structure through which the jurisdiction will carry out its consolidated plan including private industry, non-profit organizations, and public institutions.

Responsible Entity	Responsible Entity Type	Role	Geographic Area Served
STEADFAST HOUSING DEVELOPMENT CORP	Continuum of care	Homelessness Non-homeless special needs Rental public services	Jurisdiction
MENTAL HEALTH KOKUA	Continuum of care	Homelessness Non-homeless special needs public services	State
HAWAIIAN COMMUNITY ASSETS	Non-profit organizations	Homelessness Non-homeless special needs Ownership public services	State
Women In Need	Continuum of care	Homelessness Non-homeless special needs Planning public facilities public services	Jurisdiction
Legal Aid Society of Hawaii - Kauai	Continuum of care	Homelessness Non-homeless special needs public services	State
CHILD AND FAMILY SERVICE	Non-profit organizations	Non-homeless special needs public services	State
KAUAI ECONOMIC OPPORTUNITY, INC.	CHDO	Homelessness Non-homeless special needs Planning Rental public facilities public services	Jurisdiction



<b>Responsible Entity</b>	<b>Responsible Entity Type</b>	<b>Role</b>	<b>Geographic Area Served</b>
CATHOLIC CHARITIES HAWAII	Continuum of care	Homelessness Non-homeless special needs public facilities public services	State
Young Women's Christian Association of Kauai	Continuum of care	Homelessness Non-homeless special needs Public Housing public facilities public services	Jurisdiction
Malama Pono	Continuum of care	Homelessness Non-homeless special needs Planning Rental public facilities public services	State
HOOLA LAHUI HAWAII	Continuum of care	Homelessness Non-homeless special needs public services	Jurisdiction
U.S.VETS	Continuum of care	Homelessness Non-homeless special needs Public Housing Rental public services	Nation
WORKFORCE DEVELOPMENT DIVISION	Government	Homelessness Non-homeless special needs public services	State
PROJECT VISION KAUAI	Continuum of care	Non-homeless special needs public services	Jurisdiction
DHS-DIVISION OF VOCATIONAL REHABILITATION	Government	Homelessness Non-homeless special needs public services	Jurisdiction

<b>Responsible Entity</b>	<b>Responsible Entity Type</b>	<b>Role</b>	<b>Geographic Area Served</b>
DOH-KAUAI COMMUNITY MENTAL HEALTH CENTER	Continuum of care	Homelessness Non-homeless special needs Planning public services	State
DEPARTMENT OF VETERANS AFFAIRS	Government	Homelessness Non-homeless special needs Ownership Planning Public Housing Rental public facilities public services	Nation
FAMILY LIFE CENTER, KAUAI BRANCH	Continuum of care	Homelessness Non-homeless special needs public services	Jurisdiction
Hawaii Homeownership Center	Non-profit organizations	Non-homeless special needs public services	State
County of Kauai Transportation Agency	Government	Homelessness Non-homeless special needs public facilities	Jurisdiction
Kauai Police Department	Government	Homelessness Non-homeless special needs public services	Jurisdiction
County of Kauai Department of Parks and Recreation	Government	Homelessness Non-homeless special needs public facilities	Jurisdiction
HALE OPIO KAUAI, INC.	Continuum of care	Homelessness Non-homeless special needs public services	Jurisdiction

Responsible Entity	Responsible Entity Type	Role	Geographic Area Served
STATE OF HAWAII DEPARTMENT OF EDUCATION	Government	Non-homeless special needs public services	State
SALVATION ARMY	Community/Faith- based organization	Homelessness Non-homeless special needs neighborhood improvements public services	
GOODWILL HAWAII	Continuum of care	Economic Development Homelessness Non-homeless special needs public services	Jurisdiction
OFFICE OF THE GOVERNOR	Continuum of care	Homelessness Non-homeless special needs Planning Public Housing	Jurisdiction

**Table 52 - Institutional Delivery Structure**

### **Assess of Strengths and Gaps in the Institutional Delivery System**

The economically disadvantaged population relies on public services to obtain essential basic living support, such as, shelter, food, healthcare and to gain skills for employment. For many, physical, financial, legal and cultural barriers can prevent access to services available.

**Strengths:** There are many diverse, experienced organizations throughout the island (listed in Table 51) that generally provides specialized services in a specific field or focused on a specific goal and outcome.

**Gaps:** To minimize gaps in services that crossover, on-going coordination, collaboration, and networking among the agencies are crucial in order to effectively assist the overall needs of a participant.

The Continuum of Care and Kauai Community Alliance continues to provide a foundation for service providers to network, however, membership and participation must increase in order to become a cohesive system that can deliver public services more efficiently and expand access across the board.

### **Availability of services targeted to homeless persons and persons with HIV and mainstream services**

Homelessness Prevention Services	Available in the Community	Targeted to Homeless	Targeted to People with HIV
<b>Homelessness Prevention Services</b>			
Counseling/Advocacy	X	X	X
Legal Assistance	X	X	X
Mortgage Assistance	X		
Rental Assistance	X	X	X
Utilities Assistance	X	X	X
<b>Street Outreach Services</b>			
Law Enforcement	X		
Mobile Clinics	X		
Other Street Outreach Services		X	X
<b>Supportive Services</b>			
Alcohol & Drug Abuse	X	X	X
Child Care	X		
Education	X	X	X
Employment and Employment Training	X	X	X
Healthcare	X	X	X
HIV/AIDS	X	X	X
Life Skills	X	X	X
Mental Health Counseling	X	X	X
Transportation	X	X	X
<b>Other</b>			

**Table 53 - Homeless Prevention Services Summary**

**Describe how the service delivery system including, but not limited to, the services listed above meet the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth)**

Under the Continuum of Care (CoC) there is an emergency shelter and several transitional housing units. Agencies that oversee these housing facilities assist tenants with referrals or administer programs that offer permanent supportive housing, rapid re-housing and homeless prevention. These institutional services are available to chronically homeless individuals, families with children, veterans and their families, and people with HIV. As listed in Table 52, some services include education, financial education, legal consultations and some legal services, medical care, and mental health services. As previously mentioned, social service providers are constantly tasked to coordinate services to increase efficiency in serving the homeless and special needs population.

Housing and homeless prevention services are minimal for unaccompanied youth who are not in the physical custody of a parent or guardian. The recent needs assessment survey conducted by the County shows the increased need for housing and supportive services for youth in transition.

**Describe the strengths and gaps of the service delivery system for special needs population and persons experiencing homelessness, including, but not limited to, the services listed above**

Some of the strengths of the service delivery system are the social services that provide services to either assist individuals in gaining or maintaining employment and housing. The largest gaps in the service delivery system for special needs populations and persons experiencing homelessness include a lack of housing, particularly affordable housing, and the presence of minimal mental health services.

**Provide a summary of the strategy for overcoming gaps in the institutional structure and service delivery system for carrying out a strategy to address priority needs**

The Continuum of Care works collectively to find and sustain affordable supportive housing in the community. Relationships (through non-profit agencies) are formed with private landlords and business owners to increase rental housing inventory. This can allow more chronically homeless individuals and families to be housed immediately.

## SP-45 Goals Summary – 91.215(a)(4)

### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	HO-1	2020	2025	Affordable Housing	Island of Kauai	Housing and Special Needs Housing - Homeowner	CDBG: \$160,000	Homeowner Housing Added: 5 Household Housing Unit
2	HO-2	2020	2025	Affordable Housing	Island of Kauai	Homeless Needs - Permanent Housing Housing and Special Needs Housing - Homeowner	CDBG: \$160,000	Homeowner Housing Added: 5 Household Housing Unit
3	HO-3	2020	2025	Affordable Housing	Island of Kauai	Community Development Needs - Public Services Housing and Special Needs Housing - Homeowner Housing and Special Needs Housing - Rental Housing	CDBG: \$160,000	Public service activities for Low/Moderate Income Housing Benefit: 60 Households Assisted
4	H-1	2020	2025	Homeless	Island of Kauai	Homeless Needs - Emergency Shelter & Transitional Homeless Needs - Group Home	CDBG: \$320,000	Overnight/Emergency Shelter/Transitional Housing Beds added: 50 Beds
5	H-2	2020	2025	Homeless	Island of Kauai	Homeless Needs - Supportive Services	CDBG: \$160,000	Homelessness Prevention: 75 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
6	PF-1	2020	2025	Non-Housing Community Development	Island of Kauai	Community Development Needs - Public Facilities	CDBG: \$580,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 500 Persons Assisted
7	PF-2	2020	2025	Non-Housing Community Development	Island of Kauai	Community Development Needs - Infrastructure Community Development Needs - Public Facilities	CDBG: \$580,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 500 Persons Assisted
8	PS-1	2020	2025	Non-Housing Community Development	Island of Kauai	Community Development Needs - Public Services	CDBG: \$325,000	Public service activities other than Low/Moderate Income Housing Benefit: 100 Persons Assisted
9	PS-2	2020	2025	Non-Housing Community Development	Island of Kauai	Community Development Needs - Economic Development	CDBG: \$50,000	Businesses assisted: 6 Businesses Assisted
10	FH-1	2020	2025	Non-Housing Community Development	Island of Kauai	Community Development Needs - Fair Housing Needs	CDBG: \$10,000	Public service activities for Low/Moderate Income Housing Benefit: 12 Households Assisted
11	A-1	2020	2025	Administration	Island of Kauai	Community Development Needs - Planning and Admin	CDBG: \$700,000 Housing Trust Fund: \$150,000	Other: 2 Other

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
12	HR-1	2020	2025	Affordable Housing	Island of Kauai	Homeless Needs - Permanent Housing Housing and Special Needs Housing - Rental Housing	CDBG: \$160,000 Housing Trust Fund: \$2,700,000	Rental units constructed: 40 Household Housing Unit

**Table 54 – Goals Summary**

## Goal Descriptions

1	Goal Name	HO-1
	Goal Description	Use CDBG and HOME funds for low-cost financing to assist first-time homeowners purchase existing homes.
2	Goal Name	HO-2
	Goal Description	Invest CDBG and HOME funds to finance housing development sites that are suitable for self-help and turn-key housing.
3	Goal Name	HO-3
	Goal Description	Provide homebuyer education and one-on-one counseling services.
4	Goal Name	H-1
	Goal Description	Provide CDBG, CDBG-CV, HOME and HTF funds to acquire, rehab, or construct emergency shelters or tiny homes for the homeless, or rental units to assist working homeless transition to permanent housing.



5	<b>Goal Name</b>	H-2
	<b>Goal Description</b>	Provide funds to support service providers that can assist with homeless needs: outreach and intake, mental health care, substance abuse treatment, childcare, job training, and life skills.
6	<b>Goal Name</b>	PF-1
	<b>Goal Description</b>	Provide funds to remove architectural barriers from parks, recreational and community centers, and other public facilities.
7	<b>Goal Name</b>	PF-2
	<b>Goal Description</b>	Provide funds to acquire, construct, or upgrade public facilities (not to include ADA improvements).
8	<b>Goal Name</b>	PS-1
	<b>Goal Description</b>	Provide funds for new or expanded social service programs.
9	<b>Goal Name</b>	PS-2
	<b>Goal Description</b>	Provide funds to support economic development which create new jobs for low- and moderate-income individuals.
10	<b>Goal Name</b>	FH-1
	<b>Goal Description</b>	Provide funds for education, outreach, and training to housing agency staff, landlords, tenants, non-English or limited-English speaking groups, and the general public. Maintain coordination between federal, state and county agencies.
11	<b>Goal Name</b>	A-1
	<b>Goal Description</b>	Provide appropriate, efficient and effective use of funds for CDBG & HTF program administration.
12	<b>Goal Name</b>	HR-1
	<b>Goal Description</b>	Use CDBG funds to rehab rental units and HOME funds to finance new construction of rental units. Use HTF funds for acquisition, new construction, reconstruction, or rehabilitation of affordable rental housing.

**Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.315(b)(2)**

It is estimated that the jurisdiction will provide approximately 115 rental units for extremely low-income, low-income, and moderate-income families: 100 rental units will be produced, of which 25 will be HOME assisted units; HTF Program will produce 15 rental units.

It is estimated that the jurisdiction will provide 42 single-family for sale units for low-income and moderate-income families. 10 units will be HOME assisted units.

## **SP-50 Public Housing Accessibility and Involvement – 91.215(c)**

### **Need to Increase the Number of Accessible Units (if Required by a Section 504 Voluntary Compliance Agreement)**

The inventory of public housing units is owned by the State of Hawaii. The Hawaii Public Housing Authority is responsible for restoration and revitalization. Refer to the State of Hawaii Consolidated Plan for information regarding the need for involvement and accessible public housing.

### **Activities to Increase Resident Involvements**

Refer to State of Hawaii Consolidated Plan for information pertaining to the Hawaii Public Housing Authority's strategy for increasing involvement of low- and moderate-income families residing in public housing.

### **Is the public housing agency designated as troubled under 24 CFR part 902?**

N/A

### **Plan to remove the 'troubled' designation**

Refer to State of Hawaii Consolidated Plan for information pertaining to the Hawaii Public Housing Authority's plan to remove troubled designation, if applicable.

## **SP-55 Barriers to affordable housing – 91.215(h)**

### **Barriers to Affordable Housing**

In the context of building homes that are affordable, many government regulations are in place to ensure health and safety and to protect natural resources. However, government regulations can work against developing affordable housing. The most common types of regulation that affect housing are building codes, environmental regulations, zoning, land use, impact fees and exactions, fiscal policy and administrative practices. The negative effects from regulation are delays and additional costs to develop. In developing affordable housing, this can directly impact project feasibility.

The County secured the services of the University of Hawaii Economic Research Organization to conduct an Analysis of Impediments to Fair Housing Report to meet the requirements of the Department of Housing and Urban Development (HUD) and ensure the agency's compliance with the Fair Housing Act.

The Fair Housing Act protects citizens against housing discrimination based on protected classes; provides equal opportunity and access to housing.

The report focused on two (2) impediments: 1) agency staff knowledge of fair housing law; and 2) how the agency's policies and procedures is in line with fair housing law and whether it promotes equal access to housing.

A survey was created and sent to housing staff to gauge the members' knowledge of fair housing law. Most of the scenario questions centered on relevant issues workers face with administering housing programs.

Results revealed that eighty-one percent (81%) of staff have received fair housing training; nineteen percent (19%) have not received training. Employees need more awareness and training on the protected classes and clarification on familial status as a protected class as it applies to elderly housing. Additionally, employees could benefit—and better serve the community, from information on when to provide an interpreter and who is the staff person responsible for fair housing inquiries to the County.

In April 2020, the County received the Analysis of Impediments to Fair Housing Report. The recommendations to the Housing Agency were to address documentation and dissemination of written policies. The report identifies the following recommendations:

- Finalize the Kauai County Housing Agency Administrative Plan for the Housing Choice Voucher Program (2017).
- Update the Kauai County Family Handbook to include explicit discussion of fair housing policies, rights and responsibilities and include language access discussion.
- Update the website to include user-friendly discussions of Fair Housing and access to forms for request for interpretation and reasonable accommodations.
- Ensure that the language access reporting tool is used and use is documented.
- Create a language access plan specific to County needs.

- Host quarterly listening sessions including outreach to each of the top 3 needed languages.
- Create documentation of the agency's policy to locate and site new housing in areas to deconcentrate poverty.
- Provide better documentation of systematic analysis completed in accordance with federal law.
- Provide documentation and communication around affirmatively furthering fair housing rather than only fair housing compliance.

The report can be found on the website at: <https://www.kauai.gov/Government/Departments-Agencies/Housing-Agency/Fair-Housing>

The recommendations will further direct the agency to meet goals of eliminating barriers to housing and providing equal access and opportunity.

### **Strategy to Remove or Ameliorate the Barriers to Affordable Housing**

Tax Policy -- No actions or reform steps proposed.

Land Use Controls -- The Housing Agency will support efforts that streamline the planning, zoning and permitting process through the administration of the county's 201-H policy and processing of development exemptions for eligible applicants that develop at least fifty-one percent (51%) of the total project units as affordable housing.

Affordable Housing Task Force -- The Housing Agency will continue to assist private developers through it's the Affordable Housing Task Force. The Task Force consists of representative from county line review agencies and provides a private developer the opportunity to dialogue and receive feedback to help streamline project review time.

Expedited Permitting -- Under authority of the Mayor, will continue to recommend expedited permitting for affordable housing projects. Projects so designated will be given preferential permitting by review agencies.

Fee and Charges -- Continue to administer requests for waivers of fees and charges allowed for affordable housing pursuant to Kauai County Code for building permits, sewer connection fees, environmental impact assessment fees, etc.

Growth Limits -- Continue to support the development of comprehensive planning for the island's communities consistent with the general plan update. Endorse planning principles that integrate reasonable growth principles.

Policies that Affect the Return on Residential Investment -- Impose deed restrictions on the transfer of dwelling units according to the minimum requirements of Ordinance No. 860. This deed restriction

requires owner occupancy of the units. If the owner wishes to sell their unit within a buyback period, the unit must be first offered to the County at a price determined by a prescribed formula.

## **SP-60 Homelessness Strategy – 91.215(d)**

### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

Through the efforts of local agencies, outreach to assess a homeless person's individual need varies.

Hale Kokua is a newly created extension of the County's Housing Agency, and can be considered as a one-stop for the community to come and be connected to services and job training and/or placement assistance. Hale Kokua provides referral services to include but not limited to job training, job placement, homeless services and drug and alcohol services referrals.

Routinely, the KEO Care-a-Van travels around the island to connect with both individuals and families to provide information on services and offer assistance when needed.

Annually, a Point-in-Time Count is conducted to survey the island's homeless population with the objective to obtain an estimate of each county's sheltered and unsheltered population. The annual count assists with the overall count of homeless individuals and household specific subpopulations, an estimate of the number of homeless singles and persons in households with children, and an estimate of the number of chronically homeless individuals and families according to HUD's definition of chronically homeless. The information collected assists in determining where our greatest needs are and the geographic area in which they are located.

### **Addressing the emergency and transitional housing needs of homeless persons**

Locally, our island has nineteen (19) beds for overnight shelter available daily on a first-come, first-served basis. The state is currently moving towards the Housing First model and is slowly drifting away from emergency and transitional shelters. Annually, across the board, there has been a reduction in federal funding for emergency and transitional shelter funding.

The Housing First model works to remove barriers and provides housing as a first step, without preconditions. Once off the street, services are provided and recovery begins. The model is created to assist individuals or families with support and/or social services to sustain and thrive in their new living environment and thus become productive members of their communities.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.**

The Bridging the Gap (BTG) Coordinated Entry System (CES) is the Hawaii Balance of State (Hawaii County, Maui County, and Kauai County) Continuum of Care (CoC) approach to organizing and providing services and assistance to families and individuals experiencing a housing crisis throughout the Continuum of Care. Individuals and families, including youth, who are seeking homeless or homelessness prevention assistance are directed to defined entry/access points, assessed in a uniform and consistent manner, prioritized for housing and services, and then linked to available interventions in accordance with the intentional service strategy defined by BTG's CoC leadership. Each service participant's acuity level and housing needs are aligned with a set of service and program strategies that represent the appropriate intensity and scope of services needed to resolve the housing crisis.

To prevent persons from experiencing homelessness, agencies provide workshops/classes on employment training, interviews and job placement assistance. These skills can greatly improve their options as they seek employment and gain self-confidence. Additionally, to assist those already in housing, but find it difficult to pay for utilities or rent due to the economy, rental and utility subsidies are available to assist with short term needs. Others offer assistance with college and scholarship application so they are able to pursue higher career opportunities.

With the assistance of these services, individuals and families can learn to become self-sufficient, independent and thriving members of their communities.

**Help low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families who are likely to become homeless after being discharged from a publicly funded institution or system of care, or who are receiving assistance from public and private agencies that address housing, health, social services, employment, education or youth needs**

Our island's strategy for preventing homelessness is to provide individuals and families with services that will both enhance and prevent homelessness. To prevent them from experiencing homelessness, agencies provide workshop/classes on employment training, interviews and job placement assistance. These skills will greatly improve their options as they seek employment and gain self-confidence. Additionally, to assist those already in housing, but find it difficult to pay for utilities or rent due to the economy, rental and utility subsidies are available to assist with short term needs. Others offer assistance with college and scholarship application so they are able to pursue higher career opportunities.



## **SP-65 Lead based paint Hazards – 91.215(i)**

### **Actions to address LBP hazards and increase access to housing without LBP hazards**

The State of Hawaii Department of Health provides health and diagnostic screenings for about one-third of the children who are eligible for the state health insurance program. Less than one percent of children screened have elevated blood lead levels. This is a low rate.

The county will continue to address lead based paint to:

- Comply with federal guidelines under 24 CFR 35 for testing and abating lead based paint hazard in projects receiving federal assistance;
- Require owner participation in the Section 8 Rental Assistance program to certify that they will advise the county and tenant family of any lead based paint on the surfaces of houses used to participate in the program;
- Provide each tenant participant in the Section 8 Housing Choice Voucher program and Home Buyer Loan Program with a copy of the Environmental Protection Agency (EPA) bulletin, “Protecting Your Family From Lead In Your Home”; and
- Abate lead based paint on structures undergoing major renovations with financing through governmental programs, such as HOME Investment Partnership Program (HOME) and Community Development Block Grant Program (CDBG) and provide technical assistance in the abatement design and construction process.

### **How are the actions listed above related to the extent of lead poisoning and hazards?**

Implementation of these actions can assist in preventing any possible lead based paint poisoning exposure to families and/or individuals who are participants in any of the County sponsored programs, such as Section 8 Housing Choice Voucher program, and any structures undergoing major renovations that acquires financing through governmental programs, such as HOME and/or CDBG.

### **How are the actions listed above integrated into housing policies and procedures?**

The County lacks funds to instigate a full-scale elimination of this hazard and information on the actual number of the units containing lead based paint hazards is unavailable. Health risks to young children posed by lead based paint in pre-1978 residential dwellings are an important concern.

The Housing Agency provides an informational brochure entitled, “Protecting Your Family From Lead In Your Home” to participants of the Home-Buyer Loan program, Residential Rehabilitation Loan program, and Section 8 Housing Choice Voucher program. Additionally, federal guidelines of 24 CFR 35 are

integrated into housing policies and abatement measures are taken when applicable to residential and commercial structures built prior to 1978.

## **SP-70 Anti-Poverty Strategy – 91.215(j)**

### **Jurisdiction Goals, Programs and Policies for reducing the number of Poverty-Level Families**

The mission of the Department of Human Services (DHS) is to direct resources towards protecting and helping those least able to care for themselves and to provide services designed towards achieving self-sufficiency for clients as quickly as possible.

The goals are as follows:

- Initiate a Housing First Program;
- Provide social services that will strengthen families;
- Provide job training and placement services to help individuals become financially self-sufficient;
- To work in partnership with assisted families, local communities, other state and private agencies;
- Provide staff training and support to both DHS employees and local agencies to do their jobs effectively and efficiently;
- Support services for Veterans.

### **How are the Jurisdiction poverty reducing goals, programs, and policies coordinated with this affordable housing plan**

The jurisdiction's poverty reducing goals, programs, and policies are coordinated with the affordable housing plan by making families more self-sufficient with increases in income and support services. Persons are afforded the opportunity of enhancing their chances and lives by being able to move into stable affordable housing and making them more active members in the community.

To meet the basic needs, DHS administers individual and family financial assistance programs. The financial assistance program provides cash payment to help individuals and families receive basic essentials. The financial assistance programs include Temporary Assistance of Needy Families (TANF), General Assistance (GA), Aid to the Aged, Blind and Disabled (AABD), and Supplemental Nutrition Assistance Program (SNAP).

In addition to financial assistance, DHS arranges for employment and training programs for individuals and families to help them earn enough income to meet their needs. These programs provide evaluation, counseling, training or education, and job placement services.

While employment will help most of these families become self-sufficient, many face a number of barriers to employment (e.g. lack of job skills and work experience, uncertainty of child care resources, and emotional issues). Help in removing such barriers will increase the families' chances of obtaining and maintaining self-sufficiency.

The production and preservation of affordable housing provides housing stability that assists families in their efforts to obtain economic self-sufficiency. The Hawaii Housing Finance and Development Corporation and the counties will continue to consult with DHS to coordinate and maximize program

benefits to poverty level families. The affordable housing strategy will assist to reduce the number of poverty level families.

## **SP-80 Monitoring – 91.230**

**Describe the standards and procedures that the jurisdiction will use to monitor activities carried out in furtherance of the plan and will use to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

The County monitors all programs (HOME, CDBG, Affordable Housing Tax Credits, etc.) assisted activities in various stages of project implementation, upon completion, and throughout the applicable periods of affordability, which can extend for 20-years or longer. Agreements are executed to impose requirements for the federal assistance provided. The County Housing Agency meets preliminarily with its subrecipients and/or developers to discuss grants and/or contract requirements prior to agreement execution and disbursement of funds. Monitoring includes but not limited to:

**Income Monitoring:** Semiannual and annual monitoring of tenant files to verify that income eligibility is processed correctly and at required intervals. Monitoring focuses on resolving discrepancies with tenant income verifications, premature unit placements prior to eligibility determination, and missing source documentation. Income monitoring also includes periodic housing quality inspections.

**Program Monitoring:** Desk review and site monitoring is performed to ensure that fund recipients are complying with grant requirements. Program monitoring may include reviewing files for CDBG national objective compliance, income and program eligibility, job creation and retention records, cash management records, and fair housing compliance.

**Subrecipient Monitoring:** Subrecipient audit reviews are conducted to monitor administration requirements that apply to the use of federal funds for CDBG activities.

**Labor Standards:** Laborers and mechanics employed by contractors and subcontractors on construction projects in excess of \$2,000 and financed in whole or in part with CDBG funds, must be paid prevailing wages in accordance with the U.S. Department of Labor prevailing wage rates and fringe benefits. Labor standard monitoring is performed to review weekly contractor payroll to document wage compliance, and includes on-site employee interviews.

The County will monitor its third-party recipients for compliance with the HTF program.

## Expected Resources

### AP-15 Expected Resources – 91.220(c)(1,2)

#### Introduction

Based on prior years' annual allocation from HUD, CDBG anticipates receiving approximately \$3,500,000.00 in grant funds over the next five (5) years, or about \$700,000.00 a year. Funds will be used for County projects, County initiatives, and eligible community development activities under the categories: public services, acquisition, economic development, housing, public facilities and administration. CDBG funds will serve individuals and households whose income are at or below eighty percent (80%) of HUD's income limits.

The County does not expect to receive CDBG program income during the PY 2020 Action Plan. The amount available in "Prior Year Resources" reflect the current combined balances from PY 2019 CDBG revolving loan fund activities, Homebuyer Loan Program, and Home Purchase Program. Home sales, ground lease fees and loan repayments generated from housing programs will be receipted to the designated revolving fund.

As a state sub-grantee, the County anticipates receiving \$2.7 million in HTF funds from HHFDC over the next five (5) years. Federal investments will be made towards acquisition, new construction, reconstruction, or rehabilitation of affordable rental housing and will serve households with incomes at or below thirty percent (30%) of the area median income.

During the Con Plan period, the HOME Program is expected to receive \$3.25 million from HHFDC. Funds will be used for new construction of multi-family units to serve households at or below eighty percent (80%) percent of HUD's income limits.

#### DISASTER RESPONSE and RECOVERY

The County makes disaster response and recovery priority for all Community Planning and Development programs funded by HUD, to include: CDBG, CDBG-CV, CDBG-DR, HOME and HTF programs. CPD funds may be redirected or reprogrammed. To meet the immediate needs of the community in the event of a natural disaster, local government emergency proclamation or presidentially-declared national emergency, the County will identify the projects and agencies, organizations and/or County departments to carry-out the activities in collaboration with

community partners, stakeholders, health and emergency management, and the County's administration.

The County will serve and assist, but not limited to, extremely low to low- to moderate-income individuals to include HUD's definition of presumed low-income and vulnerable populations. In accordance with project eligibility and federal program requirements, the following potential response and recovery categories/activities may be funded:

- Public Services - short-term emergency subsistence payments on behalf of LMI, food security programs, public safety services, relocation, and other services for seniors, homeless, disabled, youth, substance abuse, victims of domestic violence, and more.
- Housing - emergency shelters, homeless facilities, transitional housing
- Economic Development - retain or create new jobs - microenterprise
- Public Facilities/Infrastructure - interim assistance, clearance demolition, child/youth/senior centers, centers for persons with a disability, health facilities, neighborhood centers, parks and recreation facilities
- Cleanup, debris removal, trash removal, water removal (pumping), shoring drainage, demolition, remediating environmental contamination and other disaster related activities that will expeditiously contribute to the community's recovery.

## Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	702,854	0	538,000	1,240,854	0	Prior Year Resources reflects the amount available from the CDBG revolving loan fund balances from the Homebuyer Loan Program and Home Purchase Program.
Housing Trust Fund	public - federal	Acquisition Admin and Planning Housing Multifamily rental new construction	0	0	0	0	2,700,000	The County is the designated state HTF.



Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - federal	Acquisition Admin and Planning Economic Development Homebuyer assistance Housing Multifamily rental new construction Multifamily rental rehab Public Improvements Public Services	0	0	0	0	0	Under the CARES Act, the County anticipates future CDBG-CV allocations.

Table 55 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

Many community non-profit organizations leverage CDBG funds with other private and non-federal public sources. The leveraging of resources and anticipated amounts are identified with the description of CDBG activities that will take place during the program year. Other sources of funds that are potentially available to support the objectives of an Annual Action Plan may include: Low-Income Housing Tax Credits, Section 8 Housing Choice Vouchers, Economic Development Initiative, U.S. Department of Agriculture Rural Development programs, private foundations, state CIP funds, and County funds.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

To meet the housing needs of Kauai County, there are various public housing for elderly and families developed with funding or support from federal, state or County resources. The following is a list of County-owned or leased land or property that could meet housing needs identified in the plan:

- 1) Kalepa Village I, II, III, IV - existing; 180 affordable rental units.
- 2) Paanau Village I & II - existing; 110 affordable rental units.
- 3) Kanikoo (Rice Camp Senior Housing) - existing; 90-unit affordable rental complex for seniors 62 years or older; for households not greater than 60 percent of the area median income limits.
- 4) Lima Ola - 75 acres for workforce housing development; could potentially develop 550 affordable rental and homeownership opportunities of various units types to include single-family detached units, duplexes and small-lot units intended for aging in place; master plan has been completed; onsite infrastructure of Phase I residential lots began in December 2019.
- 5) Koae Makana - 11 acres for workforce housing rental development with 133 units; currently under construction; anticipate completion December 2020.
- 6) Pua Loke - 1.5 acres; 40-50 affordable multi-family rental units; currently in pre-development.
- 7) Waimea Huakai - in partnership with Kauai Habitat for Humanity; 35 multi-family rental 1, 2, and 3 bedroom units for households at or below 60 percent of Kauai area median income; currently in construction; completion slated for July 2020.

**Discussion**

The County received a special allocation of CDBG-CV funds that was included in the amended AAP19 and anticipates receiving future CDBG-CV funding to be used to prevent, prepare for, and respond to the coronavirus (COVID-19) pandemic.

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	HO-1	2015	2020	Affordable Housing	Island of Kauai	Housing and Special Needs Housing - Homeowner	CDBG: \$538,000	Homeowner Housing Added: 1 Household Housing Unit Direct Financial Assistance to Homebuyers: 1 Households Assisted
2	PS-1	2015	2020	Non-Housing Community Development	Island of Kauai	Community Development Needs - Public Services	CDBG: \$205,000	Public service activities other than Low/Moderate Income Housing Benefit: 61 Persons Assisted
3	FH-1	2015	2020	Non-Housing Community Development	Island of Kauai	Community Development Needs - Fair Housing Needs	CDBG: \$2,000	Other: 60 Other
4	A-1	2015	2020	Administration	Island of Kauai	Community Development Needs - Planning and Admin	CDBG: \$140,571	Other: 1 Other
5	HR-1	2020	2025	Affordable Housing	Island of Kauai	Housing and Special Needs Housing - Rental Housing	CDBG: \$200,000 Housing Trust Fund: \$2,700,000	Rental units constructed: 15 Household Housing Unit Rental units rehabilitated: 21 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
6	H-1	2020	2025	Homeless	Island of Kauai	Homeless Needs - Emergency Shelter & Transitional	CDBG: \$38,240	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 100 Households Assisted

Table 56 – Goals Summary

## Goal Descriptions

1	Goal Name	HO-1
	Goal Description	The County will use "Prior Year Resources" from the Housing Agency's revolving loan fund activities, Homebuyer Loan Program and Home Purchase Program. The County will make a request to HUD to reprogram or carry over the prior year resources, to each designated PY 2020 activity. Additionally, all other home sales, ground lease fees and loan repayments generated from County Housing programs will be receipted to the designated activities' revolving fund.
2	Goal Name	PS-1
	Goal Description	Friendship Club will expand the pre-vocational Food Service Program. Malama Pono Healthcare Services and Family Life Center will provide rent/mortgage and utility payments (CARES Act) and Office of Economic Development will provide free local produce to seniors (CARES Act).
3	Goal Name	FH-1
	Goal Description	To conduct outreach, educate, coordinate, and train the public--Section 8 participants, landlords, tenants, and property management staff in order to increase knowledge and awareness of federal and state fair housing laws.

4	<b>Goal Name</b>	A-1
	<b>Goal Description</b>	Will provide program management functions to include: administration, coordination, monitoring program activities for progress and compliance, prepare reports, develop agreements with subrecipients, conduct evaluation, training and oversight.
5	<b>Goal Name</b>	HR-1
	<b>Goal Description</b>	Use CDBG funds to install photovoltaic panels and battery storage to improve energy efficiency at a senior housing complex.  Use HTF for new construction of rental units.
6	<b>Goal Name</b>	H-1
	<b>Goal Description</b>	KEO will use funds to improve and rehabilitate the main office and homeless shelter for ADA accessibility and extend the life of the public facility. KCHA will use funds to purchase tiny homes based on the Kauhale (Hawaiian term for village) concept as a cultural model housing with communal areas for homeless residents.

## Projects

### AP-35 Projects – 91.220(d)

#### Introduction

For the program year 2020, the County proposes to fund one public service project, one housing project, and two (2) public facility projects for a total of four (4) activities.

#### Projects

#	Project Name
1	Food Service Continued Support/Expansion
2	Lihue Theater Senior Housing - Energy Efficiency
3	KEO Shelter Support Services Improvement and Rehabilitation
4	KCHA Homebuyer Loan Program RLF
5	KCHA Home Purchase Program RLF
6	General Administration
7	(ALTERNATE) Homeownership Education and Counseling Project
8	(ALTERNATE) Case Management Self-Sufficiency & Substance Abuse Relapse Prevention Program
9	CDBG-CV: Kauhale Project - Kauai

**Table 57 – Project Information**

#### **Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

The County does not use a formula for allocating CDBG funds for community development projects. Instead, funds are awarded through a competitive application process which scores and ranks proposed activities for eligibility, concept, project readiness, experience and qualifications, and priorities. Final outcomes for project selection and funding levels are subject to the approval of the mayor and fund appropriation of the County Council.

The County of Kauai's annual CDBG allocation from HUD varies from year to year based on federal legislative budget appropriations. Funding cuts pose obstacles to addressing underserved needs. The County must meet timeliness statutory regulations each year to be eligible for CDBG funding. Annual requests for public service funding far exceeds the fifteen percent (15%) cap. Organizations are forced to decrease the intended amount of participants to serve.

In April 2020, under the CARES Act, the County received CDBG-CV grant funds to be used to prevent, prepare for, and respond to the coronavirus (COVID-19). The County reprogrammed and added CDBG PY19 unexpended grant funds to be used to facilitate assistance to eligible communities, households, and persons economically impacted by COVID-19. Eligible CDBG-CV activities include, but are not limited to, assisting low- to moderate-income households with rental or mortgage assistance for no

more than three months, delivery/grab-and-go meal programs, homeless emergency shelter, and support of other COVID-19 response services. For the AAP PY20, the County will repurpose a proposed public facility project with regular CDBG funding in order to address the needs of the homeless population with a transitional housing, tiny homes project (under goal H-1), Kauhale Project - Kauai, \$300,000.

## **AP-38 Project Summary**

**Project Summary Information – on next page**



<b>1</b>	<b>Project Name</b>	Food Service Continued Support/Expansion
	<b>Target Area</b>	Island of Kauai
	<b>Goals Supported</b>	PS-1
	<b>Needs Addressed</b>	Community Development Needs - Public Services
	<b>Funding</b>	CDBG: \$25,000
	<b>Description</b>	Food Service Continued Support/Expansion (\$25,000), Friendship Club will purchase industry standard equipment to expand the pre-vocational Food Service Program in order to provide real life skills in the food service industry in a stigma free environment. The activity is eligible under 24 CFR 570.201(e), matrix code 05H. Additionally, this activity meets the CDBG national objective under 24 CFR 570.208(a)(2), Limited Clientele or LMC. Friendship Club will obtain data to determine total family size and income utilizing the County's policy on the Use of Self-Certification to verify that the percentage of low- to moderate-income beneficiaries are at least 51%. This is an existing service with a quantifiable increase in level of service. Other leveraged funds: \$10,000.
	<b>Target Date</b>	6/30/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	61 low- to moderate-income persons
	<b>Location Description</b>	4-1751 Kuhio Hwy, Kapaa, HI 96746
	<b>Planned Activities</b>	Food Service Continued Support/Expansion - Friendship Club - will expand the pre-vocational Food Service Program in order to provide real life skills in the food service industry in a stigma free environment.
<b>2</b>	<b>Project Name</b>	Lihue Theater Senior Housing - Energy Efficiency
	<b>Target Area</b>	Island of Kauai
	<b>Goals Supported</b>	HR-1
	<b>Needs Addressed</b>	Housing and Special Needs Housing - Rental Housing
	<b>Funding</b>	CDBG: \$200,000

	<b>Description</b>	Lihue Theater Senior Housing - Energy Efficiency (\$200,000), Kauai Housing Development Corporation will install photovoltaic panels and battery storage to improve energy efficiency and ensure the housing complex remains affordable for seniors. This activity is eligible under 24 CFR 570.202(b)(4), matrix 14F. Additionally, this activity meets the CDBG national objective under 24 CFR 570.208(a)(3), housing activities or LMH. KHDC will obtain data to determine total household size and income utilizing the agency's Verification of Income and Asset Policy and HUD's Part 5 definition of annual income in order to verify that the income is at or below 80% of HUD's income limits for Kauai County. Other leveraged funds: \$26,551.
	<b>Target Date</b>	6/30/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	21 low- to moderate-income households
	<b>Location Description</b>	3-3194 Kuhio Hwy, Lihue, HI 96766
	<b>Planned Activities</b>	Lihue Theater Senior Housing - Energy Efficiency (\$200,000), Kauai Housing Development Corporation will install photovoltaic panels and battery storage to improve energy efficiency and ensure the housing complex remains affordable for seniors.
<b>3</b>	<b>Project Name</b>	KEO Shelter Support Services Improvement and Rehabilitation
	<b>Target Area</b>	Island of Kauai
	<b>Goals Supported</b>	H-1
	<b>Needs Addressed</b>	Homeless Needs - Emergency Shelter & Transitional
	<b>Funding</b>	CDBG: \$38,240
	<b>Description</b>	KEO Shelter Support Services Improvement and Rehabilitation (\$38,240), Kauai Economic Opportunity, Inc. will make improvements to the wastewater system of the emergency shelter and transitional housing units to extend the life of the facility and decrease homelessness, and provide ADA accessibility to participants seeking various social services programs. The activity is eligible under 24 CFR 570.201(c), matrix 03C. Additionally, this activity meets the national objective under 24 CFR 570.208(a)(2)(A), limited clientele, presumed benefit. KEO will certify participants to meet eligibility requirements under the presumed benefit, homeless. Other leveraged funds: \$0

	<b>Target Date</b>	6/30/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	100 presumed benefit, homeless individuals
	<b>Location Description</b>	2804 Wehe Road, Lihue, HI 96766
	<b>Planned Activities</b>	KEO Shelter Support Services Improvement and Rehabilitation (\$38,240), Kauai Economic Opportunity, Inc. will make improvements to the wastewater system of the emergency shelter and transitional housing units to extend the life of the facility and decrease homelessness, and provide ADA accessibility to participants seeking various social services programs.
4	<b>Project Name</b>	KCHA Homebuyer Loan Program RLF
	<b>Target Area</b>	Island of Kauai
	<b>Goals Supported</b>	HO-1
	<b>Needs Addressed</b>	Housing and Special Needs Housing - Homeowner
	<b>Funding</b>	CDBG: \$247,600
	<b>Description</b>	Kauai County Housing Agency Homebuyer Loan Program RLF (\$247,600) will dedicate its revolving loan funds to continue its mortgage financing for low-cost primary or gap loans for first-time homebuyers. The activity is eligible under 24 CFR 570.201(n), matrix code 13B. Additionally, the activity meets CDBG national objective under 24 CFR 570.208(a)(3), low-to moderate-income households or LMH. KCHA will obtain data to determine total household size and income utilizing the agency's Verification of Income and Asset Policy and HUD's Part 5 definition of annual income in order to verify that the income is at or below 80% of HUD's income limits for Kauai County. Other leveraged funds: \$0
	<b>Target Date</b>	6/30/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	1 LMH
	<b>Location Description</b>	4444 Rice Street, Suite 330, Lihue, HI 96766

	<b>Planned Activities</b>	Kauai County Housing Agency Homebuyer Loan Program RLF (\$247,600) will dedicate its revolving loan funds to continue its mortgage financing for low-cost primary or gap loans for first-time homebuyers.
5	<b>Project Name</b>	KCHA Home Purchase Program RLF
	<b>Target Area</b>	Island of Kauai
	<b>Goals Supported</b>	HO-1
	<b>Needs Addressed</b>	Housing and Special Needs Housing - Homeowner
	<b>Funding</b>	CDBG: \$247,600
	<b>Description</b>	Kauai County Housing Agency Home Purchase Program (\$247,600) will use dedicated revolving loan funds to continue its program that purchases affordably priced homes on Kauai to resell to eligible, first-time, low- to moderate-income families by way of the County's 90-year Ground Lease Program. The activity is eligible under 24 CFR 570.201(n), matrix code 13B. Additionally, the activity meets CDBG national objective under 24 CFR 570.208(a)(3), low- to moderate-income households or LMH. KCHA will obtain data to determine total household size and annual income by utilizing the agency's Verification of Income and Asset Policy and HUD's Part 5 definition of annual income in order to verify that income is at or below 80% of HUD's Income Limits for Kauai County. Other leveraged funds: \$0
	<b>Target Date</b>	6/30/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	1 LMH
	<b>Location Description</b>	4444 Rice Street, Suite 330. Lihue, HI 96766
6	<b>Planned Activities</b>	Kauai County Housing Agency Home Purchase Program (\$247,600) will use dedicated revolving loan funds to continue its program that purchases affordably priced homes on Kauai to resell to eligible, first-time, low- to moderate-income families by way of the County's 90-year Ground Lease Program.
	<b>Project Name</b>	General Administration
	<b>Target Area</b>	Island of Kauai
	<b>Goals Supported</b>	A-1
	<b>Needs Addressed</b>	Community Development Needs - Planning and Admin

	<b>Funding</b>	CDBG: \$140,571
	<b>Description</b>	Kauai County Housing Agency (\$140,571) will use funds to provide program management that includes: administration, coordination, training, project implementation, compliance monitoring, evaluation, record-keeping and reporting. This activity is eligible under 24 CFR 570.2063, matrix code 21A. Funds will be used to conduct outreach, educate, coordinate and train the public: Section 8 participants, landlords, tenants, and property management staff in order to increase knowledge and awareness of federal and state fair housing laws. Other leveraged funds: \$0
	<b>Target Date</b>	6/30/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	Fair Housing: (60) Section 8 participants, landlords, tenants and property management staff Administration: 1 KCHA staff
	<b>Location Description</b>	4444 Rice Street, Suite 330, Lihue HI 96766
	<b>Planned Activities</b>	Kauai County Housing Agency (\$140,571) will use funds to provide program management that includes: administration, coordination, training, project implementation, compliance monitoring, evaluation, record-keeping and reporting. Additionally, funds will be used to conduct outreach, educate, coordinate and train the public: Section 8 participants, landlords, tenants, and property management staff in order to increase knowledge and awareness of federal and state fair housing laws. Other leveraged funds: \$0
<b>7</b>	<b>Project Name</b>	(ALTERNATE) Homeownership Education and Counseling Project
	<b>Target Area</b>	Island of Kauai
	<b>Goals Supported</b>	PS-1
	<b>Needs Addressed</b>	Community Development Needs - Public Services
	<b>Funding</b>	:

	<b>Description</b>	(ALTERNATE) Homeownership Education & Counseling (\$35,000), Hawaiian Community Assets, Inc. will use funds to provide HUD-certified homebuyer education, counseling, and foreclosure prevention workshops to low- to moderate-income individuals. The activity is eligible under 24 CFR 570.201(e), matrix code 05U. Additionally, this activity meets the CDBG national objective under 24 CFR 570.208(a)(2), Limited Clientele or LMC. Hawaiian Community Assets will obtain data to determine total family size and income utilizing the County's policy on the Use of Self-Certification to verify that the percentage of low-to moderate-income beneficiaries are at least 51%.
	<b>Target Date</b>	6/30/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	ALTERNATE PROJECT - TBD
	<b>Location Description</b>	4523 Ioane Road, Anahola, HI 96703
	<b>Planned Activities</b>	(ALTERNATE) Homeownership Education & Counseling (\$35,000), Hawaiian Community Assets, Inc. will use funds to provide HUD-certified homebuyer education, counseling, and foreclosure prevention workshops to low- to moderate-income individuals.
8	<b>Project Name</b>	(ALTERNATE) Case Management Self-Sufficiency & Substance Abuse Relapse Prevention Program
	<b>Target Area</b>	Island of Kauai
	<b>Goals Supported</b>	PS-1
	<b>Needs Addressed</b>	Community Development Needs - Public Services
	<b>Funding</b>	:
	<b>Description</b>	(ALTERNATE) Case Management Self-Sufficiency & Substance Abuse Relapse Prevention Program (\$35,000), Women in Need will use funds to provide relapse prevention classes and case management to low- to moderate-income individuals working to overcome substance abuse addictions. The activity is eligible under 24 CFR 570.201(e), matrix code 05U. Additionally, this activity meets the CDBG national objective under 24 CFR 570.208(a)(2), Limited Clientele or LMC. WIN will obtain data to determine total family size and income utilizing the County's policy on the Use of Self-Certification to verify that the percentage of low- to moderate-income beneficiaries are at least 51%.

	<b>Target Date</b>	6/30/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	ALTERNATE PROJECT - TBD
	<b>Location Description</b>	3136A Elua Street, Lihue, HI 96766
	<b>Planned Activities</b>	(ALTERNATE) Case Management Self-Sufficiency & Substance Abuse Relapse Prevention Program (\$35,000), Women in Need will use funds to provide relapse prevention classes and case management to low- to moderate-income individuals working to overcome substance abuse addictions.
9	<b>Project Name</b>	CDBG-CV: Kauhale Project - Kauai
	<b>Target Area</b>	Island of Kauai
	<b>Goals Supported</b>	H-1
	<b>Needs Addressed</b>	Homeless Needs - Emergency Shelter & Transitional
	<b>Funding</b>	CDBG: \$300,000
	<b>Description</b>	Kauhale Project - Kauai (\$300,000), Kauai County Housing Agency will use funds to purchase tiny homes based on the Kauhale (Hawaiian term for village) concept as a cultural model housing with communal areas for homeless residents. The transitional units will support homeless individuals or families in response to the COVID-19 pandemic. The activity is eligible under 24 CFR 570.201(c), matrix code 03C. Additionally, this activity meets the CDBG national objective under 24 CFR 570.208(a)(2)(A), Limited Clientele, presumed benefit, homeless. KCHA will obtain documentation to verify participants meet eligibility requirements and will provide verification under the presumed benefit. Other leveraged funds: \$0
	<b>Target Date</b>	6/30/2021
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	2 HH
	<b>Location Description</b>	4444 Rice Street, Suite 330, Lihue, HI 96766

	<b>Planned Activities</b>	Kauhale Project – Kauai, Kauai County Housing Agency will use funds to purchase tiny homes based on the Kauhale (Hawaiian term for village) concept as a cultural model housing with communal areas for homeless residents. The transitional units will support homeless individuals or families in response to the COVID-19 pandemic.
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## **AP-50 Geographic Distribution – 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

The County plans to utilize CDBG funds that provide direct benefits to various locations and area-wide benefits for geographic areas of the island designated as low/mod areas. Using the American Community Survey 2015 by State - All block groups based on 2011-2015 dataset, the County will qualify low/mod areas where 51% have income at or below 80% of Kauai Median Household Income.

### **Geographic Distribution**

<b>Target Area</b>	<b>Percentage of Funds</b>
Island of Kauai	100

**Table 58 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

The entire island of Kauai will be considered for allocating CDBG funds based on eligibility and meeting the national objective. The County does not use a formula for allocating CDBG funds for community development projects. Instead, funds are awarded through a competitive application process which scores and ranks proposed activities for eligibility, concept, project readiness, experience and qualifications, and priorities. Final outcomes for project selection and funding levels are subject to the approval of the mayor and fund appropriation of the County Council.

## Affordable Housing

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

Table 59:

For the one-year AAP Program Year 2020, CDBG funding will support:

- One hundred (100) homeless and transitional housing individuals and families through a rehabilitation and accessibility project;
- Twenty-one (21) seniors through an energy efficiency improvement project;
- Sixty-one (61) individuals through a pre-vocational training program; and
- One thousand one hundred fifty-five (1155) people in a low-mod area through a park improvement project.

One Year Goals for the Number of Households to be Supported	
Homeless	100
Non-Homeless	1,216
Special-Needs	21
Total	1,337

**Table 59 - One Year Goals for Affordable Housing by Support Requirement**

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	167
Rehab of Existing Units	0
Acquisition of Existing Units	1
Total	168

**Table 60 - One Year Goals for Affordable Housing by Support Type**

#### Discussion

Table 60:

#### The Production of New Units

Koae Makana Workforce Housing (rental units) for extremely low-income, low-income, and moderate-

income families: 133 new construction/production, of which,

- 11 new rental units will be HOME assisted units; and
- 14 new rental units will be HTF assisted units

Waimea Huakai (rental units) for low - to moderate-income families: 34 new construction/production, of which,

- 6 new rental units will be HOME assisted units

#### Acquisition of Existing Units

Kauai County will continue to administer programs that are sustainable through revolving funds: Homebuyer Loan Program and Home Purchase Program. For PY20, CDBG funds will acquire one existing unit/home to sell to an eligible, qualified household via the County's Leasehold Program.

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

#### **Actions planned during the next year to address the needs to public housing**

Refer to the State of Hawaii Annual Action Plan.

#### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

The Housing Agency will continue its efforts to outreach and provide information on current housing programs that: 1) offer low cost, low interest loans to eligible first-time homebuyers; 2) provide homebuyer education and counseling classes; 3) provide opportunities for first-time buyers to purchase their own home via the County's Leasehold Program; and 4) collaborate with County staff from the Family Self-Sufficiency Program

#### **If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

Not applicable.

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

Kauai Island, due to its ability to work cohesively with other agencies, has the capacity to leverage their resources and extend their services to specific client needs. This enables social service agencies to assist clients with individual needs in their area of expertise, while referring them to other agencies to assist with other needs that may require special attention, and are able to receive assistance through another agency. Special needs services are tailored to clients and therefore, agencies work together to ensure each client receives the necessary services.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The State Homeless Outreach Program provides comprehensive geographic coverage of the state's four counties: Oahu, Maui, Kauai, and Hawaii. The outreach agencies contracted by the state seek out unsheltered homeless on beaches, in parks, on the streets, and other places where the homeless population congregates. The unsheltered homeless and at-risk population who might not otherwise receive assistance is provided with basic intake and assessment to identify their individual needs and barriers. The Outreach Program facilitates progress toward a healthier, more stable living condition with the ultimate goal of attaining and retaining permanent housing through self-sufficiency. The agencies are required to:

- Provide outreach and engagement with the unsheltered.
- Address and provide access to basic survival needs, including without limitation: food and/or shelter; medical attention; clothing; supportive services; access to financial and medical benefits; and legal assistance.
- Provide representative payee program services to help the seriously mentally ill to manage their finances.
- Encourage and form open relationships and trust with participants.
- Help participants to implement solutions to address barriers and initiating the transition to permanent housing and self-sufficiency by linking homeless persons with appropriate community services.
- Complete a Vulnerability Index-Service Prioritization Decision Assistance Tool (VI-SPDAT) on each homeless individuals and/or families encountered. Neighbor island providers, through Bridging the Gap, have been kept informed and started using the coordinated assessment

process.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

The State Homeless Program funds emergency and transitional shelters with the intent to achieve broad geographic accessibility through the state. Hawaii's shelters provide a secure environment where individuals and families can stabilize their lives, address their needs and strengthen their economic situation. These facilities provide a broad spectrum of services to address the multiple needs of homeless clients, including substance abuse, mental health and educational services; job and life skills training; and family support.

The state's shelter program requires as the first step, all shelters provide access to basic needs, such as a safe and decent place to sleep, prepared meals and/or food/kitchen facilities, hygiene essentials.

Each shelter performs an intake and assessment on each client that identifies the individual's areas of need. These are then incorporated into a social service plan that focuses on the participant's individual goals, achievement activities, and timeline for completion. The shelters also provide referrals and on-site classes or services to further assist clients in realizing their goals.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

Support services are adequately in place to provide guidance and resources for individuals and families transitioning from shelter or transitional housing to permanent housing, or independent living for homeless individuals and their families. Agencies assist with completing applications, rental deposit assistance and financial assistance when available. Agencies also provide job skills training to prepare them for employment, transportation to interviews and bus passes (when available) to help sustain employment.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

The State Homeless Programs Division works diligently to seek and provide funds to assist with

prevention of homelessness. The Housing First model provides housing as a first step, without preconditions. Once off the street, services are provided and recovery begins. The model is created to assist individuals or families with support and/or social services to sustain and thrive in their new living environment and thus becoming productive members of their communities.

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction:**

In the context of building homes that are affordable, many government regulations are in place to ensure health and safety and to protect natural resources. However, government regulations can work against developing affordable housing. The most common types of regulation that affect housing are building codes, environmental regulations, zoning, land use, impact fees and exactions, fiscal policy and administrative practices. The negative effects from regulation are delays and additional costs to develop. In developing affordable housing, this can directly impact project feasibility.

**Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

**Tax Policy** -- No actions or reform steps proposed.

**Land Use Controls** -- The Housing Agency will support efforts that streamline the planning, zoning and permitting process through the administration of the County's 201-H policy and processing of development exemptions for eligible applicants that develop at least 51% of the total project units as affordable housing.

**Affordable Housing Task Force** -- The Housing Agency will continue to assist private developers through it's the Affordable Housing Task Force. The Task Force consists of representative from County line review agencies and provides a private developer the opportunity to dialogue and receive feedback to help streamline project review time.

**Expedited Permitting** -- The Housing Agency, under authority of the Mayor, will continue to recommend expedited permitting for affordable housing projects. Projects so designated will be given preferential permitting by review agencies.

**Fee and Charges** -- The Housing Agency will continue to administer requests for waivers of fees and charges allowed for affordable housing pursuant to Kauai County Code for building permits, sewer connection fees, environmental impact assessment fees, etc.

**Growth Limits** -- The Housing Agency will continue to support the development of comprehensive planning for the island's communities consistent with the General Plan update and endorse planning principles that integrate reasonable growth principles.

**Policies that Affect the Return on Residential Investment** -- The County will impose deed restrictions on the transfer of dwelling units according to the minimum requirements of Ordinance No. 860. This deed restriction requires owner occupancy of the units. If the owner wishes to sell their unit within a buyback



period, the unit must be first offered to the County at a price determined by a prescribed formula.

## **AP-85 Other Actions – 91.220(k)**

### **Introduction:**

Promoting activities within the County brings awareness and education to the public on relevant issues on fair housing. Under the PY 2020 Action Plan, the County will continue to take actions to address obstacles to meet underserved needs, foster and maintain housing, and remove barriers to affordable housing.

### **Actions planned to address obstacles to meeting underserved needs**

To address obstacles, the County will undertake the following actions:

- Leverage federal resources (e.g. Low Income Housing Tax Credits) to increase the supply of rental housing for underserved lower income populations.
- Invest federal resources to maintain the supply of rental housing for the underserved lower income populations.
- Invest federal resources (e.g. HOME and HTF) to produce low-cost rental units for transitional programs for a segment of the island's population preparing for a transition to permanent housing.
- Utilize the County's Fast Track Permitting for Workforce Housing Projects that speed the process in order to accelerate development for new affordable housing.
- Support applications that seek funding by non-profit organizations from other federal sources that are consistent with Consolidated Plan objectives and priorities.

### **Actions planned to foster and maintain affordable housing**

- Provide CDBG loan assistance through Home Buyer Loan Program to provide low-cost mortgage loans to low- and moderate-income households.
- Provide CDBG funds to purchase and/or rehabilitate homes to resell, via the County's leasehold program, to low-and moderate-income households.
- Operate and maintain County's inventory of 160 affordable rental units primarily for low-income households at affordable and stable rents.

### **Actions planned to reduce lead-based paint hazards**

The County will continue to address lead-based paint to:

- Comply with federal guidelines under 24 CFR 35 for testing and abating lead-based paint hazards in projects receiving federal assistance;
- Require owner participants in the Section 8 Rental Assistance Program to certify that they will advise the County and tenant-family of any lead-based paint on surface of homes used to

- participate in the program;
- Provide each tenant participant in the Section 8 Rental Assistance Program, Home Buyer Loan Program and Home Purchase Program with a copy of the EPA Bulletin, "Protect Your Family From Lead in Your Home"; and
- Abate lead-based paint on structures undergoing major renovation with financing through government programs, such as HOME and CDBG, and provide technical assistance in the abatement design and construction process.

### **Actions planned to reduce the number of poverty-level families**

The County will continue to operate a Family Self-Sufficiency (FSS) Program that assists Section 8 Housing Choice Voucher clients develop skills, abilities, and self-confidence needed to find and maintain jobs which pay adequate wages to minimize a need for public assistance.

The County will support services designed towards achieving self-sufficiency:

- Provide funds to non-profit organizations with social services that will strengthen families;
- Make funds available to organizations that provide protective services for children and adults; and
- Provide funds to organizations for job training and placement services to help individuals become financially self-sufficient.

### **Actions planned to develop institutional structure**

The County will utilize the Fast Track Permitting for Workforce Housing policy in order to speed the permitting process on projects with at least 51% affordable housing units.

### **Actions planned to enhance coordination between public and private housing and social service agencies**

The County will continue to provide public meetings in which citizens, agencies and interested parties are encouraged to give input and participate in identifying community needs and strategies. The County will continue to foster relationships with private organizations, businesses, and developers to build partnerships that can initiate and support economic and community development. Additionally, the County will continue to maintain, foster, and find new public agencies and non-profit organizations to ensure that benefits from the CDBG program are delivered to the community.

## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

#### Introduction:

The County does not expect to receive CDBG program income during the PY 2020 Action Plan. Program income generated from a leasehold sale of a home through the Home Purchase Program and all ground lease fees and loan repayments generated from County Housing programs will be receipted to the designated activities' revolving fund.

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

**Housing Trust Fund (HTF)**  
**Reference 24 CFR 91.220(l)(5)**

1. Distribution of Funds

a. Describe the eligibility requirements for recipients of HTF funds (as defined in 24 CFR § 93.2).

Rental Housing - County will focus HTF funds on providing affordable rental housing to 30% AMI households. HTF funds may be used for the production, preservation, and rehabilitation of affordable rental housing through the acquisition, new construction, reconstruction, or rehabilitation of non-luxury housing with suitable amenities.

All rehabilitation projects must meet applicable HTF rehabilitation standards and the requirements of 24 CFR 93.301(b). However, the County intends to expend HTF funds received in PY 2022 only for the new construction of rental housing.

Eligible HTF activities and expenses include: real property acquisition, site improvements and development hard costs, related soft costs, conversion, demolition, financing costs, relocation assistance, operating cost assistance and reasonable administrative and planning costs for HTF program administration.

b. Describe the jurisdiction's application requirements for eligible recipients to apply for HTF funds.

An organization, agency or other entity (including a public housing agency, or a for-profit entity, or a nonprofit entity) is eligible to apply for HTF assistance as an owner or developer to carry out an HTF-assisted project. An HTF Recipient must:

- Make assurances to the County that it will comply with the requirements of the HTF program during the entire period that begins upon the selection of the recipient to receive HTF funds, and ending upon the conclusion of all HTF-funded activities;
- Demonstrate the ability and financial capacity to undertake, comply and manage the eligible activity;
- Demonstrate its familiarity with the requirements of other Federal, State, or local housing programs that may be used in conjunction with HTF funds to ensure compliance with all applicable requirements and regulations of such programs; and
- Have demonstrated experience and capacity to conduct an eligible HTF activity as evidenced by its ability to own, construct, or rehabilitate, and manage an affordable multifamily rental housing development.

c. Describe the selection criteria that the jurisdiction will use to select applications submitted by eligible recipients.

The following selection criteria shall be used in the evaluation of HTF Applications:

- **Timeliness and Readiness to Proceed (Max. 30 pts.)** – The proposed project is feasible and will meet the required timelines to commit and expend HTF funds. Factors to be considered for timeliness and readiness are site control, financial commitments, environmental factors, zoning, utilities and site and neighborhood standards;
- **Consolidated Plan Priorities (Max. 10 pts.)** – The extent to which the project proposes accomplishments that will meet the rental housing objectives for the County. Preference to projects will be based on several factors, such as accessibility to employment opportunities, public transportation, schools, etc. Geographical distribution will be targeted to the three primary areas of Kauai’s transit and employment, which are, Kawaihau district, Lihue district and the Koloa district. Other factors are considered, are housing that includes green building and sustainable building features or housing that serves special needs populations;
- **Development Experience and Financial Capacity (Max 25 pts.)** – Applicant’s ability to obligate HTF dollars and undertake funded activities in a timely manner, past performance using federal funds, evidence of experience in developing and managing projects of similar type of scope, staff qualifications, fiscal soundness, and qualifications of the proposed project team, including proven record of experience with comparable projects;
- **Financially Feasible Project (Max. 25 pts.)** – Project pro forma to cover a minimum 30-year HTF affordability period and include rents that are affordable to extremely low-income households. Priority to be given for projects with extended affordability periods and project-based rental assistance; and
- **Use of Non-Federal Funding Sources (Max. 10 pts.)** – For extremely low-income targeting of 30% AMI, other funding sources compatible with HTF are needed. Priority consideration will be given to the extent HTF leverages non-federal funding sources.
- **A minimum score of 50 points (out of the 100 total points) must be scored in order to be recommended for the award of HTF funds.** Successful Recipient(s) will receive a Notice of Award, which will state that the County’s intent to award HTF funds is subject to approval by the approving authorities of the County, HHFDC, and HUD.
- **Maximum Per-Unit Development Subsidy Limits** – Each year, HHFDC must establish maximum limitations on the total amount of HTF funds that may be invested per-unit for development of non-luxury rental housing projects. The HOME Program Maximum Per-Unit Subsidy Limits have been adopted for the HTF program. The development costs of affordable rental housing across the state are generally higher in comparison with the HOME subsidy limits. However, due to the limited funding, the HTF projects will require leveraging with other significant sources of funds. The HOME subsidy limit provides a reasonable maximum to develop a greater number of HTF assisted units throughout the state.

d. Describe the jurisdiction’s required priority for funding based on geographic distribution, which is a description of the geographic areas of the State (including areas of low-income and minority concentration) in which it will direct assistance during the ensuing program year.

Geographical distribution will be given preference to the three primary areas of Kauai's transit and employment, which are: Kawaihau district, Lihue district and the Koloa district. Other factors considered are housing that includes green building and sustainable building features or housing that serves special needs populations.

e. Describe the jurisdiction's required priority for funding based on the applicant's ability to obligate HTF funds and undertake eligible activities in a timely manner.

An organization, agency or other entity (including a public housing agency, or a for-profit entity, or a nonprofit entity) is eligible to apply for HTF assistance as an owner or developer to carry out an HTF-assisted project. An HTF Recipient must:

- Make assurances to the County that it will comply with the requirements of the HTF program during the entire period that begins upon the selection of the recipient to receive HTF funds, and ending upon the conclusion of all HTF-funded activities;
- Demonstrate the ability and financial capacity to undertake, comply and manage the eligible activity;
- Demonstrate its familiarity with the requirements of other Federal, State, or local housing programs that may be used in conjunction with HTF funds to ensure compliance with all applicable requirements and regulations of such programs; and
- Have demonstrated experience and capacity to conduct an eligible HTF activity as evidenced by its ability to own, construct, or rehabilitate, and manage an affordable multifamily rental housing development.

f. Describe the jurisdiction's required priority for funding based on the extent to which rents for units in the rental project are affordable to extremely low-income families.

Rental Housing – County will focus HTF funds on providing affordable rental housing to 30% AMI households. HTF funds may be used for the production, preservation, and rehabilitation of affordable rental housing through acquisition, new construction, reconstruction, or rehabilitation of non-luxury housing with suitable amenities.

g. Describe the jurisdiction's required priority for funding based on the financial feasibility of the project beyond the required 30-year period.

Financially Feasible Project – Project pro forma to cover a minimum 30-year HTF affordability period and include rents that are affordable to extremely low-income households. Priority to be given for projects with extended affordability periods and project-based rental assistance.

h. Describe the jurisdiction's required priority for funding based on the merits of the application in meeting the priority housing needs of the jurisdiction (such as housing that is accessible to transit or employment centers, housing that includes green building and sustainable development features, or

housing that serves special needs populations).

Consolidated Plan Priorities – The extent to which the project proposes accomplishments that will meet the rental housing objectives for the County. Preference to projects will be based on several factors, such as accessibility to employment opportunities, public transportation, schools, etc. Geographical distribution will be targeted to the three primary areas of Kauai’s transit and employment, which are: Kawaihau district, Lihue district and the Koloa district. Other factors considered are housing that includes green building and sustainable building features or housing that serves special needs populations.

i. Describe the jurisdiction’s required priority for funding based on the location of existing affordable housing.

The National Housing Trust Fund priority for funding based on the location of existing affordable housing is to focus on the preservation of rental housing for extremely low-income (30% AMI) families in Kauai County.

j. Describe the jurisdiction’s required priority for funding based on the extent to which the application makes use of non-federal funding sources.

Use of Non-Federal Funding Sources – For extremely low-income targeting of 30% AMI, other funding sources compatible with HTF are needed. Priority consideration will be given to the extent HTF leverages non-federal funding sources.

2. Does the jurisdiction’s application require the applicant to include a description of the eligible activities to be conducted with HTF funds?

Yes

3. Does the jurisdiction’s application require that each eligible recipient certify that housing units assisted with HTF funds will comply with HTF requirements?

Yes

**4. Performance Goals and Benchmarks.** The jurisdiction has met the requirement to provide for performance goals, consistent with the jurisdiction’s goals established under 24 CFR 91.215(b)(2), by including HTF in its housing goals in the housing table on the SP-45 Goals and AP-20 Annual Goals and Objectives screens.

Yes

**5. Rehabilitation Standards.** The jurisdiction must establish rehabilitation standards for all HTF-assisted housing rehabilitation activities that set forth the requirements that the housing must meet upon project completion. The jurisdiction’s description of its standards must be in sufficient detail to determine the required rehabilitation work including methods and materials. The standards may refer to applicable



codes or they may establish requirements that exceed the minimum requirements of the codes. The jurisdiction must attach its rehabilitation standards below. If the jurisdiction will not use HTF funds for the rehabilitation of housing, enter "N/A".

In addition, the rehabilitation standards must address each of the following: health and safety; major systems; lead-based paint; accessibility; disaster mitigation (where relevant); state and local codes, ordinances, and zoning requirements; Uniform Physical Condition Standards; and Capital Needs Assessments (if applicable).

N/A. During this program year, HTF funds will not be used for Rehabilitation.

**6. Resale or Recapture Guidelines.** Below, the jurisdiction must enter (or attach) a description of the guidelines that will be used for resale or recapture of HTF funds when used to assist first-time homebuyers. If the jurisdiction will not use HTF funds to assist first-time homebuyers, enter "N/A".

N/A

**7. HTF Affordable Homeownership Limits.** If the jurisdiction intends to use HTF funds for homebuyer assistance and does not use the HTF affordable homeownership limits for the area provided by HUD, it must determine 95 percent of the median area purchase price and set forth the information in accordance with §93.305. If the jurisdiction will not use HTF funds to assist first-time homebuyers, enter "N/A".

N/A

**8. Limited Beneficiaries or Preferences.** Describe how the jurisdiction will limit the beneficiaries or give preferences to a particular segment of the extremely low- or very low-income population to serve unmet needs identified in its consolidated plan or annual action plan. If the jurisdiction will not limit the beneficiaries or give preferences to a particular segment of the extremely low- or very low-income population, enter "N/A."

Any limitation or preference must not violate nondiscrimination requirements in § 93.350, and the jurisdiction must not limit or give preferences to students. The jurisdiction may permit rental housing owners to limit tenants or give a preference in accordance with § 93.303 only if such limitation or preference is described in the action plan.

N/A

**9. Refinancing of Existing Debt.** Enter or attach the jurisdiction's refinancing guidelines below. The guidelines describe the conditions under which the jurisdiction will refinance existing rental housing project debt. The jurisdiction's refinancing guidelines must, at minimum, demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing. If the jurisdiction will not refinance existing debt, enter "N/A." N/A


## Attachments

## Grantee SF-424's and Certification(s)

OMB Number: 4040-0004  
Expiration Date: 12/31/2022

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision * If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>
* 3. Date Received: <input type="text"/>		4. Applicant Identifier: <input type="text"/>
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: <input type="text"/>
State Use Only:		
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>
8. APPLICANT INFORMATION:		
* a. Legal Name: <input type="text" value="County of Kauai"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="95-6800606"/>		* c. Organizational DUNS: <input type="text" value="1122109450003"/>
d. Address:		
* Street1:	<input type="text" value="4414 Kilauea Street, Suite 330"/>	
Street2:	<input type="text"/>	
* City:	<input type="text" value="Lihue"/>	
County/Parish:	<input type="text"/>	
* State:	<input type="text" value="HI: Hawaii"/>	
Province:	<input type="text"/>	
* Country:	<input type="text" value="USA: UNITED STATES"/>	
* Zip / Postal Code:	<input type="text" value="96756"/>	
e. Organizational Unit:		
Department Name: <input type="text" value="Kauai County Housing Agency"/>		Division Name: <input type="text" value="Development Division"/>
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix:	* First Name: <input type="text" value="Jerrilyn"/>	
Middle Name:	<input type="text"/>	
* Last Name:	<input type="text" value="RAYNES"/>	
Suffix:	<input type="text"/>	
Title: <input type="text"/>		
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="808-241-4435"/>	Fax Number: <input type="text"/>	
* Email: <input type="text" value="jerrilyn@kauai.gov"/>		

Application for Federal Assistance SF-424	
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="B: County Government"/>	
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>	
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>	
<b>* Other (specify):</b> <input type="text"/>	
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>	
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.228"/>	
<b>CFDA title:</b> <input type="text" value="Community Development Block Grant"/>	
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="H-20-DB-15-0001"/>	
<b>* Title:</b> <input type="text" value="Community Development Block Grant HUD Administered Non-Entitlement Counties in Hawaii Program"/>	
<b>13. Competition Identification Number:</b> <input type="text"/>	
<b>Title:</b> <input type="text"/>	
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <div> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/> </div>	
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="Food Service Continued Support/Expansion; Senior Center Heating-Energy Efficiency; Tiny Homes Village; XEO Shelter Support Services Improvement and Rehabilitation; JRCF; JPD."/>	
Attach supporting documents as specified in agency instructions. <div> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="View Attachments"/> </div>	

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: 2nd DT	* b. Program/Project: 2nd DT
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: 07/01/2020	* b. End Date: 06/30/2021
18. Estimated Funding (\$):	
* a. Federal:	737,854.00
* b. Applicant:	
* c. State:	
* d. Local:	
* e. Other:	
* f. Program Income:	493,000.00
* g. TOTAL:	1,195,854.00
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> .	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)	
<input type="checkbox"/> ** I AGREE	
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix:	* First Name: Darck
Middle Name: S. R.	
* Last Name: Kawakani	
Suffix:	
* Title: Mayor, County of Kauai	
* Telephone Number: (808-241)-4900	Fax Number:
* Email: pzjyur@kauai.gov	
* Signature of Authorized Representative: 	* Date Signed: 4/30/20



# ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-516), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

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Standard Form 424D (Rev. 7-87)  
Prescribed by OMB Circular A-102

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of

Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).

16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Mayor, County of Kauai
APPLICANT ORGANIZATION	DATE SUBMITTED
Kauai County Housing Agency	4/30/20

SI-424D (Rev. 7-97) Back



## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the County certifies that:

**Affirmatively Furthering Fair Housing** -- It will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-Displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

A. **Drug-Free Workplace** -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about -
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace.
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;



5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(h) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted -
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5, and 6.

**B. Anti-Lobbying** – To the best of the County's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-1111, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraphs 1 and 2 of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

- C. **Authority of County** -- The submission of the consolidated plan is authorized under County ordinance and the County possesses the legal authority to carry out the programs under the consolidated plan for which it is seeking funding, in accordance with applicable HUD regulations.
- D. **Consistency with plan** -- The housing activities to be undertaken with CDBG and HOME funds are consistent with the strategic plan.
- E. **Section 3** -- It will comply with Section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



Signature/Authorized Official

4/28/20

Date

Mayor, County of Kauai  
Title

### Specific CDBG Certifications

The County certifies that:

**Citizen Participation** – It is in full compliance and following a detailed citizen participation plan that satisfied the requirements of 24 CFR 91.105.

**Community Development Plan** – Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income (24 CFR 570.2 and 24 CFR part 570).

**Following a Plan** – It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** – It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds, including section 108 guaranteed loans, during the program year of 2020, shall principally benefit low-and moderate-income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessment.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- The County has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from, a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

**Lead-based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, K, and R;

**Compliance with Laws** -- It will comply with applicable laws.



Signature/Authorized Official

4/31/20

Date

Mayor, County of Kauai  
Title



## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

#### A. Lobby Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.


#### B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal government, may take action authorized under the Drug Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the changes(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

# DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB  
0348-0046

<b>1. * Type of Federal Action:</b> <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. * Status of Federal Action:</b> <input type="checkbox"/> a. budget/ appropriations <input checked="" type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. * Report Type:</b> <input checked="" type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee * Name: Kauai County Housing Agency * Street 1: 4444 Rice Street Suite 330 * Street 2: * City: Lihue State: Hawaii Zip: 96766 Congressional District: Hawaii:		
<b>5. If Reporting Entity in No.4 is Subawardee, Enter Name and Address of Prime:</b>  		
<b>6. * Federal Department/Agency:</b> U.S. Department of Housing and Urban Development		<b>7. * Federal Program Name/Description:</b> Community Development Block Grant OPA Number: 14.228
<b>8. Federal Action Number, if known:</b>  		<b>9. Award Amount, if known:</b> \$
<b>10. a. Name and Address of Lobbying Registrant:</b> * Name: * First Name: * Last Name: * Middle Name: * Suffix: * Address: * Street 1: * Street 2: * City: * State: * Zip:		
<b>b. Individual Performing Services (including address, if different from No. 10a):</b> * Name: * First Name: * Last Name: * Middle Name: * Suffix: * Address: * Street 1: * Street 2: * City: * State: * Zip:		
<b>11. Information requested through this form is authorized by 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance may be placed by the Government when the disclosure was made or renewed in this. This disclosure is not required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available to the public for inspection. Any person who fails to file the required disclosure will be subject to a civil penalty of not more than \$10,000 and not more than \$100,000 for each such failure.</b> <b>* Signature:</b>  * Name: * First Name: * Last Name: * Middle Name: * Suffix: Title: Mayor County of Kauai Telephone No.: 808-241-4900 Date: 4/30/20		
<b>Federal Use Only:</b>		



# AFFIDAVIT OF PUBLICATION

## IN THE MATTER OF PUBLIC NOTICE

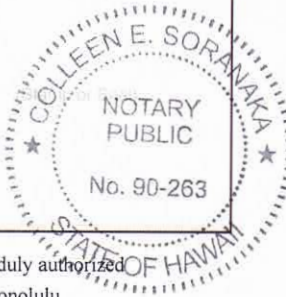
STATE OF HAWAII

City and County of Honolulu

Doc. Date: APR 30 2020 # Pages: 1  
Notary Name: COLLEEN E. SORANAKA First Judicial Circuit

Doc. Description: Affidavit of  
Publication

[Signature] APR 30 2020  
Notary Signature Date



Lisa Sakakida being duly sworn, deposes and says that she is a clerk, duly authorized to execute this affidavit of Oahu Publications, Inc. publisher of The Honolulu Star-Advertiser, MidWeek, The Garden Island, West Hawaii Today, and Hawaii Tribune-Herald, that said newspapers are newspapers of general circulation in the State of Hawaii, and that the attached notice is true notice as was published in the

Honolulu Star-Advertiser 0 times on:

MidWeek 0 times on:

The Garden Island 1 times on:

04/30/2020

Hawaii Tribune-Herald 0 times on:

West Hawaii Today 0 times on:

Other Publications: 0 times on:

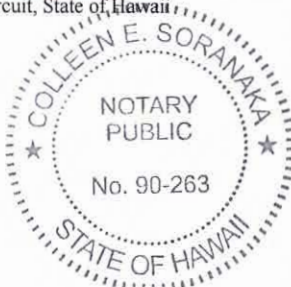
And that affiant is not a party to or in any way interested in the above entitled matter.

[Signature]  
Lisa Sakakida

Subscribed to and sworn before me this 30<sup>th</sup> day of April A.D. 20 20

[Signature]  
Colleen E. Soranaka, Notary Public of the First Judicial Circuit, State of Hawaii  
My commission expires: Jan 06 2024

Ad # 0001278027



## Kauai County Housing Agency Legal Public Notice for Public Comment On Draft Substantial Amendments to the County of Kauai's Citizen Participation Plan, Annual Action Plan PY19, Draft Consolidated Plan 2020-2025 and Draft Annual Action Plan PY20

In accordance with 24 CFR 91.05(c)(2) and subpart B of the federal regulations relative to citizen participation for Community Planning and Development Programs under the U.S. Department of Housing and Urban Development, and applicable waivers made available to those requirements through the Coronavirus Aid, Relief, and Economic Security Act (CARES Act), Public Law 116-136, the Kauai County Housing Agency (KCHA) gives notice of draft substantial amendments to: 1) Annual Action Plan (AAP) for PY19; 2) Citizen Participation Plan (CPP); and 3) changes to the draft Consolidated Plan (CP) 2020-2025; and 4) draft Annual Action Plan for PY20.

### AMENDMENTS:

AAP PY19 - Under the CARES Act, KCHA will receive \$412,929 in CDBG-CV grant funds to be used to prevent, prepare for, and respond to the coronavirus (COVID-19). The County proposes to reprogram and add unexpended CDBG PY19 grant funds in the amount of \$465,752 that will be used to facilitate assistance to eligible communities, households, and persons economically impacted by COVID-19. Eligible CDBG-CV activities include, but are not limited to, assisting low- to moderate-income households with rental or mortgage assistance for no more than three months, delivery/grab-and-go meal programs, homeless emergency shelter, and support of other COVID-19 response services.

### Proposed Activities:

Project: COVID-19 Emergency Housing and Utilities Assistance - \$400,000  
Organization: Malama Pono Health Services and Family Life Center  
Project: Kupuna Kare for Farmer Fare - \$150,000  
Organization: County of Kauai - OED  
Project: Kauhale Project - Kauai - \$246,095  
Organization: Kauai County Housing Agency  
Project: CDBG-CV Administration - \$82,586

CONSOLIDATED PLAN 2020-2025 and CITIZEN PARTICIPATION PLAN - The County proposes to amend the draft CP 2020-2025 and its CPP which describes the County's policies and procedures for involving citizen participation in the administration of its federally-funded CPD Programs. The CPP amendment includes information on exemptions related to disaster response and recovery, and urgent needs. The draft CP amendment includes information on the Fair Housing Analysis of Impediments. An AI study was completed in conjunction with other state and county agencies as a requirement for receiving federal funds for various housing programs. The County proposes to amend the CP to include the impediments found and the actions to address impediments.

AAP PY20 - The County proposes to amend the draft AAP20 to repurpose CDBG grant funds in the amount of \$300,000 to be used to prevent, prepare for, and respond to the coronavirus (COVID-19).

### Proposed Activities:

Project: Kauhale Project - Kauai - \$300,000  
Organization: Kauai County Housing Agency

Selection Process - Due to the urgent need to quickly disburse funds in the community, KCHA is implementing a waiver to the normal 30-day public comment period in favor of a 5-day public comment period. The County will draft a criteria and selection process for subrecipients and projects that is fair, reasonable and meets the needs of the community, program requirements, and the CARES Act. Additionally, KCHA may choose to administer a housing project to address COVID-19 related needs.

The proposed amendments to the County's draft CP 2020-2025, the draft AAP PY19, the draft AAP PY20, draft CPP, and the Fair Housing AI Study are available for review on our website at [www.kauai.gov/Housing](http://www.kauai.gov/Housing). Pursuant to the Supplementary Emergency Proclamation dated March 16, 2020, issued by Governor David I. Ige, for social distancing practices, the County will forego a public hearing. The public is invited to provide written comment on the proposed substantial amendments which can be faxed to 808-241-5118 or emailed to [kbarros@kauai.gov](mailto:kbarros@kauai.gov) by 4:00 p.m. on Tuesday, May 5, 2020. All written comments will be considered in preparing the final Substantial Amendments.

If you need other accommodations due to a disability, contact Chong U at 241-4444 or email [csu@kauai.gov](mailto:csu@kauai.gov) as soon as possible. Upon request, draft substantial amendments and the AI study is available in alternate formats, such as large print, Braille, or electronic copy.

By order of the Mayor  
County of Kauai  
Derek S.K. Kawakami  
Mayor  
(TG1278027 4/30/20)



ICSP NO.: \_\_\_\_\_